1 Fracking bad language: Hydraulic fracturing and earthquake risks

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8 Abstract

9 Hydraulic fracturing, or fracking, is a borehole stimulation technique used to enhance permeability in 10 geological resource management, including the extraction of shale gas. The process of hydraulic fracturing 11 can induce seismicity. The risk of seismicity is a topic of widespread interest and public concern, 12 particularly in the UK where seismicity induced by hydraulic fracturing halted shale gas operations and 13 triggered moratoria. However, prior to 2018, there seemed to be a disconnect between the level of risk 14 and concern around seismicity caused by shale gas operations as perceived by publics and that reported 15 by expert groups (from industry, policy, and academia), which could manifest in the terminology used to 16 describe the seismic events (tremors, earthquakes, micro-earthquakes). Using the UK as a case study, we 17 examine the conclusions on induced seismicity and hydraulic fracturing from expert-led public facing 18 reports on shale gas published between 2012 and 2018 and the terminology used in these reports. We 19 compare these to results from studies conducted in the same time period that explored views of the UK 20 publics on hydraulic fracturing and seismicity. Further, we surveyed participants at professional and public 21 events on shale gas held throughout 2014 asking the same question that was used in a series of surveys 22 of the UK publics in the period 2012 – 2016 "do you associate shale gas with earthquakes?". We asked 23 our participants to provide the reasoning for the answer they gave. By examining the rationale provided 24 for their answers we find that an apparent polarisation of views amongst experts is an artefact of the 25 terms used to describe seismicity. Responses are confounded by ambiguity of language around 26 earthquake risk, magnitude, and scale. We find that different terms are used to describe earthquakes, 27 often in an attempt to express the magnitude, shaking, or risk presented by the earthquake, but that these 28 terms are poorly defined and ambiguous and do not translate into everyday language usage. Such 29 "fracking bad language" has led to challenges in understanding, perceiving, and communicating risks 30 around earthquakes and hydraulic fracturing. We call for multi-method approaches to understand 31 perceived risks around geoenergy resources, and suggest that developing and adopting a shared language 32 framework to describe earthquakes would alleviate miscommunication and misperceptions. Our findings 33 are relevant to any applications that present - or are perceived to present - risk of induced seismicity. 34 More broadly, our work is relevant to any topics of public interest where language ambiguities muddle 35 risk communication.

36

37 1. Introduction

38 Shared decision-making on complex sociotechnical issues such as climate change requires effective

39 dialogue between stakeholders, including academics, regulators, industry, policy makers and the publics.

40 However, clear communication to support effective dialogue presents challenges. Geoscience topics can

41 face particular communication challenges for several reasons. First, geoscience underpins many issues of

42 environmental and societal importance, such as resource development (water, energy resources) and

43 understanding and mitigation of climate change. These issues are not only important for future 44 generations, but associated activities (e.g. resource extraction, development of low-carbon energy 45 projects) have direct and indirect socio-economic and environmental impacts at a range of scales (Leach, 46 1992; Vergara et al. 2013; Adgate et al., 2014, Stephenson et al., 2019). Secondly, many geoscience 47 concepts and technologies, as well as the geological resources that modern lives depend on, are uncertain 48 or unfamiliar to the wider public. This is complicated by the fact that the Earth's subsurface is by nature 49 both heterogenous and largely inaccessible. Amongst geoscientists, uncertainties around, for example, 50 heterogeneity, affects the confidence of predictions (Lark et al. 2014; Bond, 2015) and can lead to differing 51 interpretations (Bond et al. 2007; Alcalde et al., 2019; Shipton et al., 2019) - even scientific dispute 52 (compare interpretations of the N. Sea Silver Pit Crater (Stewart and Allen, 2002; Stewart and Allen, 2004; 53 Underhill, 2004) or causes of the Lusi Mud Volcano (Mazzini, 2018; Tingay et al., 2018)). Thirdly, the 54 inaccessibility of and general unfamiliarity with the subsurface can make it challenging for lay publics to 55 conceptualise it (Gibson et al., 2016), and particularly to conceptualise geological processes or climate and engineering risks (Taylor et al. 2014. Finally, geoscience terminology is often ambiguous, 56 57 incomprehensible for many outside – and within-the discipline, or has multiple meanings. As an example, 58 it is common to use ambiguous phrases or descriptors such as 'deep' in the Earth, 'low levels' of 59 contaminants, a 'large' fault, or 'geological timescales'. Even the technical language used to describe 60 geological observations can imply a specific conceptual model or processes, or have slightly misleading 61 meanings relating to the (since outdated) origins of the word, and can lead to miscommunication amongst 62 geoscience experts (Shipton et al., 2006; Bond et al. 2007). One of the key findings of this paper is that 63 language ambiguity around earthquakes presents challenges for geoenergy decision-making.

There are numerous geoscience applications where stakeholder perspectives have diverged on technical 64 65 issues such as geological risk or environmental impact (Lowry, 2007; Vander Becken et al., 2010; Scheider 66 and Schneider, 2011; Graham et al., 2015; Marker, 2016). Hydraulic fracturing (often referred to as 67 'fracking', sometimes spelt 'fraccing' or 'fracing') for shale gas presents one such high-profile example. 68 Here, we explore the perception of, and terminology around, the perceived risks of induced seismicity 69 presented by hydraulic fracturing for shale gas in the UK context. This work is timely: how we use the 70 subsurface is changing as we transition to low-carbon economy; new technologies and new ways of using 71 the subsurface are anticipated in coming decades (Stephenson et al., 2019) and there is a clear need for 72 further social scientific insights to inform risk management and communication around geoenergy-73 induced seismicity (Trutnevyte & Ejderyan, 2018).

74 To frame our work, in the rest of this Section we first consider the importance of common or shared 75 language as a communication tool amongst stakeholders and the factors affecting risk perception, and 76 provide an overview of shale gas exploration and development and induced seismicity with a particular 77 focus on the UK as a case study. We then present our research in two parts: in Section 2 we examine how 78 the risk of induced seismicity is described in expert-led technical reports and in public perception studies 79 of hydraulic fracturing. In Section 3 we present our survey approach and results to investigate perceived 80 risk of seismicity induced by hydraulic fracturing for shale gas, and explore how understanding of 81 perceived risk is complicated by language ambiguity around seismicity¹. We discuss our findings and their

82 implications in in Section 4.

¹ We use the term seismicity in the body of this paper as a catchall term to describe the phenomena of rapidly radiated seismic energy that has been described by terms that include: earthquakes, tremors, and so on. Secondly, although we focus on seismicity in this paper, in doing so we do not construe any specific importance to this or other issues associated with shale gas extraction. We merely use it as a pertinent example of the importance of language use in scientific communication.

83 Our findings are applicable to a range of approaches which may (be perceived to) present risk of induced 84 seismicity (including hydropower dam construction, carbon capture and storage, geothermal energy 85 extraction, energy storage etc.), many of which are considered fundamental to delivering a sustainable

future (Trutnevyte & Ejderyan, 2018; Stephenson et al., 2019). Further, the learnings around language,

87 communication, and understanding perceived risk are applicable to issues beyond geological engineering,

88 and are key for supporting stakeholder dialogue for shared decision-making.

89 1.1 Language and communication in the geosciences

90 There have been growing moves to increased public involvement in scientific issues - from funding 91 priorities, data collection, and policy decisions - particularly on topics with social and environmental 92 importance such as climate change, flooding, energy policy, genetically modified crops (e.g. Rowe et al., 93 2005; Parkins and Mitchell, 2005; Horlick-Jones et al. 2007; Nisbet, 2009). This progression brings a new 94 communication challenge: for scientists, policy makers and the publics to be able to share information, 95 concepts and ideas, and to make shared decisions, they must be able to understand each other. The truth 96 is that within languages there are sub-sections that are only accessible to those with technical expertise 97 on the matter at hand. Specific language frameworks and jargon are prevalent within specific disciplines 98 and underpins the explanation of concepts between experts (Montgomery, 1989; Collins, 2011). However, 99 such language can be incomprehensible to those outside the subject area (Leggett and Finlay, 2001; 100 Sharon and Baram-Tsabari, 2014). This creates an 'unequal communicative relationship' whereby lay 101 publics struggle to comprehend the technical language and goals set by experts (Fischer, 2000, p. 18), 102 particularly as many experts are ill-equipped to communicate with members of the public (Simis et al., 103 2016).

This unequal communicative relationship is likely enhanced in the geosciences where seemingly nontechnical, uncertain, or ambiguous terms are used routinely but are **underpinned** by some tacit understanding. As an example, geoscientists may refer to *dip* and strike of *faults*, *joints*, or *cleavage*, which have specific meanings in geology, but have (multiple) other meanings in the English language. But tacit understanding is not reliable; loose use of language, ambiguity and poorly defined technical terms can lead to misunderstanding even amongst experts (van Loon, 2000; Doust, 2010) and between subdisciplines (Collins, 2011).

It is well established that how individuals perceive new information is influenced by factors such as 111 112 expertise, context, prior knowledge, and the language used (McMahon et al., 2015; Venhuizen et al., 113 2019). Values and motivation, including affiliations and 'world view', have particular influence on 114 perceptions of risk and the assessment of any new information (NASEM, 2017; Roberts & Lightbody, 115 2020), as well as how the information is framed (Pigeon, 2020). Consider the original work on framing by 116 Tverskey and Kahneman (1981). In their example, when disease treatment options were framed positively 117 (lives saved) rather than negatively (lives lost) people chose more risky treatment options. Similar work 118 has found that how geoscience data and information is framed affects decision-making (Taylor et al., 1997;

119 Barclay et al., 2011; Alcalde et al., 2017).

There was a notable shift in the framing of positive and negative arguments around shale gas extraction in the UK. Early arguments adopted local frames (i.e. concerns about local effects such as induced seismicity, traffic, noise), and these arguments were replaced by global frames i.e. concerns about the climate change implications of developing onshore gas resources (Hilson, 2015), or the changing role of natural gas in the energy transition (Partridge et al., 2017). But, as we show in the remainder of this section, induced seismicity kept a high public and political profile in the UK.

- 126 1.2 Hydraulic fracturing, induced seismicity, and shale gas development
- Hydraulic fracturing (often referred to as 'fracking') is the process of fracturing rocks at depth by injecting
- 128 pressurised fluids. The process locally increases the permeability of the rock formation which is useful for

a range of applications ranging from improving water extraction (Cobbing & Dochartaigh, 2007), enhancing deep geothermal energy production (Breed et al., 2013), to enabling the recovery of natural gas trapped in rocks with a low permeability, such as 'tight gas' or shale gas (Mair et al., 2012). Hydraulic fracturing also occurs in nature, usually where geological processes cause geofluids to become overpressured enough to overcome the rock strength and cause the rock to fracture (e.g. Engelder & Lacazette, 1990; Fall et al., 2015).

