

# 1 Fracking bad language: Hydraulic fracturing and earthquake risks

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## 8 Abstract

9 Hydraulic fracturing, or fracking, is a porehole stimulation technique used to enhance permeability in  
10 geological resource management, including the extraction of shale gas. The process of hydraulic fracturing  
11 can induce seismicity. The risk of seismicity is a topic of widespread interest and public concern,  
12 particularly in the UK where seismicity induced by hydraulic fracturing halted shale gas operations and  
13 triggered moratoria. However, prior to 2018, there seemed to be a disconnect between the level of risk  
14 and concern around seismicity caused by shale gas operations as perceived by publics and that reported  
15 by expert groups (from industry, policy, and academia), which could manifest in the terminology used to  
16 describe the seismic events (tremors, earthquakes, micro-earthquakes). Using the UK as a case study, we  
17 examine the conclusions on induced seismicity and hydraulic fracturing from expert-led public facing  
18 reports on shale gas published between 2012 and 2018 and the terminology used in these reports. We  
19 compare these to results from studies conducted in the same time period that explored views of the UK  
20 publics on hydraulic fracturing and seismicity. Further, we surveyed participants at professional and public  
21 events on shale gas held throughout 2014 asking the same question that was used in a series of surveys  
22 of the UK publics in the period 2012 – 2016. “do you associate shale gas with earthquakes?”. We asked  
23 our participants to provide the reasoning for the answer they gave. By examining the rationale provided  
24 for their answers we find that an apparent polarisation of views amongst experts is an artefact of the  
25 terms used to describe seismicity. Responses are confounded by ambiguity of language around  
26 earthquake risk, magnitude, and scale. We find that different terms are used to describe earthquakes,  
27 often in an attempt to express the magnitude, shaking, or risk presented by the earthquake, but that these  
28 terms are poorly defined and ambiguous and do not translate into everyday language usage. Such  
29 “fracking bad language” has led to challenges in understanding, perceiving, and communicating risks  
30 around earthquakes and hydraulic fracturing. We call for multi-method approaches to understand  
31 perceived risks around geoenery resources, and suggest that developing and adopting a shared language  
32 framework to describe earthquakes would alleviate miscommunication and misperceptions. Our findings  
33 are relevant to any applications that present - or are perceived to present - risk of induced seismicity.  
34 More broadly, our work is relevant to any topics of public interest where language ambiguities muddle  
35 risk communication.

## 37 1. Introduction

38 Shared decision-making on complex sociotechnical issues such as climate change, requires effective  
39 dialogue between stakeholders, including academics, regulators, industry, policy makers and the publics.  
40 However, clear communication to support effective dialogue presents challenges. Geoscience topics can  
41 face particular communication challenges for several reasons. First, geoscience underpins many issues of  
42 environmental and societal importance, such as resource development (water, energy resources) and

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104 understanding and mitigation of climate change. These issues are not only important for future  
 105 generations, but associated activities (e.g. resource extraction, development of low-carbon energy  
 106 projects) have direct and indirect socio-economic and environmental impacts at a range of scales (Leach,  
 107 1992; Vergara et al. 2013; Adgate et al., 2014, Stephenson et al., 2019). Secondly, many geoscience  
 108 concepts and technologies, as well as the geological resources that modern lives depend on, are uncertain  
 109 or unfamiliar to the wider public. This is complicated by the fact that the Earth's subsurface is by nature  
 110 both heterogenous and largely inaccessible. Amongst geoscientists, uncertainties around, for example,  
 111 heterogeneity, affects the confidence of predictions (Lark et al. 2014; Bond, 2015) and can lead to differing  
 112 interpretations (Bond et al. 2007; Alcalde et al., 2019; Shipton et al., 2019) - even scientific dispute  
 113 (compare interpretations of the N. Sea Silver Pit Crater (Stewart and Allen, 2002; Stewart and Allen, 2004;  
 114 Underhill, 2004) or causes of the Lusi Mud Volcano (Mazzini, 2018; Tingay et al., 2018)). Thirdly, the  
 115 inaccessibility of and general unfamiliarity with the subsurface can make it challenging for lay publics to  
 116 conceptualise it (Gibson et al., 2016), and particularly to conceptualise geological processes or climate  
 117 and engineering risks (Taylor et al. 2014). Finally, geoscience terminology is often ambiguous,  
 118 incomprehensible for many outside – and within- the discipline, or has multiple meanings. As an example,  
 119 it is common to use ambiguous phrases or descriptors such as 'deep' in the Earth, 'low levels' of  
 120 contaminants, a 'large' fault, or 'geological timescales'. Even the technical language used to describe  
 121 geological observations can imply a specific conceptual model or processes, or have slightly misleading  
 122 meanings relating to the (since outdated) origins of the word, and can lead to miscommunication amongst  
 123 geoscience experts (Shipton et al., 2006; Bond et al. 2007). One of the key findings of this paper is that  
 124 language ambiguity around earthquakes presents challenges for geoenergy decision-making.  
 125 There are numerous geoscience applications where stakeholder perspectives have diverged on technical  
 126 issues such as geological risk or environmental impact (Lowry, 2007; Vander Becken et al., 2010; Scheider  
 127 and Schneider, 2011; Graham et al., 2015; Marker, 2016). Hydraulic fracturing (often referred to as  
 128 'fracking', sometimes spelt 'fraccing' or 'fracing') for shale gas presents one such high-profile example.  
 129 Here, we explore the perception of, and terminology around, the perceived risks of induced seismicity  
 130 presented by hydraulic fracturing for shale gas in the UK context. This work is timely: how we use the  
 131 subsurface is changing as we transition to low-carbon economy; new technologies and new ways of using  
 132 the subsurface are anticipated in coming decades (Stephenson et al., 2019) and there is a clear need for  
 133 further social scientific insights to inform risk management and communication around geoenergy-  
 134 induced seismicity (Trutnevyte & Ejderyan, 2018).  
 135 To frame our work, in the rest of this Section we first consider the importance of common or shared  
 136 language as a communication tool amongst stakeholders and the factors affecting risk perception, and  
 137 provide an overview of shale gas exploration and development and induced seismicity with a particular  
 138 focus on the UK as a case study. We then present our research in two parts: in Section 2 we examine how  
 139 the risk of induced seismicity is described in expert-led technical reports and in public perception studies  
 140 of hydraulic fracturing. In Section 3 we present our survey approach and results to investigate perceived  
 141 risk of seismicity induced by hydraulic fracturing for shale gas, and explore how understanding of  
 142 perceived risk is complicated by language ambiguity around seismicity. We discuss our findings and their  
 143 implications in in Section 4.

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<sup>2</sup> We use the term seismicity in the body of this paper as a catchall term to describe the phenomena of rapidly radiated seismic energy that has been described by terms that include: earthquakes, tremors, and so on. Secondly, although we focus on seismicity in this paper, in doing so we do not construe any specific importance to this or other issues associated with shale gas extraction. We merely use it as a pertinent example of the importance of language use in scientific communication.

199 Our findings are applicable to a range of approaches which may (be perceived to) present risk of induced  
200 seismicity (including hydropower dam construction, carbon capture and storage, geothermal energy  
201 extraction, energy storage etc.), many of which are considered fundamental to delivering a sustainable  
202 future (Trutnevyte & Ejderyan, 2018; Stephenson et al., 2019). Further, the learnings around language,  
203 communication, and understanding perceived risk are applicable to issues beyond geological engineering,  
204 and are key for supporting stakeholder dialogue for shared decision-making.

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**Deleted:** Language is the method by which information, concepts and ideas are shared; it is a fundamental part of being human (Heidegger, 1971). The audiences involved can range from the very small, i.e. between individuals or small groups, to the very large, such as the global communication portal provided by the world wide web. For researchers, communication approaches have changed with time. Oral forms of scientific communication started with Victorian scientific debates (Yeo, 2003), evolved to become talks at conferences and events, and is now broadening for example through live-streaming of events and using channels such as online video content, and other modern creative approaches such as storytelling and spoken word poetry (Dahlstrom, 2014; Brown, 2015). Written forms of scientific communication initially took the form of books and monographs, but it is now primarily through peer-review [17]

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### 205 1.1 Language and communication in the geosciences

206 There have been growing moves to increased public involvement in scientific issues - from funding  
207 priorities, data collection, and policy decisions - particularly on topics with social and environmental  
208 importance such as climate change, flooding, energy policy, genetically modified crops (e.g. Rowe et al.,  
209 2005; Parkins and Mitchell, 2005; Horlick-Jones et al. 2007; Nisbet, 2009). This progression brings a new  
210 communication challenge: for scientists, policy makers and the publics to be able to share information,  
211 concepts and ideas, and to make shared decisions, they must be able to understand each other. The truth  
212 is that within languages there are sub-sections that are only accessible to those with technical expertise  
213 on the matter at hand, specific language frameworks and jargon are prevalent within specific disciplines  
214 and underpins the explanation of concepts between experts (Montgomery, 1989; Collins, 2011). However,  
215 such language can be incomprehensible to those outside the subject area (Leggett and Finlay, 2001;  
216 Sharon and Baram-Tsabari, 2014). This creates an 'unequal communicative relationship' whereby  
217 the public struggle to comprehend the technical language and goals set by experts (Fischer, 2000, p. 18),  
218 particularly as many experts are ill-equipped to communicate with members of the public (Simis et al.,  
219 2016).

220 This unequal communicative relationship is likely enhanced in the geosciences where seemingly non-  
221 technical, uncertain, or ambiguous terms are used routinely but are underpinned by some tacit  
222 understanding. As an example, geoscientists may refer to dip and strike of faults, joints, or cleavage, which  
223 have specific meanings in geology, but have (multiple) other meanings in the English language. But tacit  
224 understanding is not reliable; loose use of language, ambiguity and poorly defined technical terms can  
225 lead to misunderstanding even amongst experts (van Loon, 2000; Doust, 2010) and between sub-  
226 disciplines (Collins, 2011).