For shale gas extraction, hydraulic fracturing is one of several processes that allow the hydrocarbons to be recovered from the low permeability rocks in which they are trapped (King, 2012). A borehole might be hydraulically fractured as part of shale gas exploration or development, where exploration refers to activities to investigate the commercial viability of a potential shale gas resource, and development refers

to activities to support commercial production of the resource.

As a rock fractures, seismic energy is released (e.g. Tang and Kaiser, 1998) as a seismic event, or seismicity. For shale gas hydraulic fracturing, because the fracturing process is man-made, the seismicity is categorised as 'human-induced seismicity' or, simply, 'induced seismicity'. Many processes induce seismicity, from mining and quarrying, filling and dewatering reservoirs, to disposing of wastewaters by injection into rock formations (Westaway & Younger et al., 2014; Pollyea et al., 2019). However not all seismic events have any detectable effect in terms of being felt at the surface or even recorded (Kendall

146 et al., 2019). The UK's seismic network cannot generally pick up events smaller than magnitude 2 in rural

- 147 areas or 2.5 in urban areas due to background noise.
- 148 There are a number of approaches to quantify, and so report on, the size of a seismic event. The moment 149 magnitude (M_w) relates to the seismic moment, which is the energy released by the event. The local 150 magnitude (M_L) measures the ground displacement. The two scales M_L and M_W are fundamentally 151 different, and so the M_w and M_L of a seismic event can diverge, particularly for large (> M 6.0) and small 152 (< M 2.0) events (Clarke et al., 2019; Kendall et al., 2019). Seismologists prefer M_w because it relates to 153 the properties of the fracture (the seismic moment) and because M_L breaks down for smaller events 154 (below M_L 2) (Kendall et al., 2019). However M_L is easier to use for real-time reporting, and so is used to 155 report seismic events and to regulate induced seismicity (Butcher et al., 2017). A variety of terms are used 156 by both experts and laypeople to describe a seismic event, including earthquakes, tremors, micro-157 earthquakes. Seismologists have proposed particular terminology based on the property of a seismic 158 event, such as the frequency content or the magnitude (for example, see Bonhoff et al., 2009; Eaton et 159 al., 2016), but there is no common classification framework. This poses questions such as 'How big is a small earthquake?' (Kendall et al. 2019). 160
- 161 Hydraulic fracturing will be accompanied by release of seismic energy as the rock is fractured by the fluid 162 pressure (Kendall et al, 2019). The energy released by an individual fracture is small, typically representing 163 M_L -1.5 (Mair et al., 2012), but if hydraulic fracturing fluids reach a pre-stressed fault larger events can 164 occur (Clarke et al., 2019). Induced seismicity is thus inherent in hydraulic fracturing. But there are 165 uncertainties regarding the measurement, forecasting of and magnitude of these events (Kendall et al., 2019). The nominal detection level for the UK seismic monitoring network (seismograph stations operated 166 167 by the British Geological Survey) is $M_L = 2.0$ (i.e. events above $M_L 2$ might be felt at the surface) (Kendall 168 et al., 2019), whereas acoustic monitoring systems away from background noise can record very small 169 seismic events down to magnitude M_w -4 (e.g. in mines, see Kwiatek et al. 2011, Jalali et al., 2018). 170 Whether or not an event is felt at the surface depends on several factors, including the seismic moment, 171 the hypocentral depth and the attenuating properties, structure of the rocks through which the energy 172 travels, and other local conditions such as the stiffness of the ground, the background noise and the time 173 of day (Butcher et al., 2017; Kendall et al., 2019). Further, recorded M_L is dependent on the seismic 174 detection network, including the array density and location distance between source and detector 175 (Butcher et al., 2017).

176 Incidences of felt seismicity associated with hydraulic fracturing for shale gas in the UK, US, Canada and 177 China are well documented (Warpinski et al. 2012; Verdon and Bommer, 2020; Schultz et al., 2020) but when shale gas exploration began in the UK, this was not the case. Despite many thousands of hydraulic 178 179 fracturing treatments, there were no recorded incidences of felt seismicity associated with fracking in the 180 shale gas basins first developed in the USA (Verdon and Bommer, 2020). Seismic events that had been felt 181 were due to geological disposal of hydraulic fracturing waste water rather than the fracking process itself 182 (e.g. Elsworth et al. 2015). However, in 2011 a series of seismic events with maximum magnitude (ML) 2.3 183 and 1.5 (Clarke et al., 2014) occurred at the Preese Hall shale gas exploration site in Lancashire (NW 184 England, UK), suspending operations. These seismic events led shale gas activities to have a high public 185 and political profile (Green et al. 2012; Selley, 2012; Clarke et al. 2014), receiving widespread media 186 coverage, and stimulating a wave of public protests against shale gas activities (c.f Jaspal & Nerlich, 2014). 187 The UK government introduced a moratorium on hydraulic fracturing for 6 months following the 2011 188 events. In December 2012 the UK Government lifted the moratorium in England and Wales, but in Scotland moratoria have been applied by Scottish Government. The UK government introduced new 189 190 regulatory requirements intended to effectively mitigate seismic risks (DECC, 2013a; DECC 2013b), 191 including a traffic light system (Figure 1) based on the local magnitude (M_L) of induced events. In 192 November 2019 the moratorium was reapplied following publication of the Oil and Gas Authority's report 193 (BEIS, 2019a; OGA, 2019) on a series of seismic events (up to 2.9 ML) that occurred at the Preston New 194 Road shale gas site, also in Lancashire, in August 2019. Since the 2011 events at Preese Hall, many more 195 incidences of felt seismicity related to hydraulic fracturing have been documented (Schultz et al., 2020; 196 Verdon and Bommer, 2020). It's now understood that the occurrence of felt seismicity from hydraulic 197 fracturing is highly site-specific, and depends on geological and geomechanical conditions of the reservoir 198 and the hydraulic fracturing operation design (Schultz et al., 2020; Verdon and Bommer, 2020), as well as 199 characteristics of the local site (Butcher at al., 2017).

- 200 It is with this backdrop that we examine the available evidence of expert and non-expert perspectives on
- 201 the risks of seismicity associated with hydraulic fracturing, and the language and terminology adopted
- 202 when describing these risks.
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Figure 1: The UK's traffic light system for regulating induced seismicity from hydraulic fracturing activities for shale gas extraction, figure from DECC (2013b), made by the Oil and Gas Authority. The traffic light system is based on a risk mitigation technique originally developed for geothermal (Cremonese et al., 2015). It requires operators to 208 monitor seismic activity in real time and if seismic events are detected, to proceed or stop depending on the 209 magnitude (M_L) of these events. Under this regulation, activities at Preston New Road were suspended several times 210 during hydraulic fracturing in December 2018 (OGA, 2019).

211 **2.** Induced seismicity and hydraulic fracturing: a review of perspectives and language used

212 In order to investigate expert and non-expert views and language preferences around induced seismicity 213 and hydraulic fracturing in the UK, we must first define what is meant by 'expert' and 'non-expert' in this 214 context. 'Expert' is a flexible term, but is usually applied to a person considered to be particularly 215 knowledgeable or skilled in a certain field (Lightbody and Roberts, 2019). Here, we consider expertise to 216 refer to in-depth knowledge about an aspect of the hydrocarbon industry; be it technical (environmental 217 regulation, oil field services including geoscience and petroleum engineering), or topical (energy policy 218 and politics, energy or gas markets, regulation, environmental impact assessment, financing projects and 219 investments). The wider publics or 'lay' audiences are not expected to have in-depth technical or topical 220 expertise, and so we refer to them as 'non-expert' or 'lay' audiences in this paper. However, we 221 understand that such categorisations are simplistic; the publics can hold valuable experiential and 222 contextual knowledge, rather than (but not excluding) technical or topical knowledge.

223 To examine expert and non-expert perspectives on induced seismicity we review publicly available 224 resources (published before November 2019). For expert views, we look to reports from expert groups 225 such as learned societies, expert panels and scientific enquiries. These materials draw on a range of 226 evidence, including peer-reviewed publications in scientific journals, and are generally intended for a 227 stakeholder audience, including the publics. We do not consider peer-reviewed publications in scientific 228 journals; the outcomes of such studies will be captured within the expert reports, and peer reviewed 229 publications are not intended for public readership. For lay perspectives, we examine social science 230 studies examining public opinions on hydraulic fracturing, looking for evidence of public views on induced 231 seismicity in particular.

We restrict our study to the risk of induced seismicity from hydraulic fracturing reported by expert and lay audiences and the associated language used. We do not seek to determine whether the risk is considered to be acceptable and to whom, and the variables that influence this.

A summary of outcomes from expert-led publications are shown in Table 1A, and from studies of public
 perceptions around shale gas topics in Table 2. It should be noted that in the review period (2012 to 2019)
 the state of knowledge about hydraulic fracturing induced seismicity was evolving, as outlined in Section
 1.2.

239 2.1 Expert and lay perspectives on the risk of induced seismicity for hydraulic fracturing

All expert reports that we reviewed, and which examined seismicity risk concluded that the risks of induced seismicity from hydraulic fractioning in the UK are very low, and that any induced events will be below the threshold of felt seismicity (Table 1). It is therefore fair to surmise that there is general agreement amongst expert bodies that the risks of induced seismicity are lower or no different to other human-induced seismicity. To be clear, agreement on induced seismicity does not reflect agreement on or support for other aspects of shale gas exploration and development, such as the business case for, or environmental ethics of, fracking (Howell, 2018; Van de Graaf et al., 2018).

All studies of public perceptions (non-expert) around shale gas topics find that the publics associate the risk of induced seismicity with hydraulic fracturing, although it is very often not the primary risk or concern. These studies and their findings are summarised in Table 2. Table 2 also illustrates the similarities/differences in the phrases used in these studies to refer to induced seismicity. These differences are typically introduced by researchers either in the research design or the analysis, rather than in the phrasing used by participants. To examine insights from these studies in more detail, we first summarise findings from cross-public closed surveys before we look to the results of dialogic and deliberative research. In each case, mindful that public views may have been evolving, the studies are presented chronologically in the order in which they were conducted (not the order in which they were published). As before, we are interested in the perceived risks of and language around induced seismicity, and not the public opinion around fracking for shale gas, though the latter is the primary motivation for many of the studies that we examined.

259 A number of closed-response surveys have been undertaken to assess UK-wide public attitudes towards 260 shale gas and related topics. The most comprehensive of these in terms of a longitudinal dataset is the 261 YouGov survey organised by University of Nottingham. The survey was administered 12 times in the 262 period March 2012 - October 2016 (Andersson-Hudson et al., 2016; O'Hara et al., 2016). Following a 263 knowledge question which filtered out participants who didn't know what hydraulic fracturing or shale 264 gas was, respondents were then asked questions about multiple aspects of shale gas development. One question asked whether they do or do not associate earthquakes with shale gas, with the option to answer 265 266 'don't know'. In the period 2012-2014, there is a steady decline in the number of participants who 267 associate shale gas extraction with earthquakes (and a corresponding increase in those that do not (Figure 268 2). In the three surveys conducted in 2014 the responses appear to have stabilised.



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"Do you associate earthquakes with shale gas?"

Figure 2: Responses to the ten University of Nottingham surveys administered between 2012-14 via YouGov to assess public perspectives on shale gas development for the ten surveys (c.f. O'Hara et al., 2016). During the period 2012-14 the number of participants that associate shale gas with earthquakes decreases, while the number of participants that do not associate, or don't know, increases. Results from the additional two surveys administered between 2014-16 are not publicly available.

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276 The Energy and Climate Change Public Attitudes Tracker is a quarterly UK-wide survey conducted by the 277 Department of Business, Energy and Industrial Strategy (BEIS, previously the Department of Energy and 278 Climate Change, DECC), to capture changing public attitudes towards energy and climate change issues. 279 Questions about shale gas were included in the survey from June 2012, and since 2015 reasons for 280 support, opposition, or no view have been enquired about (Howell, 2018). One of the reasons for 281 opposition to shale gas that is consistent across the BEIS surveys is 'risk of earthquakes', ranked fourth 282 out of five common concerns (Bradshaw & Waite, 2017). Opinium Research led two online surveys to 283 explore public attitudes to fracking in 2014 and 2015 (reported in Howell, 2018). The survey did not ask 284 participants about perceived risks. However, questions from the Opinium Research were adapted for a different online omnibus survey fielded by YouGov, also 2015 (Howell, 2018). Howell (2018) found the 285

286 majority (43.2%) of respondents who answered a knowledge question about shale gas correctly agreed 287 that "fracking could cause earthquakes and tremors", whereas 18.8% disagreed (the remainder answered

288 'don't know'). However, the level of positive response for earthquakes and tremors ranked towards the

lowest of the range of negative environmental and social risks (including damage to the local environment,

water contamination, negative affect on climate change, and health risks). A one-off online survey in 2014

- (Whitmarsh et al., 2015) finds that 40.4% of participants agreed that they are "concerned about the risks of earthquakes from shale gas fracking", with 20.8% reporting that they disagreed, and the remainder
- undecided. In this survey public were marginally less concerned about earthquakes than they were aboutwater contamination.
- The most recently published survey, UK National Survey of Public Attitudes Towards Shale Gas, conducted in April 2019, is the first to seek to understand what the public knows or thinks about specific regulations for shale gas, including the 'traffic light system' for monitoring and regulating induced seismicity (Evensen et al., 2019). The majority of participants felt that the traffic light guidance is not stringent enough, and would oppose any changes to raise the threshold to 1.5 M_L, suggesting that concerns around risks of induced seismicity from hydraulic fracturing remain (Evensen et al., 2019).
- 301 Overall, these closed surveys indicate that seismicity induced by hydraulic fracturing is an important issue 302 for publics. However, as is the nature of closed surveys, to some degree the topics of concern are pre-303 identified during the survey design, and are shaped by the phrasing question (a problem that is well-304 documented in research methods and risk research, see, for example, Gaskell et al., 2017). For example, 305 the Whitmarsh et al. (2015) survey asked questions in the style "I am concerned about [environmental 306 risk]"; other questions in the same survey were focused on risks around energy security or energy prices, 307 and did not use the words 'concern' or 'risk', both of which have negative associations. Similarly, Howell 308 (2018) found the question, "fracking could cause earthquakes and tremors", is interpreted to be a 309 negative statement about fracking, rather than, say, a factual statement. Further, we note that statements 310 regarding earthquake risk were conditional ('could cause'), whereas all other provided risks except for 311 water contamination were unconditional ('will cause').
- Two studies adopted open survey questions. Craig et al. (2019) studied public views towards fracking and how these changed with distance from a region of County Fermanagh with potential shale gas resources and a granted petroleum exploration license. Survey results, which were gathered in 2014, indicated that risk of 'increased seismicity' ranked eighth amongst the ten risks considered to be a concern by survey respondents. All of the identified risks increased with proximity of residence to the licensing area, including the perceived risk of increased seismicity due to hydraulic fracturing. McNally et al. (2018) found
- seismicity ranked third out of four common disadvantages identified from an open question about
 advantages and disadvantages of fracking. When the same question was asked about 'using hydraulic
 pressure to extract natural gas', seismicity was not raised as a disadvantage.
- Analysis of qualitative data presented in the public inquiry on planning permission for shale gas development in Lancashire (held in 2016) found that *"seismic activity was raised regularly in the public sessions. Several of those who spoke had first-hand experience of seismic activity having felt the tremors from Cuadrilla's hydraulic fracturing at Preese Hall in 2011*" (Bradshaw & Waite, 2017).
- Williams et al. (2017) reports on deliberative focus group discussions on shale gas development. The groups were held in Northern England in 2013, and Williams et al. reported that explicit concern about induced seismicity was not expressed, although some groups did express 'worst case scenario' thinking around a number of potential risk and impact pathways (Williams et al., 2017). Similarly, a series of 1-day deliberations in the UK and the US held in 2014 found that participants did not express particular concern about induced seismicity (Thomas et al., 2017a). In deliberative interviews held in Wales in 2013/14 the risk of earthquakes or tremors was ranked 13th out of 19 pre-identified risks in a card sorting exercise
- 332 (Whitmarsh et al., 2014). In 2016 a Citizens' Jury (a format for public deliberation) was held in Preston,
- 333 Lancashire (NW England) approximately 10 miles from the Preese Hall shale gas development.

Transcriptions from the proceedings show that while participants raise questions around earthquake risks from shale gas extraction (and geological CO_2 storage), concerns about induced seismicity are not reported to be a dominant issue (Bryant, 2016).

337 2.2 Language used by expert and lay audiences on the risk of induced seismicity

As Jaspal and Nerlich (2014) reflect, terms such as 'earthquakes' evoke imagery of destruction and disaster, whereas phrases like 'seismic activity' or 'tremors' are less threatening. Since language is not a neutral tool, the choice of words used by experts, social researchers and public participants might be carefully chosen.

342 Experts use a range of terms to describe induced seismicity (Table 1). The seismic events themselves might 343 be referred to as micro-seismic events, seismicity, and earthquakes. A distinction is made between natural 344 and *induced* earthquakes, and the events that may occur from hydraulic fracturing or other man-made 345 activities are described as being *induced* by or *triggered* by these activities where induced can mean solely 346 due to fracking, and triggered can mean that the occurrence was accelerated by fracking, but might have 347 occurred naturally. The authors use qualifiers such as *minor, low-magnitude, small* to indicate the size or 348 magnitude of seismicity associated with fracking. Finally, while the consequences of seismicity are sometimes referred to in terms of vibrations or tremors, more often there is a distinction between felt 349 350 and not felt events.

351 In some cases, the language around seismicity in policy reports is inconsistent and confusing. For example, a DECC (2013) report lays out regulatory requirements designed "to ensure that seismic risks are 352 353 effectively mitigated" (p6) and "to prevent any more earthquakes being triggered by fracking" (p19). But 354 the regulations allowed induced seismic events of magnitude (M_L) < 0.5 ("green light"), implying that these 355 events are not considered to be earthquakes, although no definition of the term is provided. On the next 356 page (p20) an additional qualifier is added which gets around this contradiction: the regulations are 357 "designed to prevent any more *perceptible* earthquakes being triggered by fracturing". The 2019 OGA 358 report (which summarised a series of studies commissioned by the OGA to understand and learn from the 359 induced seismicity observed at the Preston New Road development in 2018) concluded that rules based 360 on current understanding of induced seismicity cannot be "reliably applied to eliminate or mitigate 361 induced seismicity" (OGA, 2019). The authors of this OGA report do not define what is meant by induced 362 seismicity (i.e. what magnitude won't be reliably mitigated). As outlined in Section 2.1, it is not possible to eliminate risks of all magnitudes of induced seismicity from the hydraulic fracturing process. 363

364 In comparison, the terminology to describe induced seismicity reported in public perception studies is 365 much less varied (Table 2). However in many cases, the phrases are selected by the researchers, either 366 when designing the survey question or when reporting on the research outcomes. For example, four of the five closed question surveys about induced seismicity refer to risk of 'earthquakes'. The researchers 367 368 designing closed surveys might have opted to use the term 'earthquake' since it is commonplace and 369 widely understood, whereas 'seismic activity' might be considered to be jargon. Results from the only 370 survey to add a size-qualifier, asking about 'earthquakes or tremors' (Howell, 2018), are very similar to the 371 results of surveys which simply asked about 'earthquakes'.

372 In contrast, of the phrasing chosen by researchers (to report on results from open question surveys, or to 373 report on the results from deliberative approaches), only one study refers to 'earthquakes' (Thomas et 374 al., 2017a). Researchers reporting qualitative methods use terms such as 'seismic activity', 'seismicity', or 375 'minor earthquakes'. These terms might have been selected to reflect the level of risk perceived by 376 participants. The phrases that publics themselves adopted are not reported in these studies, except for in 377 the report on the citizens' jury on fracking where, in their questions, participants wanted to get to grips 378 with whether the 2011 Preese Hall seismic events had been "real/genuine" (i.e. caused by hydraulic 379 fracturing) or "natural tremor" (i.e. background seismicity) (Bryant et al., 2016, pp 14).

380 While dialogic or deliberative studies in the UK find that risks of induced seismicity tend not to take 381 precedence in the public discussions, that's not to say that the risks are acceptable. Thomas et al. (2017a) 382 report that deliberative groups in the UK and the US felt that if shale gas development were to cause 383 earthquakes, however small, development should not be pursued. Similarly, Williams et al. (2017) reports 384 how one deliberative group reflected that public tolerances to industrial activities (which induce 385 seismicity) may have changed such that activities that were acceptable in the past are no longer 386 acceptable to the public. Finally, early results from a recent investigation into public attitudes to the UK 387 governments traffic light system to regulate induced seismicity suggest that participants support stringent 388 monitoring of induced seismicity (Evensen et al., 2019). These insights imply that the public's risk 389 tolerance to induced seismicity from shale gas production is low.