227 It is well established that how individuals perceive new information is influenced by factors such as  
228 expertise, context, prior knowledge, and the language used (McMahon et al., 2015; Venhuizen et al.,  
229 2019). Values and motivation, including affiliations and 'world view', have particular influence on  
230 perceptions of risk and the assessment of any new information (NASEM, 2017; Roberts & Lightbody,  
231 2020), as well as how the information is framed (Pigeon, 2020). Consider the original work on framing by  
232 Tversky and Kahneman (1981). In their example, when disease treatment options were framed positively  
233 (lives saved) rather than negatively (lives lost) people chose more risky treatment options. Similar work  
234 has found that how geoscience data and information is framed affects decision-making (Taylor et al., 1997;  
235 Barclay et al., 2011; Alcalde et al., 2017).

236 There was a notable shift in the framing of positive and negative arguments around shale gas extraction  
237 in the UK. Early arguments adopted local frames (i.e. concerns about local effects such as induced  
238 seismicity, traffic, noise), and these arguments were replaced by global frames i.e. concerns about the  
239 climate change implications of developing onshore gas resources (Hilson, 2015), or the changing role of  
240 natural gas in the energy transition (Partridge et al., 2017). But, as we show in the remainder of this  
241 section, induced seismicity kept a high public and political profile in the UK.

### 242 1.2 Hydraulic fracturing, induced seismicity, and shale gas development

243 Hydraulic fracturing (often referred to as 'fracking') is the process of fracturing rocks at depth by injecting  
244 pressurised fluids. The process locally increases the permeability of the rock formation which is useful for

472 a range of applications ranging from improving water extraction (Cobbing & Dochartaigh, 2007),  
 473 enhancing deep geothermal energy production (Breed et al., 2013), to enabling the recovery of natural  
 474 gas trapped in rocks with a low permeability, such as 'tight gas' or shale gas (Mair et al., 2012). Hydraulic  
 475 fracturing also occurs in nature, usually where geological processes cause geofluids to become  
 476 overpressured enough to overcome the rock strength and cause the rock to fracture (e.g. Engelder &  
 477 Lacazette, 1990; Fall et al., 2015).

478 For shale gas extraction, hydraulic fracturing is one of several processes that allow the hydrocarbons to  
 479 be recovered from the low permeability rocks in which they are trapped (King, 2012). A borehole might  
 480 be hydraulically fractured as part of shale gas exploration or development, where exploration refers to  
 481 activities to investigate the commercial viability of a potential shale gas resource, and development refers  
 482 to activities to support commercial production of the resource.

483 As a rock fractures, seismic energy is released (e.g. Tang and Kaiser, 1998) as a seismic event, or seismicity.  
 484 For shale gas hydraulic fracturing, because the fracturing process is man-made, the seismicity is  
 485 categorised as 'human-induced seismicity' or, simply, 'induced seismicity'. Many processes induce  
 486 seismicity, from mining and quarrying, filling and dewatering reservoirs, to disposing of wastewaters by  
 487 injection into rock formations (Westaway & Younger et al., 2014; Pollyea et al., 2019). However not all  
 488 seismic events have any detectable effect in terms of being felt at the surface or even recorded (Kendall  
 489 et al., 2019). The UK's seismic network cannot generally pick up events smaller than magnitude 2 in rural  
 490 areas or 2.5 in urban areas due to background noise.

491 There are a number of approaches to quantify, and so report on, the size of a seismic event. The moment  
 492 magnitude ( $M_w$ ) relates to the seismic moment, which is the energy released by the event. The local  
 493 magnitude ( $M_L$ ) measures the ground displacement. The two scales  $M_L$  and  $M_w$  are fundamentally  
 494 different, and so the  $M_w$  and  $M_L$  of a seismic event can diverge, particularly for large ( $> M_L 6.0$ ) and small  
 495 ( $< M_L 2.0$ ) events (Clarke et al., 2019; Kendall et al., 2019). Seismologists prefer  $M_w$  because it relates to  
 496 the properties of the fracture (the seismic moment) and because  $M_L$  breaks down for smaller events  
 497 (below  $M_L 2$ ) (Kendall et al., 2019). However  $M_L$  is easier to use for real-time reporting, and so is used to  
 498 report seismic events and to regulate induced seismicity (Butcher et al., 2017). A variety of terms are used  
 499 by both experts and laypeople to describe a seismic event, including earthquakes, tremors, micro-  
 500 earthquakes. Seismologists have proposed particular terminology based on the property of a seismic  
 501 event, such as the frequency content or the magnitude (for example, see Bonhoff et al., 2009; Eaton et  
 502 al., 2016), but there is no common classification framework. This poses questions such as 'How big is a  
 503 small earthquake?' (Kendall et al. 2019).

504 Hydraulic fracturing will be accompanied by release of seismic energy as the rock is fractured by the fluid  
 505 pressure (Kendall et al, 2019). The energy released by an individual fracture is small, typically representing  
 506  $M_L -1.5$  (Mair et al., 2012), but if hydraulic fracturing fluids reach a pre-stressed fault larger events can  
 507 occur (Clarke et al., 2019). Induced seismicity is thus inherent in hydraulic fracturing. But there are  
 508 uncertainties regarding the measurement, forecasting of and magnitude of these events (Kendall et al.,  
 509 2019). The nominal detection level for the UK seismic monitoring network (seismograph stations operated  
 510 by the British Geological Survey) is  $M_L = 2.0$  (i.e. events above  $M_L 2$  might be felt at the surface) (Kendall  
 511 et al., 2019), whereas acoustic monitoring systems away from background noise can record very small  
 512 seismic events down to magnitude  $M_w -4$  (e.g. in mines, see Kwiatak et al. 2011, Jalali et al., 2018).  
 513 Whether or not an event is felt at the surface depends on several factors, including the seismic moment,  
 514 the hypocentral depth and the attenuating properties, structure of the rocks through which the energy  
 515 travels, and other local conditions such as the stiffness of the ground, the background noise and the time  
 516 of day (Butcher et al., 2017; Kendall et al., 2019). Further, recorded  $M_L$  is dependent on the seismic  
 517 detection network, including the array density and location distance between source and detector  
 518 (Butcher et al., 2017).

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559 Incidences of felt seismicity associated with hydraulic fracturing for shale gas in the UK, US, Canada and  
 560 China are well documented (Warpinski et al. 2012; Verdon and Bommer, 2020; Schultz et al., 2020) but  
 561 when shale gas exploration began in the UK, this was not the case. Despite many thousands of hydraulic  
 562 fracturing treatments, there were no recorded incidences of felt seismicity associated with fracking in the  
 563 shale gas basins first developed in the USA (Verdon and Bommer, 2020). Seismic events that had been felt  
 564 were due to geological disposal of hydraulic fracturing waste water rather than the fracking process itself  
 565 (e.g. Elsworth et al. 2015). However, in 2011 a series of seismic events with maximum magnitude (M<sub>L</sub>) 2.3  
 566 and 1.5 (Clarke et al., 2014) occurred at the Preese Hall shale gas exploration site in Lancashire (NW  
 567 England, UK), suspending operations. These seismic events led shale gas activities to have a high public  
 568 and political profile (Green et al. 2012; Selley, 2012; Clarke et al. 2014), receiving widespread media  
 569 coverage, and stimulating a wave of public protests against shale gas activities (c.f. Jaspal & Nerlich, 2014).  
 570 The UK government introduced a moratorium on hydraulic fracturing for 6 months following the 2011  
 571 events. In December 2012 the UK Government lifted the moratorium in England and Wales, but in  
 572 Scotland moratoria have been applied by Scottish Government. The UK government introduced new  
 573 regulatory requirements intended to effectively mitigate seismic risks (DECC, 2013a; DECC 2013b),  
 574 including a traffic light system (Figure 1) based on the local magnitude (M<sub>L</sub>) of induced events. In  
 575 November 2019 the moratorium was reapplied following publication of the Oil and Gas Authority's report  
 576 (BEIS, 2019a; OGA, 2019) on a series of seismic events (up to 2.9 M<sub>L</sub>) that occurred at the Preston New  
 577 Road shale gas site, also in Lancashire, in August 2019. Since the 2011 events at Preese Hall, many more  
 578 incidences of felt seismicity related to hydraulic fracturing have been documented (Schultz et al., 2020;  
 579 Verdon and Bommer, 2020). It's now understood that the occurrence of felt seismicity from hydraulic  
 580 fracturing is highly site-specific, and depends on geological and geomechanical conditions of the reservoir  
 581 and the hydraulic fracturing operation design (Schultz et al., 2020; Verdon and Bommer, 2020), as well as  
 582 characteristics of the local site (Butcher et al., 2017).

583 It is with this backdrop that we examine the available evidence of expert and non-expert perspectives on  
 584 the risks of seismicity associated with hydraulic fracturing, and the language and terminology adopted  
 585 when describing these risks.

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587  
 588 **Figure 1:** The UK's traffic light system for regulating induced seismicity from hydraulic fracturing activities for shale  
 589 gas extraction, figure from DECC (2013b), made by the Oil and Gas Authority. The traffic light system is based on a  
 590 risk mitigation technique originally developed for geothermal (Cremonese et al., 2015). It requires operators to

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645 monitor seismic activity in real time and if seismic events are detected, to proceed or stop depending on the  
646 magnitude (M<sub>L</sub>) of these events. Under this regulation, activities at Preston New Road were suspended several times  
647 during hydraulic fracturing in December 2018 (OGA, 2019).

## 648 2. Induced seismicity and hydraulic fracturing: a review of perspectives and language used

649 In order to investigate expert and non-expert views and language preferences around induced seismicity  
650 and hydraulic fracturing in the UK, we must first define what is meant by 'expert' and 'non-expert' in this  
651 context. 'Expert' is a flexible term, but is usually applied to a person considered to be particularly  
652 knowledgeable or skilled in a certain field (Lightbody and Roberts, 2019). Here, we consider expertise to  
653 refer to in-depth knowledge about an aspect of the hydrocarbon industry; be it technical (environmental  
654 regulation, oil field services including geoscience and petroleum engineering), or topical (energy policy  
655 and politics, energy or gas markets, regulation, environmental impact assessment, financing projects and  
656 investments). The wider publics or 'lay' audiences are not expected to have in-depth technical or topical  
657 expertise, and so we refer to them as 'non-expert' or 'lay' audiences in this paper. However, we  
658 understand that such categorisations are simplistic; the publics can hold valuable experiential and  
659 contextual knowledge, rather than (but not excluding) technical or topical knowledge.

660 To examine expert and non-expert perspectives on induced seismicity we review publicly available  
661 resources (published before November 2019). For expert views, we look to reports from expert groups  
662 such as learned societies, expert panels and scientific enquiries. These materials draw on a range of  
663 evidence, including peer-reviewed publications in scientific journals, and are generally intended for a  
664 stakeholder audience, including the publics. We do not consider peer-reviewed publications in scientific  
665 journals; the outcomes of such studies will be captured within the expert reports, and peer reviewed  
666 publications are not intended for public readership. For lay perspectives, we examine social science  
667 studies examining public opinions on hydraulic fracturing, looking for evidence of public views on induced  
668 seismicity in particular.

669 We restrict our study to the risk of induced seismicity from hydraulic fracturing reported by expert and  
670 lay audiences and the associated language used. We do not seek to determine whether the risk is  
671 considered to be acceptable and to whom, and the variables that influence this.

672 A summary of outcomes from expert-led publications are shown in Table 1A, and from studies of public  
673 perceptions around shale gas topics in Table 2. It should be noted that in the review period (2012 to 2019)  
674 the state of knowledge about hydraulic fracturing induced seismicity was evolving, as outlined in Section  
675 1.2.

### 676 2.1 Expert and lay perspectives on the risk of induced seismicity for hydraulic fracturing

677 All expert reports that we reviewed, and which examined seismicity risk concluded that the risks of  
678 induced seismicity from hydraulic fracturing in the UK are very low, and that any induced events will be  
679 below the threshold of felt seismicity (Table 1). It is therefore fair to surmise that there is general  
680 agreement amongst expert bodies that the risks of induced seismicity are lower or no different to other  
681 human-induced seismicity. To be clear, agreement on induced seismicity does not reflect agreement on  
682 or support for other aspects of shale gas exploration and development, such as the business case for, or  
683 environmental ethics of, fracking (Howell, 2018; Van de Graaf et al., 2018).

684 All studies of public perceptions (non-expert) around shale gas topics find that the publics associate the  
685 risk of induced seismicity with hydraulic fracturing, although it is very often not the primary risk or  
686 concern. These studies and their findings are summarised in Table 2. Table 2 also illustrates the  
687 similarities/differences in the phrases used in these studies to refer to induced seismicity. These  
688 differences are typically introduced by researchers either in the research design or the analysis, rather  
689 than in the phrasing used by participants. To examine insights from these studies in more detail, we first

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**Deleted:** these terms... 'Expert' is a flexible term, but is usually applied to a person considered to be particularly knowledgeable or skilled in a certain field (Lightbody and Roberts, 2019). Here, we consider expertise to refer to in-depth knowledge about an aspect of the shale gas...hydrocarbon industry; be it technical (environmental regulation, geological, geological engineering, petroleum engineering, ...oil field services including geoscience and petroleum engineering), or topical (energy policy and politics, energy or gas markets, regulation, environmental impact assessment, financing projects and investments). The wider publics or 'lay' audiences are not expected to have such ...n-depth technical or topical expertise, and so we refer to them as 'non-expert' or 'lay' audiences in this paper. they have lay-views, which we refer to here as non-expert. HH...wever,, ... [30]

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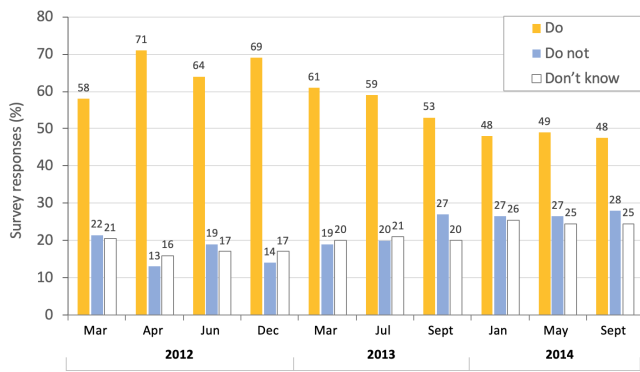
**Deleted:** In contrast, studies of public perceptions (non-expert) around shale gas topics find a range of concerns around induced seismicity. ...hese studies and their findings9]

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901 summarise findings from cross-public closed surveys before we look to the results of dialogic and  
 902 deliberative research. In each case, mindful that public views may have been evolving, the studies are  
 903 presented chronologically in the order in which they were conducted (not the order in which they were  
 904 published). As before, we are interested in the perceived risks of and language around induced seismicity,  
 905 and not the public opinion around fracking for shale gas, though the latter is the primary motivation for  
 906 many of the studies that we examined.

907 A number of closed-response surveys have been undertaken to assess UK-wide public attitudes towards  
 908 shale gas and related topics. The most comprehensive of these in terms of a longitudinal dataset is the  
 909 YouGov survey organised by University of Nottingham. The survey was administered 12 times in the  
 910 period March 2012 - October 2016 (Andersson-Hudson et al., 2016; O'Hara et al., 2016). Following a  
 911 knowledge question which filtered out participants who didn't know what hydraulic fracturing or shale  
 912 gas was, respondents were then asked questions about multiple aspects of shale gas development. One  
 913 question asked whether they do or do not associate earthquakes with shale gas, with the option to answer  
 914 'don't know'. In the period 2012-2014, there is a steady decline in the number of participants who  
 915 associate shale gas extraction with earthquakes (and a corresponding increase in those that do not (Figure  
 916 2). In the three surveys conducted in 2014 the responses appear to have stabilised.



917 "Do you associate earthquakes with shale gas?"

918 Figure 2: Responses to the ten University of Nottingham surveys administered between 2012-14 via YouGov to  
 919 assess public perspectives on shale gas development for the ten surveys (c.f. O'Hara et al., 2016). During the period  
 920 2012-14, the number of participants that associate shale gas with earthquakes decreases, while the number of  
 921 participants that do not associate, or don't know, increases. Results from the additional two surveys administered  
 922 between 2014-16 are not publicly available.

924 The Energy and Climate Change Public Attitudes Tracker is a quarterly UK-wide survey conducted by the  
 925 Department of Business, Energy and Industrial Strategy (BEIS, previously the Department of Energy and  
 926 Climate Change, DECC), to capture changing public attitudes towards energy and climate change issues.  
 927 Questions about shale gas were included in the survey from June 2012, and since 2015 reasons for  
 928 support, opposition, or no view have been enquired about (Howell, 2018). One of the reasons for  
 929 opposition to shale gas that is consistent across the BEIS surveys is 'risk of earthquakes', ranked fourth  
 930 out of five common concerns (Bradshaw & Waite, 2017). Opinium Research led two online surveys to  
 931 explore public attitudes to fracking in 2014 and 2015 (reported in Howell, 2018). The survey did not ask  
 932 participants about perceived risks. However, questions from the Opinium Research were adapted for a  
 933 different online omnibus survey fielded by YouGov, also 2015 (Howell, 2018). Howell (2018) found the

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977 majority (43.2%) of respondents who answered a knowledge question about shale gas correctly agreed  
978 that “fracking could cause earthquakes and tremors”, whereas 18.8% disagreed (the remainder answered  
979 ‘don’t know’). However, the level of positive response for earthquakes and tremors ranked towards the  
980 lowest of the range of negative environmental and social risks (including damage to the local environment,  
981 water contamination, negative affect on climate change, and health risks). A one-off online survey in 2014  
982 (Whitmarsh et al., 2015) finds that 40.4% of participants agreed that they are “concerned about the risks  
983 of earthquakes from shale gas fracking”, with 20.8% reporting that they disagreed, and the remainder  
984 undecided. In this survey public were marginally less concerned about earthquakes than they were about  
985 water contamination.

986 The most recently published survey, UK National Survey of Public Attitudes Towards Shale Gas, conducted  
987 in April 2019, is the first to seek to understand what the public knows or thinks about specific regulations  
988 for shale gas, including the ‘traffic light system’ for monitoring and regulating induced seismicity (Evensen  
989 et al., 2019). The majority of participants felt that the traffic light guidance is not stringent enough, and  
990 would oppose any changes to raise the threshold to 1.5 ML, suggesting that concerns around risks of  
991 induced seismicity from hydraulic fracturing remain (Evensen et al., 2019).