390 2.3 Knowledge, language and risks of induced seismicity

391 The physical process of hydraulic fracturing will, by definition, release seismic energy – whether the 392 release of this energy is detectable as an 'event' or not. Accordingly, the expert reports that we reviewed 393 conclude that there is risk of induced seismicity from hydraulic fracturing, albeit low. Depending on how 394 'earthquake' is defined (c.f. 'How big is a small earthquake?' Kendall et al, 2019), it could be argued that 395 assertions used to gage public views such as "shale gas development is associated with earthquakes" are 396 factual. Might the questions indicate level of knowledge of the association, rather than indicate the level 397 of perceived risk? Howell (2018) finds that respondents who correctly answer a knowledge question about shale gas are more likely to agree with the statement "fracking could cause earthquakes and tremors" 398 399 (43.2%) than to answer don't know (38.0%) or to disagree (18.8%). Further, Andersson-Hudson et al. 400 (2019) finds that publics more knowledgeable about shale gas have more unified views. Indeed, all cross-401 public surveys studied here find motivations determine public responses: associating fracking with 402 earthquakes negatively correlates with support for the technology and relate to demographic variables 403 including political views and gender (Andersson-Hudson et al., 2016; 2019; Howell, 2018; O'Hara et al., 404 2016; Evensen et al., 2017). These findings align with similar studies in Europe (Lis et al., 2015; Evensen et 405 al., 2018), US (Boudet et al., 2014; Graham et al., 2015) and Canada (Thomas et al., 2017b).

406 In summary, through our review and analysis of previous surveys, reports and papers, we have revealed 407 uncertainties in the perceived risk of seismicity induced by hydraulic fracturing for shale gas. There is 408 broad agreement amongst experts that while induced seismicity is associated with hydraulic fracturing, 409 the likelihood of *felt* seismicity is dependent on context-specific technical factors. All the expert reviews 410 concluded that the risk presented by such seismicity is low. Generally these reports distinguish between 411 felt and not felt seismic events, but there is no systematic use of terminology to describe seismicity, nor 412 the risk it presents. We find that associations between induced seismicity and shale gas are common 413 across nearly all public studies that we reviewed. Perceived risk is not ubiquitous amongst all publics, and 414 often other reported environment or social risks take prevalence. However, the level of perceived risk of 415 induced seismicity and understanding around the topic is difficult to compare due to differences in 416 research approaches and the language used to elicit and report on public views. Given the ambiguities in 417 terminology around hydraulic fracturing induced seismicity, it is interesting to consider whether questions 418 around 'risk of earthquakes' might be understood or interpreted differently according to, say, 419 participants' views about shale gas, or understanding of the hydraulic fracturing process. And are 420 ambiguous terms such as 'earthquake' or 'tremor' potentially loaded or leading?

421 In the next section, we explore whether or not knowledge levels affect whether seismicity is associated 422 with shale gas, and how the language used in the questions asked affects the answer provided.

Yea	Report (<i>purpose</i>)	Conclusion on (risk of) induced seismicity	Terminology used to describe seismicity			
	Mair et al. (2012) Royal Society and Royal Academy of Engineering (2012) 'Shale gas extraction in the UK: a review of hydraulic fracturing' <i>Report commissioned by UK</i> <i>Government Chief Scientific</i> <i>Adviser.</i>	"Seismic events induced by hydraulic fracturing do not produce ground shaking that will damage buildings. The number of people who feel small seismic events is dependent on the background noise." (pp 16) "Magnitude 3 ML may be a realistic upper limit for seismicity induced by hydraulic fracturing (Green et al. 2012)" (pp 41). The report recommends a Traffic Light System to be put in place (transferred learning from geothermal energy developments)	Varied terminology, including: induced seismicity, seismic event, vibrations, felt/not felt, magnitude and intensity.			
20	 AEA (2012) AEA Report for European Commission DG Environment 'Identification of Potential Risks for the Environment and Human Health arising from Hydrocarbons Operations involving Hydraulic Fracturing in Europe' Report commissioned by the European Commission DG Environment to inform policy. 	The risk of significant induced seismic activity was considered to be low; the frequency of significant seismic events is judged to be "rare" and the potential significance of this impact is "slight" (pp 60)	Tend only to refer to very small magnitude, seismic activity, earth tremors.			
	Green, C. A., et al. (2012) Preese Hall shale gas fracturing review and recommendations for induced seismic mitigation. <i>Report commissioned by DECC to</i> <i>examine the possible causes of</i> <i>seismicity at Preese Hall in</i> <i>April/May 2011.</i>	At al. (2012)The report concludes that the observed seismicity in April and May 2011 was induced by the hydraulic fracture treatments at Preese Hall. The authors also conclude that, providing that proposed best practice operational guidelines are implemented and followed, the risk of induced seismicity should not prevent further hydraulic fracture operations in this area.				
	Kavalov & Pelletier (2012) European Commission Joint Research Centre (2012) 'Shale Gas for Europe - Main Environmental and Social Considerations' Undertaken by the European Commission's in-house science service to provide evidence-based scientific support to the European policy-making process.	"Drilling and hydraulic fracturing activities may lead to low-magnitude earthquakes" (pp 26). The authors make no conclusions on risk, but recommend that "the severity and probability of this hazard should be carefully assessed on site by site basis".	Refer only to <i>low-magnitude</i> earthquakes			
20	 DECC (2013c) DECC Report 'About shale gas and hydraulic fracturing' Government response to common questions raised in the UK-wide consultation on shale gas and fracking. 	Regulations are designed to "ensure that seismic risks are effectively mitigated".	A mix of terms are used, including <i>seismicity, events,</i> <i>activity, tremors.</i> The most frequent term is <i>earthquake,</i> in some cases with qualifiers such as <i>perceptible,</i> <i>large, small, very small.</i>			

	National Research Council (2013) US National Research Council 'Induced Seismicity Potential in Energy Technologies'	"The process of hydraulic fracturing a well as presently implemented for shale gas recovery does not pose a high risk for inducing felt seismic events" (pp 18).	Only refer to <i>earthquakes</i> and seismicity			
	Cook et al. (2013) Australian Council of Learned Academies (ACOLA) Unconventional Gas Production: A study of shale gas in Australia Report the Prime Minister's Science, Engineering and Innovation Council	Induced seismicity from hydraulic fracturing itself does not pose a high safety risk (pp 137). Risks can be managed by adopting a range of mitigation steps.	<i>Earthquakes</i> or <i>seismicity</i> are used most often, but with qualifiers such as <i>minor, low</i> <i>magnitude, felt.</i>			
2014	European Commission (2014) European Commission Recommendation on minimum principles for the exploration and production of hydrocarbons using high-volume hydraulic fracturing EU Regulation/legislation	The recommendations refer only to risk assessment protocols for induced seismicity, not the risk of seismicity.	Refers only to <i>seismicity</i>			
	Scottish Government (2014) Expert Scientific Panel on Unconventional Oil and Gas Development Report from an expert panel set up by Scottish Government	"seismic effects are expected to be small in magnitude" (pp 39); "very low likelihood of felt seismicity" from fracking (pp 48)	A number phrases are used. Seismicity is often pre- by micro-, trigger/induce, or felt. Also refer to tremors, (natural) earthquake.			
	TFSG (2015) Task Force on Shale Gas 'Assessing the Impact of Shale Gas on the Local Environment and Health' Second report by the industry- funded expert panel Task Force on Shale Gas.	"Shale gas operations have the potential to cause tremors albeit not at a level higher thanother comparable industries in the UK, nor at a frequency or magnitude significantly higher than natural UK earthquakes" (pp 9).	Refer mostly to <i>earthquakes</i> and <i>tremors</i> (and to a lesser extent, <i>'events'</i>), but often prefacing these terms with words such as <i>small</i> , <i>tiny</i> , <i>minor</i> , <i>micro</i> .			
2015	Cremonese et al. (2015) Institute for Advanced Sustainability Studies (IASS) Potsdam Policy Brief Shale Gas and Fracking in Europe Policy brief to inform European Policy	"The rock fracturing process generates small seismic events of a very low magnitude (microseismicity), which are not generally felt by humans." Site specific stress investigations will significantly lower risk of triggering major events. (pp 3).	Refer to <i>small</i> induced <i>seismic</i> events, and microseismicity.			
2016	Baptie et al. (2016) Unconventional Oil and Gas Development: Understanding and Monitoring Induced Seismic Activity. Report commissioned by Scottish Government	Hydraulic fracturing to recover hydrocarbons is generally accompanied by earthquakes with magnitudes of less than 2 ML that are too small to be felt. (pp 2).	Only refer to <i>earthquakes</i> and <i>seismicity or seismic activity,</i> but often specify that these events are induced. Sometimes refer to <i>felt</i> .			
2018	Scottish Government (2018) Report for Scottish Government's SEA on unconventional gas Report commissioned by Scottish Government	The risk of fracking-induced felt seismicity causing damage to properties or people at the surface is considered to be very low (para 13.9). Risk table (14.1) reports that felt seismic activity would have minor negative or negligible effect on activities.	Range of terms including felt seismicity, earthquakes, trigger			
	Delebarre et al. (2018) House of Lords Briefing paper CBP 6073 'Shale gas and fracking'	No position indicated - but quote several expert reports which state that the risk of induced seismicity can be managed.	Seismicity is used most frequently. Earthquakes and events also commonly used. Tremor and trigger used infrequently.			

	Briefing paper to inform House of Lords debate.		
	BEIS (2019b) Guidance on fracking: developing shale gas in the UK (updated 12 March 2019) UK Govt Department for Business, Energy, and Industrial Strategy	"Measures are in place to mitigate seismic activity." (Section 1, par 4)	<i>Seismicity</i> or <i>seismic activity</i> are most often referred to. Do not refer to <i>earthquakes</i> .
2019	OGA (2019) Oil and Gas Authority 'Interim report of the scientific analysis of data gathered from Cuadrilla's operations at Preston New Road' Summary outcomes from four reports commissioned by OGA in response to induced seismicity at Preston New Road.	It is currently not possible to "reliably eliminate or mitigate induced seismicity" (pp 13).	<i>Seismicity</i> is most often used, with some reference to <i>events</i> and <i>activity</i> .

424 **Table 1**: A compilation of publicly available expert reports on hydraulic fracturing for shale gas which address

425 induced seismicity, the key conclusion regarding risks of induced seismicity and the phrasing used in the reports to

- 426 refer to seismicity. While we primarily examine policy-facing reports from the UK, we include examples from EU
- 427 policy, Australia and the US.