992 Overall, these closed surveys indicate that seismicity induced by hydraulic fracturing is an important issue  
993 for publics. However, as is the nature of closed surveys, to some degree the topics of concern are pre-  
994 identified during the survey design, and are shaped by the phrasing question (a problem that is well-  
995 documented in research methods and risk research, see, for example, Gaskell et al., 2017). For example,  
996 the Whitmarsh et al. (2015) survey asked questions in the style “I am concerned about [environmental  
997 risk]”; other questions in the same survey were focused on risks around energy security or energy prices,  
998 and did not use the words ‘concern’ or ‘risk’, both of which have negative associations. Similarly, Howell  
999 (2018) found the question, “fracking could cause earthquakes and tremors”, is interpreted to be a  
1000 negative statement about fracking, rather than, say, a factual statement. Further, we note that statements  
1001 regarding earthquake risk were conditional (‘could cause’), whereas all other provided risks except for  
1002 water contamination were unconditional (‘will cause’).

1003 Two studies adopted open survey questions. Craig et al. (2019) studied public views towards fracking and  
1004 how these changed with distance from a region of County Fermanagh with potential shale gas resources  
1005 and a granted petroleum exploration license. Survey results, which were gathered in 2014, indicated that  
1006 risk of ‘increased seismicity’ ranked eighth amongst the ten risks considered to be a concern by survey  
1007 respondents. All of the identified risks increased with proximity of residence to the licensing area,  
1008 including the perceived risk of increased seismicity due to hydraulic fracturing. McNally et al. (2018) found  
1009 seismicity ranked third out of four common disadvantages identified from an open question about  
1010 advantages and disadvantages of fracking. When the same question was asked about ‘using hydraulic  
1011 pressure to extract natural gas’, seismicity was not raised as a disadvantage.

1012 Analysis of qualitative data presented in the public inquiry on planning permission for shale gas  
1013 development in Lancashire (held in 2016) found that “seismic activity was raised regularly in the public  
1014 sessions. Several of those who spoke had first-hand experience of seismic activity having felt the tremors  
1015 from Cuadrilla’s hydraulic fracturing at Preese Hall in 2011” (Bradshaw & Waite, 2017).

1016 Williams et al. (2017) reports on deliberative focus group discussions on shale gas development. The  
1017 groups were held in Northern England in 2013, and Williams et al. reported that explicit concern about  
1018 induced seismicity was not expressed, although some groups did express ‘worst case scenario’ thinking  
1019 around a number of potential risk and impact pathways (Williams et al., 2017). Similarly, a series of 1-day  
1020 deliberations in the UK and the US held in 2014 found that participants did not express particular concern  
1021 about induced seismicity (Thomas et al., 2017a). In deliberative interviews held in Wales in 2013/14 the  
1022 risk of earthquakes or tremors was ranked 13<sup>th</sup> out of 19 pre-identified risks in a card sorting exercise  
1023 (Whitmarsh et al., 2014). In 2016 a Citizens’ Jury (a format for public deliberation) was held in Preston,  
1024 Lancashire (NW England) approximately 10 miles from the Preese Hall shale gas development.

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Deleted: The results of these closed surveys should therefore be interpreted and compared with some caution.

Deleted: Indeed, while qualitative analysis of data presented in the public inquiry on planning permission for shale gas development in Lancashire (held in 2016) found that “seismic activity was raised regularly in the public sessions. Several of those who spoke had first-hand experience of seismic activity having felt the tremors from Cuadrilla’s hydraulic fracturing at Preese Hall in 2011” (Bradshaw & Waite, 2017)

Deleted: , findings from other qualitative research suggest that such concerns be relatively low importance compared to other perceived risks. For example,

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1051 Transcriptions from the proceedings show that while participants raise questions around earthquake risks  
1052 from shale gas extraction (and geological CO<sub>2</sub> storage), concerns about induced seismicity are not  
1053 reported to be a dominant issue (Bryant, 2016).

## 1054 2.2 Language used by expert and lay audiences on the risk of induced seismicity

1055 As Jaspal and Nerlich (2014) reflect, terms such as 'earthquakes' evoke imagery of destruction and  
1056 disaster, whereas phrases like 'seismic activity' or 'tremors' are less threatening. Since language is not a  
1057 neutral tool, the choice of words used by experts, social researchers and public participants might be  
1058 carefully chosen.

1059 Experts use a range of terms to describe induced seismicity (Table 1). The seismic events themselves might  
1060 be referred to as *micro-seismic events*, *seismicity*, and *earthquakes*. A distinction is made between *natural*  
1061 and *induced* earthquakes, and the events that may occur from hydraulic fracturing or other man-made  
1062 activities are described as being *induced* by or *triggered* by these activities where induced can mean solely  
1063 due to fracking, and triggered can mean that the occurrence was accelerated by fracking, but might have  
1064 occurred naturally. The authors use qualifiers such as *minor*, *low-magnitude*, *small* to indicate the size or  
1065 magnitude of seismicity associated with fracking. Finally, while the consequences of seismicity are  
1066 sometimes referred to in terms of *vibrations* or *tremors*, more often there is a distinction between *felt*  
1067 and *not felt* events.

1068 In some cases, the language around seismicity in policy reports is inconsistent and confusing. For example,  
1069 a DECC (2013) report lays out regulatory requirements designed "to ensure that seismic risks are  
1070 effectively mitigated" (p6) and "to prevent any more earthquakes being triggered by fracking" (p19). But  
1071 the regulations allowed induced seismic events of magnitude ( $M_L$ ) < 0.5 ("green light"), implying that these  
1072 events are not considered to be earthquakes, although no definition of the term is provided. On the next  
1073 page (p20) an additional qualifier is added which gets around this contradiction: the regulations are  
1074 "designed to prevent any more *perceptible* earthquakes being triggered by fracturing". The 2019 OGA  
1075 report (which summarised a series of studies commissioned by the OGA to understand and learn from the  
1076 induced seismicity observed at the Preston New Road development in 2018) concluded that rules based  
1077 on current understanding of induced seismicity cannot be "reliably applied to eliminate or mitigate  
1078 induced seismicity" (OGA, 2019). The authors of this OGA report do not define what is meant by induced  
1079 seismicity (i.e. what magnitude won't be reliably mitigated). As outlined in Section 2.1, it is not possible  
1080 to eliminate risks of all magnitudes of induced seismicity from the hydraulic fracturing process.

1081 In comparison, the terminology to describe induced seismicity reported in public perception studies is  
1082 much less varied (Table 2). However in many cases, the phrases are selected by the researchers, either  
1083 when designing the survey question or when reporting on the research outcomes. For example, four of  
1084 the five closed question surveys about induced seismicity refer to risk of 'earthquakes'. The researchers  
1085 designing closed surveys might have opted to use the term 'earthquake' since it is commonplace and  
1086 widely understood, whereas 'seismic activity' might be considered to be jargon. Results from the only  
1087 survey to add a size-qualifier, asking about 'earthquakes or tremors' (Howell, 2018), are very similar to the  
1088 results of surveys which simply asked about 'earthquakes'.

1089 In contrast, of the phrasing chosen by researchers (to report on results from open question surveys, or to  
1090 report on the results from deliberative approaches), only one study refers to 'earthquakes' (Thomas et  
1091 al., 2017a). Researchers reporting qualitative methods use terms such as '*seismic activity*', '*seismicity*', or  
1092 '*minor earthquakes*'. These terms might have been selected to reflect the level of risk perceived by  
1093 participants. The phrases that publics themselves adopted are not reported in these studies, except for in  
1094 the report on the citizens' jury on fracking where, in their questions, participants wanted to get to grips  
1095 with whether the 2011 Preese Hall seismic events had been "real/genuine" (i.e. caused by hydraulic  
1096 fracturing) or "natural tremor" (i.e. background seismicity) (Bryant et al., 2016, pp 14).

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Deleted: Our review indicates that the reported level of public concern about induced seismicity suggested by the results from UK-wide surveys may be a product of the survey structure, including the phrasing of, or the type of questions that are asked and also a product of the analysis and reporting of survey results. Deliberative and dialogic approaches find that concerns around the risk of induced seismicity are not as significant as the surveys suggest; while concerns around induced seismicity are raised, it is not a primary or dominant concern within the context of other perceived risks. That said, Thomas et al. (2017) report that deliberative groups in the UK and the US felt that if shale development were to cause earthquakes, however small, development should not be pursued. Similarly, Williams et al. (2017) reports how one deliberative group reflected that

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1176 While dialogic or deliberative studies in the UK find that risks of induced seismicity tend not to take  
1177 precedence in the public discussions, that's not to say that the risks are acceptable. Thomas et al. (2017a)  
1178 report that deliberative groups in the UK and the US felt that if shale gas development were to cause  
1179 earthquakes, however small, development should not be pursued. Similarly, Williams et al. (2017) reports  
1180 how one deliberative group reflected that public tolerances to industrial activities (which induce  
1181 seismicity) may have changed such that activities that were acceptable in the past are no longer  
1182 acceptable to the public. Finally, early results from a recent investigation into public attitudes to the UK  
1183 governments traffic light system to regulate induced seismicity suggest that participants support stringent  
1184 monitoring of induced seismicity (Evensen et al., 2019). These insights imply that the public's risk  
1185 tolerance to induced seismicity from shale gas production is low.

### 1186 2.3 Knowledge, language and risks of induced seismicity

1187 The physical process of hydraulic fracturing will, by definition, release seismic energy – whether the  
1188 release of this energy is detectable as an 'event' or not. Accordingly, the expert reports that we reviewed  
1189 conclude that there is risk of induced seismicity from hydraulic fracturing, albeit low. Depending on how  
1190 'earthquake' is defined (c.f. 'How big is a small earthquake?' Kendall et al., 2019), it could be argued that  
1191 assertions used to gage public views such as "shale gas development is associated with earthquakes" are  
1192 factual. Might the questions indicate level of knowledge of the association, rather than indicate the level  
1193 of perceived risk? Howell (2018) finds that respondents who correctly answer a knowledge question about  
1194 shale gas are more likely to agree with the statement "fracking could cause earthquakes and tremors"  
1195 (43.2%) than to answer don't know (38.0%) or to disagree (18.8%). Further, Andersson-Hudson et al.  
1196 (2019) finds that publics more knowledgeable about shale gas have more unified views. Indeed, all cross-  
1197 public surveys studied here find motivations determine public responses: associating fracking with  
1198 earthquakes negatively correlates with support for the technology and relate to demographic variables  
1199 including political views and gender (Andersson-Hudson et al., 2016; 2019; Howell, 2018; O'Hara et al.,  
1200 2016; Evensen et al., 2017). These findings align with similar studies in Europe (Lis et al., 2015; Evensen et  
1201 al., 2018), US (Boudet et al., 2014; Graham et al., 2015) and Canada (Thomas et al., 2017b).

1202 In summary, through our review and analysis of previous surveys, reports and papers, we have revealed  
1203 uncertainties in the perceived risk of seismicity induced by hydraulic fracturing for shale gas. There is  
1204 broad agreement amongst experts that while induced seismicity is associated with hydraulic fracturing,  
1205 the likelihood of felt seismicity is dependent on context-specific technical factors. All the expert reviews  
1206 concluded that the risk presented by such seismicity is low. Generally these reports distinguish between  
1207 felt and not felt seismic events, but there is no systematic use of terminology to describe seismicity, nor  
1208 the risk it presents. We find that associations between induced seismicity and shale gas are common  
1209 across nearly all public studies that we reviewed. Perceived risk is not ubiquitous amongst all publics, and  
1210 often other reported environment or social risks take prevalence. However, the level of perceived risk of  
1211 induced seismicity and understanding around the topic is difficult to compare due to differences in  
1212 research approaches and the language used to elicit and report on public views. Given the ambiguities in  
1213 terminology around hydraulic fracturing induced seismicity, it is interesting to consider whether questions  
1214 around 'risk of earthquakes' might be understood or interpreted differently according to, say,  
1215 participants' views about shale gas, or understanding of the hydraulic fracturing process. And are  
1216 ambiguous terms such as 'earthquake' or 'tremor' potentially loaded or leading?

1217 In the next section, we explore whether or not knowledge levels affect whether seismicity is associated  
1218 with shale gas, and how the language used in the questions asked affects the answer provided.

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Year	Report (purpose)	Conclusion on (risk of) induced seismicity	Terminology used to describe seismicity
2012	<p><b>Mair et al. (2012)</b> Royal Society and Royal Academy of Engineering (2012) 'Shale gas extraction in the UK: a review of hydraulic fracturing' <i>Report commissioned by UK Government Chief Scientific Adviser.</i></p>	<p>"Seismic events induced by hydraulic fracturing ... do not produce ground shaking that will damage buildings. The number of people who feel small seismic events is dependent on the background noise." (pp 16) "Magnitude 3 ML may be a realistic upper limit for seismicity induced by hydraulic fracturing (Green et al. 2012)" (pp 41). The report recommends a <b>Traffic Light System</b> to be put in place (transferred learning from geothermal energy developments)</p>	<p>Varied terminology, including: <i>induced seismicity, seismic event, vibrations, felt/not felt, magnitude and intensity.</i></p>
	<p><b>AEA (2012)</b> AEA Report for European Commission DG Environment 'Identification of Potential Risks for the Environment and Human Health arising from Hydrocarbons Operations involving Hydraulic Fracturing in Europe' <i>Report commissioned by the European Commission DG Environment to inform policy.</i></p>	<p>The risk of significant induced seismic activity was considered to be low; the frequency of significant seismic events is judged to be "rare" and the potential significance of this impact is "slight" (pp 60)</p>	<p>Tend only to refer to <i>very small magnitude, seismic activity, earth tremors.</i></p>
	<p><b>Green, C. A., et al. (2012)</b> Preese Hall shale gas fracturing review and recommendations for induced seismic mitigation. <i>Report commissioned by DECC to examine the possible causes of seismicity at Preese Hall in April/May 2011.</i></p>	<p>The report concludes that the observed seismicity in April and May 2011 was induced by the hydraulic fracture treatments at Preese Hall. The authors also conclude that, providing that proposed best practice operational guidelines are implemented and followed, the risk of induced seismicity should not prevent further hydraulic fracture operations in this area.</p>	<p>The authors primarily refer to <i>earthquakes or seismic events</i>, and sometimes refer to "small" events/earthquakes.</p>
	<p><b>Kavalov &amp; Pelletier (2012)</b> European Commission Joint Research Centre (2012) 'Shale Gas for Europe - Main Environmental and Social Considerations' <i>Undertaken by the European Commission's in-house science service to provide evidence-based scientific support to the European policy-making process.</i></p>	<p>"Drilling and hydraulic fracturing activities may lead to low-magnitude earthquakes" (pp 26). The authors make no conclusions on risk, but recommend that "the severity and probability of this hazard should be carefully assessed on site by site basis".</p>	<p>Refer only to <i>low-magnitude earthquakes</i></p>
2013	<p><b>DECC (2013c)</b> DECC Report 'About shale gas and hydraulic fracturing' <i>Government response to common questions raised in the UK-wide consultation on shale gas and fracking.</i></p>	<p>Regulations are designed to "ensure that seismic risks are effectively mitigated".</p>	<p>A mix of terms are used, including <i>seismicity, events, activity, tremors</i>. The most frequent term is <i>earthquake</i>, in some cases with qualifiers such as <i>perceptible, large, small, very small</i>.</p>

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	<p><b>National Research Council (2013)</b> US National Research Council 'Induced Seismicity Potential in Energy Technologies'</p> <p><b>Cook et al. (2013)</b> Australian Council of Learned Academies (ACOLA) Unconventional Gas Production: A study of shale gas in Australia <i>Report the Prime Minister's Science, Engineering and Innovation Council</i></p>	<p>"The process of hydraulic fracturing a well as presently implemented for shale gas recovery does not pose a high risk for inducing felt seismic events" (pp 18).</p> <p>Induced seismicity from hydraulic fracturing itself does not pose a high safety risk (pp 137). Risks can be managed by adopting a range of mitigation steps.</p>	<p>Only refer to <i>earthquakes</i> and <i>seismicity</i></p> <p><i>Earthquakes</i> or <i>seismicity</i> are used most often, but with qualifiers such as <i>minor, low magnitude, felt</i>.</p>
2014	<p><b>European Commission (2014)</b> European Commission Recommendation on minimum principles for the exploration and production of hydrocarbons using high-volume hydraulic fracturing <i>EU Regulation/legislation</i></p>	<p>The recommendations refer only to risk assessment protocols for induced seismicity, not the risk of <del>seismicity</del>.</p>	<p>Refers only to <i>seismicity</i></p>
	<p><b>Scottish Government (2014)</b> Expert Scientific Panel on Unconventional Oil and Gas Development <i>Report from an expert panel set up by Scottish Government</i></p>	<p>"seismic effects are expected to be small in magnitude" (pp 39); "very low likelihood of felt seismicity" from fracking (pp 48)</p>	<p>A number phrases are used. <i>Seismicity</i> is often pre- by <i>micro-, trigger/induce, or felt</i>. Also refer to <i>tremors, (natural) earthquake</i>.</p>
2015	<p><b>TFSG (2015)</b> Task Force on Shale Gas 'Assessing the Impact of Shale Gas on the Local Environment and Health' <i>Second report by the industry-funded expert panel Task Force on Shale Gas.</i></p>	<p>"Shale gas operations have the potential to cause tremors albeit not at a level higher than ...other comparable industries in the UK, nor at a frequency or magnitude significantly higher than natural UK earthquakes" (pp 9).</p>	<p>Refer mostly to <i>earthquakes</i> and <i>tremors</i> (and to a lesser extent, 'events'), but often prefacing these terms with words such as <i>small, tiny, minor, micro</i>.</p>
	<p><b>Cremonese et al. (2015)</b> Institute for Advanced Sustainability Studies (IASS) Potsdam Policy Brief Shale Gas and Fracking in Europe <i>Policy brief to inform European Policy</i></p>	<p><i>"The rock fracturing process generates small seismic events of a very low magnitude (microseismicity), which are not generally felt by humans."</i> <i>Site specific stress investigations will significantly lower risk of triggering major events.</i> (pp 3).</p>	<p>Refer to <i>small</i> induced seismic events, <del>and</del> <i>microseismicity</i>.</p>
2016	<p><b>Baptie et al. (2016)</b> Unconventional Oil and Gas Development: Understanding and Monitoring Induced Seismic Activity. <i>Report commissioned by Scottish Government</i></p>	<p>Hydraulic fracturing to recover hydrocarbons is generally accompanied by earthquakes with magnitudes of less than 2 ML that are too small to be felt. (pp 2).</p>	<p>Only refer to <i>earthquakes</i> and <i>seismicity</i> or <i>seismic activity</i>, but often specify that these events are induced. Sometimes refer to <i>felt</i>.</p>
2018	<p><b>Scottish Government (2018)</b> Report for Scottish Government's SEA on unconventional gas <i>Report commissioned by Scottish Government</i></p>	<p>The risk of fracking-induced felt seismicity causing damage to properties or people at the surface is considered to be very low (para 13.9). Risk table (14.1) reports that felt seismic activity would have minor negative or negligible effect on activities.</p>	<p>Range of terms including felt <i>seismicity, earthquakes, trigger</i>.</p>
	<p><b>Delebarre et al. (2018)</b> House of Lords Briefing paper CBP 6073 'Shale gas and fracking'</p>	<p>No position indicated - but quote several expert reports which state that the risk of induced seismicity can be managed.</p>	<p><i>Seismicity</i> is used most frequently. <i>Earthquakes</i> and <i>events</i> also commonly used. <i>Tremor</i> and <i>trigger</i> used infrequently.</p>

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	Briefing paper to inform House of Lords debate.		
2019	<b>BEIS (2019b)</b> Guidance on fracking: developing shale gas in the UK (updated 12 March 2019) <i>UK Govt Department for Business, Energy, and Industrial Strategy</i>	"Measures are in place to mitigate seismic activity." [Section 1, par 4]	Seismicity or seismic activity are most often referred to. Do not refer to earthquakes.
	<b>OGA (2019)</b> Oil and Gas Authority 'Interim report of the scientific analysis of data gathered from Cuadrilla's operations at Preston New Road' <i>Summary outcomes from four reports commissioned by OGA in response to induced seismicity at Preston New Road.</i>	It is currently not possible to "reliably eliminate or mitigate induced seismicity" (pp 13).	Seismicity is most often used, with some reference to events and activity.