428

	Source	Year data collected (method/approach; sample size)	Findings on public perception of induced seismicity	Phrases adopted (by who)		
	Andersson- Hudson et al. (2016)	2014 (University of Nottingham YouGov survey, closed questions; sample size: 3,822)	Whether or not <i>earthquakes</i> are associated with hydraulic fracturing is an indicator of support for shale gas	Earthquake (researcher's phrasing in the closed survey question)		
	Craig et al. (2019)	2014 (face-to-face surveys in four locations, open questions; total sample size: 120)	Risk of <i>increased seismicity</i> was ranked 8 out of 10 identified risks associated with fracking	Increased seismic activity (researchers phrasing in their analysis of open question response)		
Surveys	Evensen (2017)	2014 (University of Nottingham YouGov survey, closed questions; sample size: 3,823 + US survey, sample size: 1,625)	UK public associated <i>earthquakes</i> with shale gas more than US publics	Earthquake (researcher's phrasing in the closed survey question)		
	Whitmarsh et al. (2015)	2014 (local/regional online survey, closed question; sample size: 1,457)	When asked if they were concerned about the risks of <i>earthquakes</i> from shale gas fracking, 40.4% agreed and 20.8% disagreed	Earthquakes (researcher's phrasing in the closed survey question)		
	Howell (2018)	2015 (YouGov online omnibus survey, closed question; sample size: 1,745)	Fracking could cause <i>earthquakes</i> <i>and tremors</i> (43.2% agree, 18.8% disagree)	Earthquakes or tremors (researcher's phrasing in the closed survey question)		

	Andersson- Hudson et al. (2019)	2016 (University of Nottingham YouGov survey, closed question; sample size: 4,992)	Whether or not <i>earthquakes</i> are associated with hydraulic fracturing is an indicator of support for shale gas, particularly for more knowledgeable participants	Earthquake (researcher's phrasing in the closed survey question)
	McNally et al. (2018)	2017 (face-to-face surveys in one location, open and closed questions; sample size: 200)	Seismicity was raised as a common concern when the survey used a "fracking" frame, but was not when survey used a 'hydraulic pressure' frame.	Seismicity (researcher's phrasing in their analysis of open question response)
	Evensen et al. (2019)	2019 (YouGov online survey, closed question; sample size: 2,777)	Some level of concern around the risks of <i>seismic activity</i> is implicit in the public attitudes towards the traffic light system (which is perceived not to be stringent enough)	Seismic activity (researcher's phrasing in the closed survey question)
	Whitmarsh et al. (2014)	2013-2014 (deliberative interviews, sorting risk cards; sample size: 30)	Minor earthquakes were ranked 13th out of 19 risks pre-defined .	Minor earthquake (researcher's phrasing in risk cards which interviewees ranked)
	Williams et al. (2017)	2013 (six deliberative focus groups; total sample size: 48)	Explicit concern about induced seismicity wasn't expressed	Seismicity (researcher's phrasing in their analysis)
Deliberative approaches	Thomas et al. (2017a)	2014 (Series of four 1-day deliberative workshops, two in UK, two in the US; total sample size: 55)	Some concerns were raised regarding earthquake risk, but these weren't particularly important in the context of the deliberations. However, all four groups felt that if shale development were to cause earthquakes, however small, shale gas should not be pursued at all.	Earthquakes (researcher's phrasing in their analysis)
	Bradshaw & Waite (2017)	2016 (qualitative analysis of a public enquiry into shale gas in Lancashire, UK; sample size: N/A)	Concerns about seismic activity were voiced by publics during the inquiry proceedings.	Seismic activity (researchers' phrasing in the paper)
	Bryant (2016)	2016 (citizens jury in Lancashire; sample size: 15)	Questions about seismic activity were asked, but concerns about induced seismicity wasn't explicitly mentioned in the deliberation outcomes.	"real" or "genuine" earthquake, "natural tremor", as referred to by participants.

429

Table 2: A compilation of published studies which report on public perceptions of induced seismicity in the UK. These
 are divided into surveys (many of them UK-wide) and more qualitative approaches such as focus groups, and each

432 group is ordered chronologically in terms of when the data were gathered (not in terms of when the papers were

433 published). We identified whether the phrasing used (to describe seismic events) was dictated by the language of

434 the survey questions, or the researcher undertaking the analyses, or the participants themselves.

435 3. A survey to examine the rationale and language use behind perspectives on induced seismicity and
 436 hydraulic fracturing

437 3.1 Methodology

438 *3.1.1 Data collection*

We recruited 387 participants from a series of geoscience events on shale gas that were held in 2014, including conferences and public talks (see Table 3). We invited attendees to voluntarily complete and return the surveys, which were anonymous. Our sample includes 204 participants from shale gas specific conferences, 85 participants from geoscience conferences (that were not shale gas specific), and 98 participants from science outreach events² on shale gas. Since a number of individuals attended several of the conferences and events we requested that people only complete the survey once.

445

Acronym	Event name (location; date)	Description	N (surveys)
Shale gas sp	ecific events		
ESGOS	European Shale Gas and Oil Summit (London; 09/2014)	An industry led conference on shale gas	40
UGA	Unconventional Gas (Aberdeen; 03/2014)	An industry led conference on shale gas	28
SGUK	Shale Gas UK (London; 03/2014)	An industry led conference on shale gas	98
Geoscience	events		
TSG	Tectonic Studies Group Annual Conference (Cardiff; 01/2014)	The annual conference of the Geological Society of London specialist group covers a range of topics relevant to tectonic studies. The event included a technical session on hydraulic fracturing and induced seismicity, followed by an open discussion.	57
CCG	Communicating Contested Geoscience (London; 06/2014)	A Geological Society of London conference about issues facing controversial geoscience topics, including shale gas.	66
Public even	ts		
TFA	TechFest (Aberdeen; 09/2014)	Talk and discussion at a local science festival	30
CSA	Café Science (Aberdeen; 02/2014)	Talk and discussion at a Café Science, a popular science communication series that occur across the UK.	59
CHL	Coffee House Lectures (Glasgow; 11/2014)	Talk and discussion at a local research communication series	9

446 Table 3: The events where attendees were invited to anonymously complete surveys. Public events were generally447 small local events.

448 3.1.2 Survey design

449 We adapted a subset of questions from the University of Nottingham surveys (O'Hara et al. 2014; 450 Andersson-Hudson et al., 2016). The questions we used were intended to gather information on the

451 perceived risks of and level of support for shale gas development, and asked for closed answers to a series

² These events lasted between 1-2 hrs and consisted of an interactive talk (by one or more of the authors of this paper) followed by a discussion session. All three talks were part of small local events held in Scotland.

- 452 of statements about shale gas. Crucially, in our modified survey, participants were asked to provide 453 reasoning for the answers they gave.
- 454 Conference participants were asked to report which sector they worked in, and all participants were asked

455 to report their sources of information about or experience of shale gas (as a proxy for their maximum 456 knowledge-level on the topic).

457 Full survey data (raw and analysed) are available at <insert DOI when generated>.

458 *3.1.3 Data Analysis*

In this work, we consider only the responses to the closed question "please state whether you do or do not associate earthquakes with shale gas" (from which respondent could select either 'do', 'do not', or 'don't know') and a subsequent open question seeking the reasoning behind the selected answer to the closed question. In total 385 participants completed the closed question (99% of survey respondents), and 292 participants provided informative responses to the open question (67.5% of survey respondents).

464 Closed answers were coded numerically. Open answers were categorised through thematic coding to 465 enable analysis. The codes for thematic analysis were derived iteratively as follows: Firstly, the three 466 authors of this paper worked separately on open coding (i.e. inducing themes from the qualitative answers 467 to all questions). The three authors then had a series of workshops to share identified codes, determine 468 similarities or differences in our codes, and then discuss and reconcile the identified themes, and both the 469 themes and their definition or scope agreed. The authors then worked separately again to apply the codes 470 across all qualitative answers (in several cases a single answer was double or treble coded). The lead 471 author then co-ordinated the codes, seeking consensus in the few cases of disagreement between the 472 applied codes.

- Thematic analysis of all qualitative data (reasoning provided for the selected answer to the closed survey question about earthquakes) derived a total of 26 themes, of which 15 apply to answers about induced seismicity. These are shown in Table 4. Qualitative answers were coded as null if the content was irrelevant, i.e. did not explain the rationale for the answer provided (the most common example being a knowledge statement about the topic, for example, "I've analysed this issue", "I work on this topic") or the meaning of the response was ambiguous and couldn't be deciphered. Overall 80% of all respondents provided qualitative responses that were thematically coded.
- 480 We examine how these themes vary with job sector and knowledge level. Employment sector responses 481 were grouped into academia, industry, civil service, and other. Most of the 289 conference participants 482 who completed the survey were from industry (52%) and academia (30%), with only 12% from the civil 483 service (3% did not answer this question). Information sources on the topic of shale gas were grouped 484 into no prior information, information from media reports, expert reports, and academic research (95% 485 of survey respondents answered this question). We consider individuals whose knowledge sources 486 include reports and academic papers to be highly informed (i.e. experts). The majority (81%) of the 487 conference attendees were in this knowledge category, with 40% obtaining information from academic 488 papers and 41% from reports. In contrast most (60%) public talk attendees sourced information about shale gas from media. 489
- The public cohort were not intended to represent the perspectives of the general public. The surveys were completed at the end of a public talk and discussion on the topic of shale gas, in which induced seismicity was raised, and so these publics are both interested and informed, and therefore cannot be a proxy for UK-wide attitudes and responses. Instead, the public cohort allow us to examine answers for those who obtain the majority of prior information, if any, through media sources (most conference attendees do not fit this category). Public respondents were not asked about employment sector.
- We compare results from our survey with those from the 12 University of Nottingham YouGov surveys (O'Hara et al., 2016). While the Nottingham YouGov surveys document a broad decline in the number of respondents that associate shale gas with earthquakes (see Figure 2), the results for the three surveys undertaken in 2014, the period in which we undertook our surveys, do not show any decline. We use average values from 2014 surveys (48% do, 27% do not, and 25% don't know) to represent UK-wide views,

against which we compare our results. For simplicity, we refer to these as the 'UoN 2014' surveys and

- 502 results.
- 503

Code	Description: The reason provided indicates that	Dir
Evidence	There is evidence that shale gas extraction [causes/induces/is associated with] earthquakes. Includes references to events in the USA. References to UK events are coded as below.	Ŷ
Blackpool	Any reference to the seismic sequences at Preese Hall in 2011 as evidence of risk of earthquakes. Includes references to Lancashire, Blackpool, Cuadrilla or more broadly to UK events.	1
Inconclusive	There is currently not enough evidence to (conclusively) say whether or not shale gas extraction [causes/ induces/is associated with] earthquakes. Includes reference to a need for further research/data (to understand the positive and negative impacts, to improve technology and so on)	\Leftrightarrow
No evidence	Shale gas extraction is not associated with [do not cause or induce / is associated with] earthquakes.	\downarrow
Knowledge	Respondent doesn't feel that they know enough about shale gas extraction to say. Or they are on the fence.	\leftrightarrow
Media	Reference to the media coverage of shale gas extraction. Phrases include: press, news, high profile, reporting, public concern, miscommunication, scaremongering, hype, anti-fracking activist, anti- lobby.	↑
Fracturing rock	Shale gas extraction requires the reservoir rock to be hydraulically fractured. This process will release seismic energy. Phrases include: <i>inherent/obvious, fracturing rock, high-pressure fluids, stress change, trigger.</i>	1
Waste-water	Shale gas extraction may not induce earthquakes, but the geological disposal of waste- water (associated with fracking) does. Phrases include: waste water, waste disposal/injection, USA events.	↑
Reactivation	There is a risk that shale gas extraction may cause earthquakes because the process may reactivate existing fractures and faults which could cause seismicity	↑
Magnitude	The magnitude of any seismic events related to fracking will be very small. Phrases include: micro (seismic/earthquake), tremor, low intensity/energy, tiny, cannot feel them, insignificant, low consequence/impact	\downarrow
Low risk	The risk that shale gas extraction [causes/induces/is linked with] earthquakes is very low. Phrases include: <i>is possible, rare, unlikely, low risk, minor, little impact, not a significant</i> <i>risk.</i>	\downarrow
Definition	Comments or questions how earthquake is defined.	\leftrightarrow
Regulation	The risk that shale gas extraction activities may cause earthquakes can be managed by appropriate regulation and monitoring. Includes reference to regulation, appropriate regulation, enforcing regulation, best practice. Phrases include: <i>monitoring, controllable, manageable</i>	\downarrow