**Deleted:** The UK's strong regulatory regime is ensuring hydraulic fracturing only happens in a safe and environmentally responsible way."

1291 **Table 1:** A compilation of publicly available expert reports on hydraulic fracturing for shale gas which address  
 1292 induced seismicity, the key conclusion regarding risks of induced seismicity and the phrasing used in the reports to  
 1293 refer to seismicity. While we primarily examine policy-facing reports from the UK, we include examples from EU  
 1294 policy, Australia and the US.  
 1295

	Source	Year data collected (method/approach; sample size)	Findings on public perception of induced seismicity	Phrases adopted (by who)
Surveys	Andersson-Hudson et al. (2016)	2014 (University of Nottingham YouGov survey, <u>closed questions</u> ; sample size: 3,822)	Whether or not earthquakes are associated with hydraulic fracturing is an indicator of support for shale gas	Earthquake (researcher's phrasing in the closed survey question)
	Craig et al. (2019)	2014 (face-to-face surveys in four locations, <u>open questions</u> ; total sample size: 120)	Risk of increased seismicity was ranked 8 out of 10 identified risks associated with fracking	Increased seismic activity (researchers phrasing in their analysis of open question response)
	Evensen (2017)	2014 (University of Nottingham YouGov survey, <u>closed questions</u> ; sample size: 3,823 + US survey, sample size: 1,625)	UK public associated earthquakes with shale gas more than US publics	Earthquake (researcher's phrasing in the closed survey question)
	Whitmarsh et al. (2015)	2014 (local/regional online survey, <u>closed question</u> ; sample size: 1,457)	When asked if they were concerned about the risks of earthquakes from shale gas fracking, 40.4% agreed and 20.8% disagreed	Earthquakes (researcher's phrasing in the closed survey question)
	Howell (2018)	2015 (YouGov online omnibus survey, <u>closed question</u> ; sample size: 1,745)	Fracking could cause earthquakes and tremors (43.2% agree, 18.8% disagree)	Earthquakes or tremors (researcher's phrasing in the closed survey question)
	Andersson-Hudson et al. (2019)	2016 (University of Nottingham YouGov survey, <u>closed question</u> ; sample size: 4,992)	Whether or not earthquakes are associated with hydraulic fracturing is an indicator of support for shale gas,	Earthquake (researcher's phrasing in the closed survey question)

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			particularly for more knowledgeable participants	
	McNally et al. (2018)	2017 (face-to-face surveys in one location, open and closed questions; sample size: 200)	Seismicity was raised as a common concern when the survey used a "fracking" frame, but was not when survey used a 'hydraulic pressure' frame.	Seismicity (researcher's phrasing in their analysis of open question response)
	Evensen et al. (2019)	2019 (YouGov online survey, closed question; sample size: 2,777)	Some level of concern around the risks of seismic activity is implicit in the public attitudes towards the traffic light system (which is perceived not to be stringent enough)	Seismic activity (researcher's phrasing in the closed survey question)
Deliberative approaches	Whitmarsh et al. (2014)	2013-2014 (deliberative interviews, sorting risk cards; sample size: 30)	Minor earthquakes were ranked 13th out of 19 risks pre-defined.	Minor earthquake, (researcher's phrasing in risk cards which interviewees ranked)
	Williams et al. (2017)	2013 (six deliberative focus groups; total sample size: 48)	Explicit concern about induced seismicity wasn't expressed	Seismicity (researcher's phrasing in their analysis)
	Thomas et al. (2017a)	2014 (Series of four 1-day deliberative workshops, two in UK, two in the US; total sample size: 55)	Some concerns were raised regarding earthquake risk, but these weren't particularly important in the context of the deliberations. However, all four groups felt that if shale development were to cause earthquakes, however small, shale gas should not be pursued at all.	Earthquakes (researcher's phrasing in their analysis)
	Bradshaw & Waite (2017)	2016 (qualitative analysis of a public enquiry into shale gas in Lancashire, UK; sample size: N/A)	Concerns about seismic activity were voiced by publics during the inquiry proceedings.	Seismic activity (researchers' phrasing in the paper)
	Bryant (2016)	2016 (citizens jury in Lancashire; sample size: 15)	Questions about seismic activity were asked, but concerns about induced seismicity wasn't explicitly mentioned in the deliberation outcomes.	"real" or "genuine" earthquake, "natural tremor", as referred to by participants.

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**Table 2:** A compilation of published studies which report on public perceptions of induced seismicity in the UK. These are divided into surveys (many of them UK-wide) and more qualitative approaches such as focus groups, and each group is ordered chronologically in terms of when the data were gathered (not in terms of when the papers were published). We identified whether the phrasing used (to describe seismic events) was dictated by the language of the survey questions, or the researcher undertaking the analyses, or the participants themselves.

1327 **3. A survey to examine the rationale and language use behind perspectives on induced seismicity and**  
 1328 **hydraulic fracturing**

1329 **3.1 Methodology**

1330 **3.1.1 Data collection**

1331 We recruited 387 participants from a series of geoscience events on shale gas that were held in 2014,  
 1332 including conferences and public talks (see Table 3). We invited attendees to voluntarily complete and  
 1333 return the surveys, which were anonymous. Our sample includes 204 participants from shale gas specific  
 1334 conferences, 85 participants from geoscience conferences (that were not shale gas specific), and 98  
 1335 participants from science outreach events<sup>3</sup> on shale gas. Since a number of individuals attended several  
 1336 of the conferences and events we requested that people only complete the survey once.

1337

Acronym	Event name (location; date)	Description	N (surveys)
<b>Shale gas specific events</b>			
ESGOS	European Shale Gas and Oil Summit (London; 09/2014)	An industry led conference on shale gas	40
UGA	Unconventional Gas (Aberdeen; 03/2014)	An industry led conference on shale gas	28
SGUK	Shale Gas UK (London; 03/2014)	An industry led conference on shale gas	98
<b>Geoscience events</b>			
TSG	Tectonic Studies Group Annual Conference (Cardiff; 01/2014)	The annual conference of the Geological Society of London specialist group covers a range of topics relevant to tectonic studies. The event included a technical session on hydraulic fracturing and induced seismicity, followed by an open discussion.	57
CCG	Communicating Contested Geoscience (London; 06/2014)	A Geological Society of London conference about issues facing controversial geoscience topics, including shale gas.	66
<b>Public events</b>			
TFA	TechFest (Aberdeen; 09/2014)	Talk and discussion at a local science festival	30
CSA	Café Science (Aberdeen; 02/2014)	Talk and discussion at a Café Science, a popular science communication series that occur across the UK.	59
CHL	Coffee House Lectures (Glasgow; 11/2014)	Talk and discussion at a local research communication series	9

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1338 **Table 3:** The events where attendees were invited to anonymously complete surveys. Public events were generally  
 1339 small local events.

1340 **3.1.2 Survey design**

1341 We adapted a subset of questions from the University of Nottingham surveys (O'Hara et al. 2014;  
 1342 Andersson-Hudson et al., 2016). The questions we used were intended to gather information on the  
 1343 perceived risks of and level of support for shale gas development, and asked for closed answers to a series

<sup>3</sup> These events lasted between 1-2 hrs and consisted of an interactive talk (by one or more of the authors of this paper) followed by a discussion session. All three talks were part of small local events held in Scotland.

1344 of statements about shale gas. Crucially, in our modified survey, participants were asked to provide  
1345 reasoning for the answers they gave.

1346 Conference participants were asked to report which sector they worked in, and all participants were asked  
1347 to report their sources of information about or experience of shale gas (as a proxy for their maximum  
1348 knowledge-level on the topic).

1349 Full survey data (raw and analysed) are available at <insert DOI when generated>.

### 1350 3.1.3 Data Analysis

1351 In this work, we consider only the responses to the closed question “*please state whether you do or do*  
1352 *not associate earthquakes with shale gas*” (from which respondent could select either ‘do’, ‘do not’, or  
1353 ‘don’t know’) and a subsequent open question seeking the reasoning behind the selected answer to  
1354 closed question. In total 385 participants completed the closed question (99% of survey respondents),  
1355 and 292 participants provided informative responses to the open question (67.5% of survey respondents).

1356 Closed answers were coded numerically. Open answers were categorised through thematic coding to  
1357 enable analysis. The codes for thematic analysis were derived iteratively as follows: Firstly, the three  
1358 authors of this paper worked separately on open coding (i.e. inducing themes from the qualitative answers  
1359 to all questions). The three authors then had a series of workshops to share identified codes, determine  
1360 similarities or differences in our codes, and then discuss and reconcile the identified themes, and both  
1361 themes and their definition or scope agreed. The authors then worked separately again to apply the codes  
1362 across all qualitative answers (in several cases a single answer was double or treble coded). The  
1363 author then co-ordinated the codes, seeking consensus in the few cases of disagreement between  
1364 applied codes.