Normal	Any seismic activity that may be induced by shale gas extraction is no different to everyday/background/other activities or industries. i.e. not unique to fracking.	\downarrow	
Site	Any risk posed by shale gas extraction is location or place specific. Phrases include: <i>determined by the geology of the region, the depth of the resource, the population etc.</i>	\leftrightarrow	

504 **Table 4:** Codes identified for thematic analysis of participant responses to an open question asking them to provide

505 reasoning for the answer they gave to the closed question. The codes are often directional, i.e. they are used to

reason why earthquakes may be associated with shale gas (positive \uparrow), why earthquakes may not be associated

507 with shale gas (negative \downarrow). If the code is not directional (or it is bi-directional) it is considered to be neutral (\leftrightarrow).

508 3.2 Survey Results and Analysis

509 3.2.1 Closed question responses

510 In total 55% of survey respondents who answered the closed question ("do you associate shale gas with 511 earthquakes") 'do' associate shale gas with earthquakes, 37% 'do not' and 7% 'don't know' (Figure 3A). 512 Compared to public attitude surveys asking the same question throughout 2014, our survey finds more 513 respondents 'do' (+7%) 'do not' (+10%) and far fewer 'don't know' (-18%). Overall our respondents are 514 much more decided than the general public (see Figure 2, O'Hara et al., 2016). Of our cohort, we find 515 more participants from professional conferences and events (which are about, or have sessions about, 516 shale gas) 'do' associate shale gas with earthquakes (58%) than participants attending public talks (48%) 517 (Figure 3B).

513 513 We observe no obvious trend between the closed answer responses and participant knowledge levels (expertise), but we do observe differences (Figure 3C). When grouped into experts and non-expert groups 520 (those who source information from research and reports, and those who had no prior information or 521 obtained information from the media, respectively), 56% of experts (n. 276) associate shale gas with 522 earthquakes and 39% do not. These proportions are very similar to non-experts (n. 109) where 53% do 523 and 33% do not, and are in fact very similar to the views of UK-wide publics in 2013, see Figure 2. However, 524 grouping in this way masks a difference in responses between those who obtain information from 525 research articles and those who use reports. For the latter, shale gas is predominantly associated with 526 earthquakes, (64% do; 31% do not) whereas for the former, there is a fairly even split (49% do; 47% do 527 not) (Figure 3C). Experts who source information from research articles are not undecided, their views are 528 - apparently - polarised.

The only group that predominantly do not associate shale gas with earthquakes are those with no prior knowledge of shale gas, although this sample is very small (n. 16). Our results present a more nuanced view than the results of Andersson-Hudson et al. (2016) which find that those with more knowledge about shale gas are more likely not to associate shale gas with earthquakes.

It would be fair to presume that most academics would source their information from research papers, and so it is interesting that the results for job sector present a different perspective (Figure 3D). Two response profiles emerge from job sector results: academics and civil service workers (where 65% (academics) 68% (civil service) associate earthquakes with shale gas; 28% (academics) 21% (civil service) do not), and industry, who present an even mix of views (51% do; 46% do not), similar to those that obtain information from research articles.

539 *3.2.2 Open question responses*

Thematic analysis of open responses (which provided reasoning for participants' closed answer to the question 'do you associate shale gas with earthquakes') identify 15 codes, which are shown in Table 5 (the thematic code definitions are listed in Table 4). Often multiple codes apply to a given answer, and so in total, there are 443 codes for the 292 qualifying responses. Codes are ranked for frequency in Table 5. The six most frequently used codes are identified over 30 times in participant responses, and these themes are examined in more detail in Table 6.

Themes relating to *magnitude* were most often raised in participant responses, and accounted for over a quarter of the total number of codes applied across all open responses (Table 5), inclusive of knowledge level or job sector (Table 6) and 40% of the open responses. The code is equally prevalent across reasoning to support '*do*' and '*do not*' responses, but less frequent for '*don't know*' answers (where unsurprisingly *inconclusive* and *knowledge* themes become important even though the sample is very small).

551 The *magnitude* theme illuminates uncertainty in what is understood to be an earthquake, and raises

questions around terminology. This is best illustrated using example answers from this theme, shown in

- Table 7. Thus, the same reasoning is being provided to support different closed answers. Other common
- codes include *low risk* and *media*. The *low risk* theme provides similar reasoning to *magnitude* but refers

556 not', 'don't know'). In contrast, media is used mostly to describe reasons for answering 'do', alongside 557 reference to the Blackpool (Preese Hall) seismic events, and the rationale that fracturing rock inevitably 558 releases seismic energy and so fracking and earthquakes are associated by definition. Where the media 559 theme is used for 'do not' responses, often the respondent is expressing judgement about the accuracy 560 or veracity of media claims.

561



562

Figure 3 (A) Comparing the results of our surveys with UK-wide results from 2014 (UoN 2014; O'Hara 2015), we
 find that while results for 'do' associate shale gas with earthquakes (orange) for both surveys are similar our survey
 results have more 'do not' (blue) and much fewer 'don't know' answers (grey).

(B): Participants from professional fora (conferences and events, pale green) associate earthquakes with shale gas
 more than participants from public talks on shale gas (green). Results are compared to UK-wide results from 2014
 (UoN 2014; O'Hara 2015) (dark green).

569 **(C)**: To gauge knowledge levels of our survey participants, we asked respondents to select where they source their 570 information from about shale gas, with <u>'research papers' indicating the greatest knowledge and 'no previous</u>

information indicating the least prior knowledge. There is no overall trend to the results, suggesting that answers
 are not simply determined by knowledge level. In fact, those who obtain information from research present an
 ~equally polarised response, which is different to information from reports and the media where the dominant
 answer is that earthquakes are associated with shale gas. The only group to report that shale gas is not associated

with earthquakes is the small sample of respondents that obtained no information about shale gas prior toattending the event where they completed the survey.

577 (D): The majority (83%) of participants recruited at conferences and events (n. 272) source from industry and

academia (public participants were not asked their job sector). We observe some differences in closed question

responses between the different sectors; while the majority of participants from academia, the civil service and

other sectors predominantly report that earthquakes are associated with shale gas, industry participants are
 almost 50:50 do and do not associate shale gas with earthquakes. Very few of those from industry and academia
 (~5%) answer don't know.

583

Two additional themes are identified in the rationale for 'do not' responses. First, the argument that any 584 585 earthquakes associated with shale gas extraction will be no more significant than other everyday 586 background seismicity or industry processes, and so is considered to be *normal*. This code is unique that 587 it is used mostly to support do not responses. Further, in their reasoning for 'do not' responses, a number 588 of participants raise questions about how the term earthquake is *defined*. Themes around earthquake 589 definition also arise within rationale for 'don't know' responses (Table 7), with the same questions being 590 raised regardless of the answer: 'what is the difference between microseismic event and an earthquake?'. 591 Some respondents confidently assert that microseismic events or tremors are not earthquakes, others 592 indicate that earthquakes refer to 'natural' seismic events (similar to comments made by the Citizens Jury 593 participants reported in Bryant, 2016).

- Results presented in Table 6 indicate that neither knowledge level or job sector have any significant influence on the themes raised in open responses. We observe only two small trends; participants from industry tend to appeal to *media* themes more than other sectors, and academics are more likely to refer
- 597 to *Blackpool* events (i.e. the Preese Hall events) as an indicator that earthquakes are associated with shale
- 598 gas development.

599

	Evidence	Blackpool	Inconclusive	No evidence	Knowledge	Media	Fracturing rock	Waste-water	Reactivation	Magnitude	Low risk	Definition	Regulation	Normal	Site
Do	7	30	1	1	1	32	29	15	9	76	34	7	10	11	7
	(3%)	(11%)	(0%)	(0%)	(0%)	(12%)	(11%)	(6%)	(3%)	(28%)	(13%)	(3%)	(4%)	(4%)	(3%)
Do Not	2 (1%)	3 (2%)	2 (1%)	5 (4%)	0 (0%)	9 (6%)	6 (4%)	8 (6%)	2 (1%)	38 (27%)	18 (13%)	16 (11%)	6 (4%)	21 (15%)	5 (4%)
Don't Know	0	1	5	0	5	3	0	0	0	3	4	3	1	0	0
	(0%)	(4%)	(20%)	(0%)	(20%)	(12%)	(0%)	(0%)	(0%)	(12%)	(16%)	(12%)	(4%)	(0%)	(0%)
Total	9	34	8	6	6	44	35	23	11	117	56	26	17	32	12
	(2%)	(8%)	(2%)	(1%)	(1%)	(10%)	(8%)	(5%)	(3%)	(27%)	(13%)	(6%)	(4%)	(7%)	(3%)
Rank	12	5	13	15	15	3	4	8	11	1	2	7	9	6	10

Table 5: The frequency of use of different thematic codes in the reasoning provided for participants' answers, showing total number of times the code was applied and, in brackets, the percentage relative to the number of responses in that category (do, do not, don't know). High frequency codes are coloured pale yellow (≥10%) and yellow (≥20%). One answer (reasoning) could have more than one code. At the bottom of the table codes are ranked for frequency, and the eight codes that occur over 20 times are coloured in blue. These themes are examined in detail in Table 6.