1365 Thematic analysis of all qualitative data (reasoning provided for the selected answer to the closed survey  
1366 question about earthquakes) derived a total of 26 themes, of which 15 apply to answers about induced  
1367 seismicity. These are shown in Table 4. Qualitative answers were coded as null if the content was  
1368 irrelevant, i.e. did not explain the rationale for the answer provided (the most common example being a  
1369 knowledge statement about the topic, for example, “I’ve analysed this issue”, “I work on this topic”) or  
1370 the meaning of the response was ambiguous and couldn’t be deciphered. Overall 80% of all respondents  
1371 provided qualitative responses that were thematically coded.

1372 We examine how these themes vary with job sector and knowledge level. Employment sector responses  
1373 were grouped into academia, industry, civil service, and other. Most of the 289 conference participants  
1374 who completed the survey were from industry (52%) and academia (30%), with only 12% from the civil  
1375 service (3% did not answer this question). Information sources on the topic of shale gas were grouped  
1376 into no prior information, information from media reports, expert reports, and academic research (95%  
1377 of survey respondents answered this question). We consider individuals whose knowledge sources  
1378 include reports and academic papers to be highly informed (i.e. experts). The majority (81%) of the  
1379 conference attendees were in this knowledge category, with 40% obtaining information from academic  
1380 papers and 41% from reports. In contrast most (60%) public talk attendees sourced information about  
1381 shale gas from media.

1382 The public cohort were not intended to represent the perspectives of the general public. The surveys were  
1383 completed at the end of a public talk and discussion on the topic of shale gas, in which induced seismicity  
1384 was raised, and so these publics are both interested and informed, and therefore cannot be a proxy for  
1385 UK-wide attitudes and responses. Instead, the public cohort allow us to examine answers for those who  
1386 obtain the majority of prior information, if any, through media sources (most conference attendees do  
1387 not fit this category). Public respondents were not asked about employment sector.

1388 We compare results from our survey with those from the 12 University of Nottingham YouGov surveys  
1389 (O’Hara et al., 2016). While the Nottingham YouGov surveys document a broad decline in the number of  
1390 respondents that associate shale gas with earthquakes (see Figure 2), the results for the three surveys  
1391 undertaken in 2014, the period in which we undertook our surveys, do not show any decline. We use  
1392 average values from 2014 surveys (48% do, 27% do not, and 25% don’t know) to represent UK-wide views,

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1399 against which we compare our results. For simplicity, we refer to these as the 'UoN 2014' surveys and  
 1400 results.  
 1401

Code	Description: The reason provided indicates that...	Dir
<b>Evidence</b>	There is evidence that shale gas extraction [causes/induces/is associated with] earthquakes. <i>Includes references to events in the USA. References to UK events are coded as below.</i>	↑
<b>Blackpool</b>	Any reference to the seismic sequences at Preese Hall in 2011 as evidence of risk of earthquakes. <i>Includes references to Lancashire, Blackpool, Cuadrilla or more broadly to UK events.</i>	↑
<b>Inconclusive</b>	There is currently not enough evidence to (conclusively) say whether or not shale gas extraction [causes/ induces/is associated with] earthquakes. <i>Includes reference to a need for further research/data (to understand the positive and negative impacts, to improve technology and so on)</i>	↔
<b>No evidence</b>	Shale gas extraction is not associated with [do not cause or induce / is associated with] earthquakes.	↓
<b>Knowledge</b>	Respondent doesn't feel that they know enough about shale gas extraction to say. Or they are on the fence.	↔
<b>Media</b>	Reference to the media coverage of shale gas extraction. Phrases include: <i>press, news, high profile, reporting, public concern, miscommunication, scaremongering, hype, anti-fracking activist, anti- lobby.</i>	↑
<b>Fracturing rock</b>	Shale gas extraction requires the reservoir rock to be hydraulically fractured. This process will release seismic energy. Phrases include: <i>inherent/obvious, fracturing rock, high-pressure fluids, stress change, trigger.</i>	↑
<b>Waste-water</b>	Shale gas extraction may not induce earthquakes, but the geological disposal of waste-water (associated with fracking) does. Phrases include: <i>waste water, waste disposal/injection, USA events.</i>	↑
<b>Reactivation</b>	There is a risk that shale gas extraction may cause earthquakes because the process may reactivate existing fractures and faults which could cause seismicity	↑
<b>Magnitude</b>	The magnitude of any seismic events related to fracking will be very small. Phrases include: <i>micro (seismic/earthquake), tremor, low intensity/energy, tiny, cannot feel them, insignificant, low consequence/impact</i>	↓
<b>Low risk</b>	The risk that shale gas extraction [causes/induces/is linked with] earthquakes is very low. Phrases include: <i>is possible, rare, unlikely, low risk, minor, little impact, not a significant risk.</i>	↓
<b>Definition</b>	Comments or questions how earthquake is defined.	↔
<b>Regulation</b>	The risk that shale gas extraction activities may cause earthquakes can be managed by appropriate regulation and monitoring. Includes reference to regulation, appropriate regulation, enforcing regulation, best practice. Phrases include: <i>monitoring, controllable, manageable</i>	↓

<b>Normal</b>	Any seismic activity that may be induced by shale gas extraction is no different to everyday/background/other activities or industries. i.e. not unique to fracking.	↓
<b>Site</b>	Any risk posed by shale gas extraction is location or place specific. Phrases include: <i>determined by the geology of the region, the depth of the resource, the population etc.</i>	↔

1402 **Table 4:** Codes identified for thematic analysis of participant responses to an open question asking them to provide  
1403 reasoning for the answer they gave to the closed question. The codes are often directional, i.e. they are used to  
1404 reason why earthquakes may be associated with shale gas (positive ↑), why earthquakes may not be associated  
1405 with shale gas (negative ↓). If the code is not directional (or it is bi-directional) it is considered to be neutral (↔).

## 1406 3.2 Survey Results and Analysis

### 1407 3.2.1 Closed question responses

1408 In total 55% of survey respondents who answered the closed question (“do you associate shale gas with  
1409 earthquakes”) ‘do’ associate shale gas with earthquakes, 37% ‘do not’ and 7% ‘don’t know’ (Figure 3A).  
1410 Compared to public attitude surveys asking the same question throughout 2014, our survey finds more  
1411 respondents ‘do’ (+7%) ‘do not’ (+10%) and far fewer ‘don’t know’ (-18%). Overall our respondents are  
1412 much more decided than the general public (see Figure 2, O’Hara et al., 2016). Of our cohort, we find  
1413 more participants from professional conferences and events (which are about, or have sessions at Deleted: fora such as  
1414 shale gas) ‘do’ associate shale gas with earthquakes (58%) than participants attending public talks (48%)  
1415 (Figure 3B).

1416 We observe no obvious trend between the closed answer responses and participant knowledge levels  
1417 (expertise), but we do observe differences (Figure 3C). When grouped into experts and non-expert groups  
1418 (those who source information from research and reports, and those who had no prior information or  
1419 obtained information from the media, respectively), 56% of experts (n. 276) associate shale gas with  
1420 earthquakes and 39% do not. These proportions are very similar to non-experts (n. 109) where 53% do  
1421 and 33% do not, and are in fact very similar to the views of UK-wide publics in 2013, see Figure 2. However,  
1422 grouping in this way masks a difference in responses between those who obtain information from  
1423 research articles and those who use reports. For the latter, shale gas is predominantly associated with  
1424 earthquakes, (64% do; 31% do not) whereas for the former, there is a fairly even split (49% do; 47% do  
1425 not) (Figure 3C). Experts who source information from research articles are not undecided, their view Deleted: These e  
1426 – apparently - polarised.

1427 The only group that predominantly do not associate shale gas with earthquakes are those with no prior  
1428 knowledge of shale gas, although this sample is very small (n. 16). Our results present a more nuanced  
1429 view than the results of Andersson-Hudson et al. (2016) which find that those with more knowledge about  
1430 shale gas are more likely not to associate shale gas with earthquakes.

1431 It would be fair to presume that most academics would source their information from research papers,  
1432 and so it is interesting that the results for job sector present a different perspective (Figure 3D). Two  
1433 response profiles emerge from job sector results: academics and civil service workers (where 65%  
1434 (academics) 68% (civil service) associate earthquakes with shale gas; 28% (academics) 21% (civil service)  
1435 do not), and industry, who present an even mix of views (51% do; 46% do not), similar to those that obtain  
1436 information from research articles.

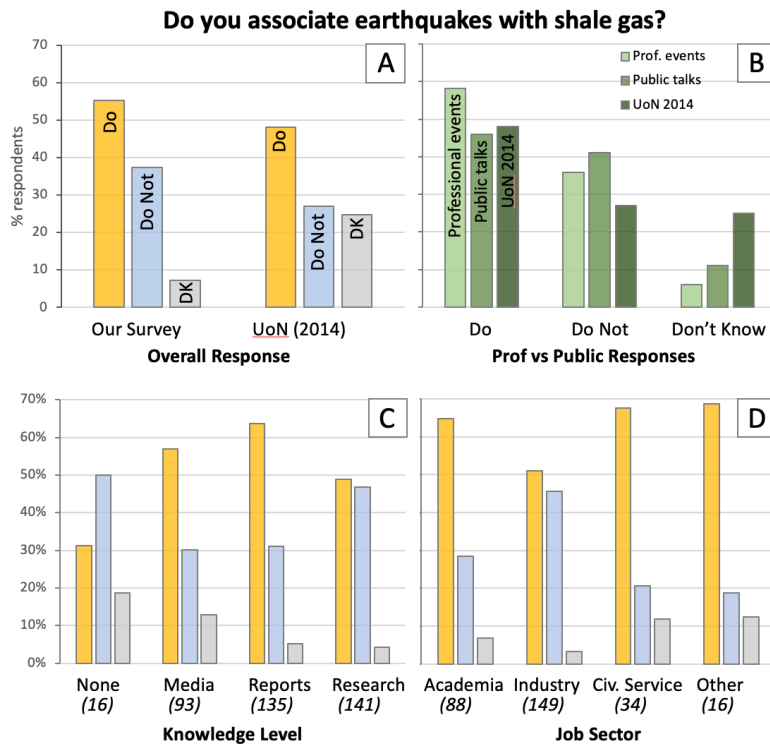
### 1437 3.2.2 Open question responses

1438 Thematic analysis of open responses (which provided reasoning for participants’ closed answer to the  
1439 question ‘do you associate shale gas with earthquakes’) identify 15 codes, which are shown in Table 5 (the  
1440 thematic code definitions are listed in Table 4). Often multiple codes apply to a given answer, and Deleted: s  
1441 total, there are 443 codes for the 292 qualifying responses. Codes are ranked for frequency in Table 5.  
1442 The six most frequently used codes are identified over 30 times in participant responses, and these  
1443 themes are examined in more detail in Table 6.