		Mag	gnitu	Magnitude 🗸 🛛 I				Low risk 🦊				Media 个				Frac rock 个				Blackpool 个				Normal 🗸			
		-	М	R	Α	-	М	R	Α	-	М	R	Α	-	м	R	Α	-	М	R	Α	-	м	R	Α		
Do	n	0	17	32	27	0	6	14	15		3 17	8	5	0	5	15	9	0	5	12	13	0	2	2	7		
	%	0%	15%	5 27%	23%	0%	10%	24%	26%	79	6 37%	17%	11%	0%	14%	41%	24%	0%	15%	35%	38%	0%	6%	6%	22%		
Do Not	n	2	5	16	15	3	0	4	11		2	5	3	0	0	0	7	0	1	0	2	0	8	4	9		
	%	2%	4%	5 14%	13%	5%	0%	7%	19%	09	6 4%	11%	7%	0%	0%	0%	19%	0%	3%	0%	6%	0%	25%	13%	28%		
Don't Know	n	0	1	. 1	1	0	2	1	2		1 0	1	1	0	0	0	1	0	1	0	0	0	0	0	C		
	%	0%	1%	5 1%	1%	0%	3%	2%	3%	29	6 0%	2%	2%	0%	0%	0%	3%	0%	3%	0%	0%	0%	0%	0%	0%		
Sum	n	2	23	49	43	3	8	19	28		1 19	14	9	0	5	15	17	0	7	12	15	0	10	6	16		
	%	2%	20%	42%	37%	5%	14%	33%	48%	9%	6 41%	30%	20%	0%	14%	41%	46%	0%	21%	35%	44%	0%	31%	19%	50%		
		Magi	nituc	le ↓		Low	risk •	Ł		Med	ia 个			Frac	rock	Υ		Blac	kpoo	l T		Norn	nal J				
		Α	1	CS	0	Α	1	CS	0	Α	1	CS	0	Α	1	CS	0	A	1	CS	0	Α	1	CS	0		
Do	n	25	29	10	2	7	12	6	2	4	13	0	0	10	13	1	2	11	8	2	2	3	2	4	1		
	%	26%	30%	10%	2%	16%	28%	14%	5%	15%	50%	0%	0%	29%	38%	3%	6%	44%	32%	8%	8%	12%	8%	16%	4%		
Do Not	n	7	17	2	1	1	11	1	0	1	5	1	0	2	5	0	C	0	2	0	0	4	10	0	1		
	%	7%	18%	2%	1%	2%	26%	2%	0%	4%	19%	4%	0%	6%	15%	0%	0%	0%	8%	0%	0%	16%	40%	0%	4%		
Don't Know	n	1	0	1	1	1	0	1	1	0	1	1	0	0	0	1	C	0	0	0	0	0	0	0	C		
	%	1%	0%	1%	1%	2%	0%	2%	2%	0%	4%	4%	0%	0%	0%	3%	0%	0%	0%	0%	0%	0%	0%	0%	0%		
Sum	n	33	46	13	4	9	23	8	3	5	19	2	0	12	18	2	2	11	10	2	2	7	12	4	2		
	%	34%	48%	14%	4%	21%	53%	19%	7%	19%	73%	8%	0%	35%	53%	6%	6%	44%	40%	8%	8%	28%	48%	16%	8%		
														_													

Table 6: Code frequency and (A) different information sources (for all participants) and (B) employment sector (for conference attendees) for the six most frequent codes (organised from left to right in order of code frequency). Information sources range from no information source (-); media (M); reports (R); (A) research (academic) papers, and where employment sector for conference participants: Academia (A); Industry (I); Civil Service (CS), and other (O). The count for each code is normalised to the total count for that code. These values are then colour coded as shown in the key to indicate where codes are used by particular knowledge or employment groups, or to support particular answers.

	Closed respons e	Example open response (quotes)							
M a	Do	"the earthquakes associated with shale gas are very small", will be "microseismic earthquakes that won't be felt", "small magnitude events" or "minor tremors".							
g n i	Don't know	"major earthquakes probably unlikely", fracking may cause "seismic activity, but not quakes".							
t u d e	Do not	"there may be possible tremors - not earthquakes", "events will be "mostly unfelt, very small events", or that there a "very few cases [with] little intensity".							
L o	Do	Shale gas "can trigger earthquakes but very rarely", "has the potential to induce seismic activity, but the risk is not a significant" and "any induced seismicity [has] small consequences".							
w r i	Don't know	" " " " " " " " " " " " " " " " " " "							
k	Do not	"Seismicity risks are minimal and manageable" "insignificant", "very low", "unimportant", and so "don't consider it [to be] a significant hazard".							
M	Do	Earthquakes are associated with shale gas due to "publicity", "media reports" "media portrayal and local campaign group resources". Responses also include judgement statements such as "thanks to the media I associate fracking with [earthquakes], but I don't agree".							
d i	Don't know	"media and other bias form of reporting on shale gas give this impression however I don't know of any evidence of the link".							
а	Do not	"' <i>Earthquakes</i> ' are associated publicly with shale gas thanks to inaccurate media reporting", "while I don't [associate shale gas with earthquakes], from media alone I would do".							
N o	Do	"We have a lot of evidence of earth tremors associated [with shale gas], but these arecomparable to historic mining activity in the UK"							
r m a I	Do not	"Earthquakes can be induced from many different types of industrial processes", "numerous unfelt earthquakes occur daily, and [there are] only a select few examples of fracking caused felt earthquakes", "any earthquakes from shale gas will be negligible versus natural seismicity".							
D e f i	Do	"Fracking causes microseismicity, in rare occasions they cause earthquakes. Where is the transition between microseismic [events] and earthquakes?" Fracking does "create microseismicity not on the scale you would call an earthquake". "Earth tremors or seismic events is more appropriate than earthquake".							
n i	Don't know	Fracking might cause "tremors but not specifically earthquakes". "I think of earthquakes as being of natural origin"							
τ i o n	Do not	"I don't think the minor, largely insensible tremors associated with shale gas merit the term 'earthquake'." "Seismicity" "tremors" "microseismicity" "is not an earthquake".							

Table 7: Example open response to illustrate how the most common codes are used to defend the range of
 participant responses to whether or not they associate shale gas with earthquakes. *Magnitude* is generally used to
 defend do and do not answers, *risks* is used for all responses, whereas *media* most often applies to 'do' answers.
 Normal and *definition* codes tend to be applied to *do not* answers.

624 3.2.3 Language and terminology

A theme that is applied in particular to the rationale for '*do not*' answers refers to the definitions of earthquakes, indicating that different phrases are more appropriate depending on the scale, size or magnitude of the seismic event. We examine the language used within participants' open responses to examine whether there are any language preferences amongst different answers or different survey groups.

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630 Participants used a range of terms to describe or refer to earthquakes. Similar words are used to describe 631 earthquakes in responses for both 'do' and 'do not' closed answers, though there is some indication that 632 words like *seismic* and *tremor* are used more for 'do not' responses. The only distinction in terminology is 633 that more knowledgeable participants (experts - those that obtain information from reports and peer-634 review publications) are four times more likely to use phrases such as 'seismicity' and 'minor' than less 635 knowledgeable respondents (non-experts). Academics use the phrase earthquake far more than those 636 employed in other sectors, and civil service employees prefer 'tremor' rather than 'micro' or 'induced' 637 seismicity, and more often refer to '*energy*' of the event.

Finally, an undercurrent theme to the open responses was to critique the question that they were asked, 638 639 which was about perceived association between shale gas and earthquakes. As noted in the previous 640 section, many participants raised questions about the phrase 'earthquake', claiming it was 'too strong', 641 and that any seismicity that might arise from shale gas development would not be 'earthquakes' but 642 'tremors' or 'micro-earthquakes'. Others preferred to mention earthquake consequences in terms of felt 643 or not-felt, or damage-inducing or not. Several participants critique the use of the phrase 'shale gas', 644 mentioning that they did not associate shale gas with seismicity, but they do associate the hydraulic 645 fracturing technique (by which shale gas is extracted) with seismicity. Others note that the question is 646 leading. Finally, most of the respondents that raised themes relating to the code low risk were essentially communicating that whether they 'do' or 'do not' associate shale gas and earthquakes, it does not concern 647 648 or worry them (see Table 7). These statements suggest that the assumption that associating shale gas 649 with earthquakes is the same thing as expressing concern about the risk of earthquakes is erroneous.

650 4. Discussion

651 The results from our survey reflect a snapshot of participant views from 2014 about hydraulic fracturing 652 induced seismicity. Further, our results show perspectives from the UK only, a country with low 653 background seismic activity; and for English language use. The results were not intended to inform 654 whether or not earthquakes are associated with shale gas, but, rather, to explore the underlying rationale 655 for the apparent differences in perspectives on the topic, particularly between experts and non-experts. 656 It is important to acknowledge that perspectives of both experts and publics are likely to have have 657 evolved in the time since the surveys were run. Preston New Road is the only shale gas hydraulic fracturing 658 activity in Europe that has been undertaken since our surveys were conducted in 2014; many countries 659 including Scotland had moratoria in place during this period, and, once the moratorium in England was 660 lifted in 2012, it took several years to obtain planning permissions to enable activities to commence at the 661 Preston New Road site, followed by repeated suspension of hydraulic fracturing activities. We cannot 662 postulate whether the rationale for the answers provided by participants might have changed in light of 663 these developments in the UK or internationally, including other incidences of felt seismicity induced by 664 hydraulic fracturing around the world (Verdon & Bommer 2020), and subsequent advances in our 665 understanding of induced seismicity and remaining knowledge gaps (Schultz et al, 2020). Nonetheless, 666 our study presents, for the first time, how language ambiguity around seismicity complicates 667 understanding of perceived risks, and sheds light on the apparent differences in views on the matter in 668 2014. Further, advances in knowledge and understanding on topics of public interest is common, but 669 presents additional communication challenges, in particular around the communication of uncertainty 670 (NMAS, 2018). Our findings suggest that language ambiguity around hydraulic fracturing induced 671 seismicity posed additional difficulties for understanding and communicating stakeholder risk perception, 672 and may have confounded risk communication.

- 673 Expertise is an ambiguous quality with multiple dimensions that can be difficult to assess (c.f. Lightbody 674 and Roberts, 2019). Many of our survey respondents were attending professional fora about shale gas, 675 and therefore might be considered to have expertise on the topic. Those who attended public lectures on 676 hydraulic fracturing could be said to be informed (and engaged) publics. Accordingly, we find that our 677 survey participants are, on the whole, much more decided on the topic than the UK general public (based 678 on the University of Nottingham surveys as reported in O'Hara et al., 2016). Of the relatively few 679 participants in our survey who answered 'don't know', their response did not necessarily reflect lack of 680 knowledge; several explained that the evidence was inconclusive or questioned the definition of 681 earthquake. Survey respondents who attended public events and who answered 'don't know' were more 682 like to express that they lack knowledge on the topic, and so we could conjecture that this is the likely 683 rationale when UK publics' answer 'don't know'. A fourth closed answer category 'undecided' or 'it 684 depends' would capture these differences.
- On one hand, fewer 'don't know' responses might be expected of those working in shale gas topics or 685 686 attending public lectures on shale gas (given that they are knowledgeable about the topic, and reports at 687 the time conclude that risk of earthquakes from hydraulic fracturing is low, see Section 2.1). On the other 688 hand, fewer 'don't know' responses might be somewhat surprising given that experts are expected to 689 have strong grasp of uncertainty within their field (e.g. Landström et al., 2015), and a range of 690 dependencies are provided in the qualitative responses. The proportions of those who 'do' associate 691 earthquakes with shale gas vary according to different factors including the fora being attended 692 (professional or public), the sources of information used to obtain information about shale gas (outside 693 of the event they were attending, expert reports vs academic papers vs media) and job sector (academic, 694 industry, civil service); in every case the closed survey results are bimodal. While this might be interpreted 695 to show polarisation of views both amongst experts and publics, by examining the underlying rationale 696 for the answers provided by our participants, we find this not to be the case. Participant answers are 697 muddied by ambiguity of language which leads to differences in understanding of what defines or 698 constitutes an earthquake, and what is meant by 'associating' earthquakes with shale gas.
- 699 Regardless of whether our respondents '*do*' or '*do not*' associate earthquakes with shale gas, qualitative 700 answers most commonly express uncertainty around what magnitude of seismic event is understood to

be an earthquake. In particular, those who 'do not' associate earthquakes and shale gas question the definition of an earthquake. The term *earthquake* (the phrase used in the survey question) is clearly felt to be ambiguous by our survey respondents. This aligns to similar language expressed by experts interviewed by Lampkin (2018), in which one expert expressed "*I would call them tremors not earthquakes, they are very very small*" and another asserts that "*people who talk of earthquakes are sort* of over-egging [over doing] *it a bit*" (Lampkin, 2018).

707 So, what constitutes an earthquake? Is it wrong or, indeed 'over-egging it' to describe a $M_L < 2$ event as 708 an earthquake? Technically, not (Kendall et al., 2019). In which case, how should earthquakes be 709 described? There are multiple scales with which to describe the size or properties of earthquakes, 710 including different scales of magnitude and energy release. However, there is no common descriptive 711 scale to define whether an event is a tremor, a micro-earthquake, small or large, or felt. Tremor has been 712 used to refer to low-frequency earthquake signals (Shelly et al., 2007), and terms such as micro- or nano-713 seismicity often refer to the frequencies of the seismic energy. The degree to which an earthquake is felt 714 is captured by the European Macroseismic Scale, which includes classifications such as not felt, scarcely 715 felt, weak, largely observed. Bohnhoff (2009) summarise terminology based on magnitude, including 716 micro, small, moderate, large. The Oil and Gas Authority's traffic light system infographic (Figure 1, made 717 by the Oil and Gas Authority) describes seismicity as not felt, usually not felt, minor, light, moderate, 718 strong, major, great. Eaton et al. (2016) recognise the need for a terminology framework for induced 719 seismicity in particular to unify regulations in different jurisdictions, and proposes that "earthquakes" and 720 "seismic events" are distinguished by being felt or not, and therefore should refer to events > M_L 2 and 721 $M_L < 2$, respectively. The Oil and Gas Authority's traffic light system infographic (Figure 1, made by the Oil 722 and Gas Authority) describes seismicity as not felt, usually not felt, minor, light, moderate, strong, major, 723 great.

724 In our study, we have not encountered any consistent use of such language when describing and reporting 725 hydraulic fracturing seismicity, i.e. there is no common descriptive scale, and certainly none that 726 translates into common language and understanding, even among experts. We find that while expert reports commonly refer to 'earthquakes', 'seismicity' and 'events', many use additional qualifiers to 727 728 communicate the scale of the event by using terms such as 'small' or 'tiny', distinguishing between 'felt' 729 or 'perceived' events, or by referring to the consequences of the seismicity using terms such 'tremors' or 730 'vibrations' (Table 7). Importantly, none of the reports that we reviewed lay out what is meant by these 731 different phrases, though some specifically refer to felt seismicity, and stipulate that felt seismicity is 732 generally considered to be above M_{L} 2. We recommend that public-facing reports define technical or 733 descriptive terminology.

734 Similarly, our survey respondents include indicators of size, risk, and impacts in their qualitative answers. 735 They might select that they 'do' associate shale gas with earthquakes, but explain that 'any induced 736 seismicity would be small or rare', or they may select that they 'do not' associate shale gas with 737 earthquakes, because 'any induced seismicity would be small or rare' (see Table 7). Thus whether or not 738 a respondent associates shale gas with earthquakes does not reflect the perceived risk of seismicity. We 739 posit that had a definition of what was meant by the term earthquake been presented in the survey (e.g. 740 the release of seismic energy, or seismic events with magnitude greater than 2 M_L), the answers to the 741 closed question would have been in much greater agreement.

742 These findings raise crucial questions around what constitutes an earthquake and to whom; and how 743 language is used to describe and communicate geological phenomena. A second important aspect that 744 our work highlights is the need to apply caution when using ambiguous terminology such as 'earthquake' 745 in reports or surveys without defining the meaning of the phrase. But here, there are interesting tensions 746 or trade-offs. Terms such as 'earthquake' or 'tremors' might be used to avoid jargon, as they are 747 considered widely understood. However, as we show, what exactly constitutes an earthquake or tremor 748 is not well defined and so the use of these terms could lead to equivocal results. And these ambiguities 749 might vary geographically, too; the UK is a country of low natural background seismicity, and so while a 750 M_L2 event might be considered an earthquake by the UK public, in regions with higher background activity, 751 other terms might be preferred.

752 But if our study finds that associating shale gas with earthquakes does not necessarily indicate concern 753 about the risk of earthquakes, what might this mean for understanding publics' views on induced 754 seismicity? Might closed surveys with few questions or options conflate the level of concern about 755 induced seismicity? Or might the use of the term 'earthquake' cause uncertainty in the responses? Might 756 participants be answering the same question differently depending on what they interpret 'earthquake' 757 to mean? These issues highlight the limitations of closed questions in surveys; such questions are, by their 758 nature, constrained, which can bring limitations – including susceptibility to framing effects (Schuman & 759 Scott, 1987; Gaskell et a al., 2017) which are recognised by Howell (2018). This is not to undermine closed-760 survey research nor the results of studies we examined; there are strengths and weaknesses to all 761 research methods, including open survey questions (Schuman & Scott, 1987), which researchers will 762 carefully consider during the research design, execution and analysis. But altogether this raises important 763 questions around the methods used to capture, understand, and communicate stakeholder perspectives. 764 Might it be that, for comprehensive understanding of complex topics we must look to multi or mixed 765 method approaches? (e.g. Walker & Baxter, 2019).

766 Unlike the UK's Traffic Light System, public risk tolerances of induced seismicity will not simply relate to 767 event magnitude; as we have outlined there are other important complicating and competing factors at 768 play (Evensen, 2018; Trutnevyte & Ejderyan, 2018; Szolucha, 2019). Understanding risk perception and 769 tolerances, influencing factors and values is important for public participation in socio-scientific decisions 770 (Dietz, 2013; Stern & Fineberg, 1996). As such, our findings about language ambiguity around induced 771 seismicity has implications for science communication and understanding of stakeholder preferences and 772 perceptions of risk. These implications are relevant across a range of different geological and energy 773 engineering technologies, many of which play a critical role in delivering a sustainable future (Stephenson 774 et al., 2019). We propose that a shared language to describe earthquakes should be developed and 775 adopted to enhance communication around induced seismicity amongst all stakeholders. Such approach 776 is common in risk communication and management practice (Fischhoff, 2013), and has recently been 777 called for by a community of UK shale gas researchers and practitioners (Brown et al., 2020). It supports 778 communication, and, as put by Trutnevyte & Ejderyan (2018), without such framework experts must 779 develop their communication approaches based on intuition and learning by doing [authors' note: these 780 experiences are often described by practitioners as being 'at the coal face' or 'on the front line', indicating 781 the challenging pressured environment for learning]. As noted previously, language frameworks for 782 seismicity exist (such as the European Macroseismic Scale; Johnston, 1990; Bohnhoff, 2009, and so on) 783 but we find these are not in common use. While a language framework might facilitate risk 784 communication, it would not resolve communication and risk tolerance challenges around induced 785 seismicity. Any risk communication strategy must be individual to project, place and context, as well as 786 sensitive to issues of environmental and social equity and justice and heritage in which geoenergy is 787 involved (Trutnevyte & Ejderyan, 2018). The perceived risk may be greater for some technologies over 788 others (Knoblauch et al., 2017), and may evolve with time. However, the framework should establish a 789 common understanding through language, which is critical for dialogue on topics of public and political 790 interest. It is increasingly understood that sustainable development requires shared decision-making 791 pathways, for which communication approaches to support stakeholders to speak - and hear - the same 792 language are valuable.

793

794 **5. Conclusions**

This work has explored expert and non-expert perspectives on the risk of induced seismicity from shale gas exploration in the UK. We find that range of terminologies have been inconsistently used to describe seismic events to communicate risk of induced seismicity from hydraulic fracturing for shale gas. Such language ambiguity has muddled our ability to understand the perceived risk of induced seismicity and hydraulic fracturing amongst stakeholders, raising questions around what constitutes an earthquake and to whom? Our insights present important implications for research, communication, and decision-making on any uncertain, complex or sensitive topic. The immediate and long-lasting repercussions of using

- 802 "fracking bad language" is likely amplified by the political and environmental sensitivities around the shale
- gas sector, as well as lack of familiarity of seismicity (natural and induced) to UK stakeholders. At its
- simplest, this research presents a reminder of the importance of clearly defining technical and descriptive
- terms, whether in expert reports, policy documents, or surveys. We suggest that a shared language to describe earthquakes should be developed and adopted to improve understanding of perceived risks, and
- to facilitate risk communication within and between expert and non-expert stakeholders. Our findings are
- relevant to numerous geoscience applications, since many subsurface technologies deemed critical to a
- 809 low carbon future present risk of induced seismicity—such as geothermal resource development.

810 6. Data Availability

811 Full survey data are available at <u>https://doi.org/10.15129/a7a906c5-a77e-4a1c-b495-a2d441458d1d</u>

812 **7. Funding statement**

813 We thank ClimateXChange and the University of Strathclyde who funded Roberts' position while this 814 research was undertaken.

815 8. Ethics statement

This research complied with the Ethics Policy and Procedure of the University of Strathclyde. Ethics approval was granted for the survey research.

818 9. Competing interests

819 We declare no competing interests.

820 **10. Author contributions**

JR lead the research design, data collection, analysis, and writing of this research, with CB in particularand ZS contributing to all aspects.

823 11. Acknowledgements

We thank all conference and event organisers for supporting our work, as well as survey participants. We also thank Dr Stella Pytharouli, Dr James Verdon, and Dr Stephen Hicks for their insights into earthquake magnitudes and seismological terminology, and Dr Juan Alcalde for comments about language nuance and translation. We would also like to thank Prof Brigitte Nerlich for early discussion about the relevance of this work.

829 **12. Copyright**

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