1444 Themes relating to *magnitude* were most often raised in participant responses, and accounted for over a  
1445 quarter of the total number of codes applied across all open responses (Table 5), inclusive of knowledge  
1446 level or job sector (Table 6) and 40% of the open responses. The code is equally prevalent across reasoning  
1447 to support ‘do’ and ‘do not’ responses, but less frequent for ‘don’t know’ answers (where unsurprisingly  
1448 *inconclusive* and *knowledge* themes become important even though the sample is very small).

1449 The *magnitude* theme illuminates uncertainty in what is understood to be an earthquake, and raises  
1450 questions around terminology. This is best illustrated using example answers from this theme, shown in  
1451 Table 7. Thus, the same reasoning is being provided to support different closed answers. Other common  
1452 codes include *low risk* and *media*. The *low risk* theme provides similar reasoning to *magnitude* but refers  
1453 to risk rather than scale of the event (Table 7), and the reasoning is provided to all perspectives (‘do’, ‘do

1457 *not*, 'don't know'). In contrast, *media* is used mostly to describe reasons for answering 'do', alongside  
 1458 reference to the Blackpool (Preese Hall) seismic events, and the rationale that *fracturing rock* inevitably  
 1459 releases seismic energy and so fracking and earthquakes are associated by definition. Where the *media*  
 1460 theme is used for 'do not' responses, often the respondent is expressing judgement about the accuracy  
 1461 or veracity of media claims.  
 1462



1463  
 1464 **Figure 3 (A)** Comparing the results of our surveys with UK-wide results from 2014 (UoN 2014; O'Hara 2015), we  
 1465 find that while results for 'do' associate shale gas with earthquakes (orange) for both surveys are similar our survey  
 1466 results have more 'do not' (blue) and much fewer 'don't know' answers (grey).  
 1467 **(B):** Participants from professional fora (conferences and events, pale green) associate earthquakes with shale gas  
 1468 more than participants from public talks on shale gas (green). Results are compared to UK-wide results from 2014  
 1469 (UoN 2014; O'Hara 2015) (dark green).  
 1470 **(C):** To gauge knowledge levels of our survey participants, we asked respondents to select where they source their  
 1471 information from about shale gas, with 'research papers' indicating the greatest knowledge and 'no previous  
 1472 information' indicating the least prior knowledge. There is no overall trend to the results, suggesting that answers  
 1473 are not simply determined by knowledge level. In fact, those who obtain information from research present an  
 1474 ~equally polarised response, which is different to information from reports and the media where the dominant  
 1475 answer is that earthquakes are associated with shale gas. The only group to report that shale gas is not associated  
 1476 with earthquakes is the small sample of respondents that obtained no information about shale gas prior to  
 1477 attending the event where they completed the survey.  
 1478 **(D):** The majority (83%) of participants recruited at conferences and events (n. 272) source from industry and  
 1479 academia (public participants were not asked their job sector). We observe some differences in closed question  
 1480 responses between the different sectors; while the majority of participants from academia, the civil service and

1481 other sectors predominantly report that earthquakes are associated with shale gas, industry participants are  
1482 almost 50:50 do and do not associate shale gas with earthquakes. Very few of those from industry and academia  
1483 (~5%) answer don't know.  
1484

1485 Two additional themes are identified in the rationale for 'do not' responses. First, the argument that any  
1486 earthquakes associated with shale gas extraction will be no more significant than other everyday  
1487 background seismicity or industry processes, and so is considered to be *normal*. This code is unique that  
1488 it is used mostly to support *do not* responses. Further, in their reasoning for 'do not' responses, a number  
1489 of participants raise questions about how the term earthquake is *defined*. Themes around earthquake  
1490 *definition* also arise within rationale for 'don't know' responses (Table 7), with the same questions being  
1491 raised regardless of the answer: '*what is the difference between microseismic event and an earthquake?*'.  
1492 Some respondents confidently assert that microseismic events or tremors are not earthquakes, others  
1493 indicate that earthquakes refer to 'natural' seismic events (similar to comments made by [the](#) Citizens Jury  
1494 participants reported in Bryant, 2016).

1495 Results presented in Table 6 indicate that neither knowledge level or job sector have any signif  
1496 influence on the themes raised in open responses. We observe only two small trends; participants from  
1497 industry tend to appeal to *media* themes more than other sectors, and academics are more likely to refer  
1498 to *Blackpool* events (i.e. the Preese Hall events) as an indicator that earthquakes are associated with shale  
1499 gas development.  
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	Evidence	Blackpool	Inconclusive	No evidence	Knowledge	Media	Fracturing rock	Waste-water	Reactivation	Magnitude	Low risk	Definition	Regulation	Normal	Site
Do	7 (3%)	30 (11%)	1 (0%)	1 (0%)	1 (0%)	32 (12%)	29 (11%)	15 (6%)	9 (3%)	76 (28%)	34 (13%)	7 (3%)	10 (4%)	11 (4%)	7 (3%)
Do Not	2 (1%)	3 (2%)	2 (1%)	5 (4%)	0 (0%)	9 (6%)	6 (4%)	8 (6%)	2 (1%)	38 (27%)	18 (13%)	16 (11%)	6 (4%)	21 (15%)	5 (4%)
Don't Know	0 (0%)	1 (4%)	5 (20%)	0 (0%)	5 (20%)	3 (12%)	0 (0%)	0 (0%)	0 (0%)	3 (12%)	4 (16%)	3 (12%)	1 (4%)	0 (0%)	0 (0%)
Total	9 (2%)	34 (8%)	8 (2%)	6 (1%)	6 (1%)	44 (10%)	35 (8%)	23 (5%)	11 (3%)	117 (27%)	56 (13%)	26 (6%)	17 (4%)	32 (7%)	12 (3%)
Rank	12	5	13	15	15	3	4	8	11	1	2	7	9	6	10

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1505 **Table 5:** The frequency of use of different thematic codes in the reasoning provided for participants' answers, showing total number of times the code was applied and, in brackets, the percentage relative to the number of responses in that category (do, do not, don't know). High frequency codes are coloured pale yellow ( $\geq 10\%$ ) and yellow ( $\geq 20\%$ ). One answer (reasoning) could have more than one code. At the bottom of the table codes are ranked for frequency, and the eight codes that occur over 20 times are coloured in blue. These themes are examined in detail in Table 6.

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	Magnitude ↓				Low risk ↓				Media ↑				Frac rock ↑				Blackpool ↑				Normal ↓						
	-	M	R	A	-	M	R	A	-	M	R	A	-	M	R	A	-	M	R	A	-	M	R	A	-	M	R
Do	n	0	17	32	27	0	6	14	15	3	17	8	5	0	5	15	9	0	5	12	13	0	2	2	7		
	%	0%	15%	27%	23%	0%	10%	24%	26%	7%	37%	17%	11%	0%	14%	41%	24%	0%	15%	35%	38%	0%	6%	6%	22%		
Do Not	n	2	5	16	15	3	0	4	11	0	2	5	3	0	0	0	7	0	1	0	2	0	8	4	9		
	%	2%	4%	14%	13%	5%	0%	7%	19%	0%	4%	11%	7%	0%	0%	0%	19%	0%	3%	0%	6%	0%	25%	13%	28%		
Don't Know	n	0	1	1	1	0	2	1	2	1	0	1	1	0	0	0	1	0	1	0	0	0	0	0	0		
	%	0%	1%	1%	1%	0%	3%	2%	3%	2%	0%	2%	2%	0%	0%	0%	3%	0%	3%	0%	0%	0%	0%	0%	0%		
Sum	n	2	23	49	43	3	8	19	28	4	19	14	9	0	5	15	17	0	7	12	15	0	10	6	16		
	%	2%	20%	42%	37%	5%	14%	33%	48%	9%	41%	30%	20%	0%	14%	41%	46%	0%	21%	35%	44%	0%	31%	19%	50%		

1512

	Magnitude ↓				Low risk ↓				Media ↑				Frac rock ↑				Blackpool ↑				Normal ↓				
	A	I	CS	O	A	I	CS	O	A	I	CS	O	A	I	CS	O	A	I	CS	O	A	I	CS	O	
Do	n	25	29	10	2	7	12	6	2	4	13	0	0	10	13	1	2	11	8	2	2	3	2	4	1
	%	26%	30%	10%	2%	16%	28%	14%	5%	15%	50%	0%	0%	29%	38%	3%	6%	44%	32%	8%	8%	12%	8%	16%	4%
Do Not	n	7	17	2	1	1	11	1	0	1	5	1	0	2	5	0	0	0	2	0	0	4	10	0	1
	%	7%	18%	2%	1%	2%	26%	2%	0%	4%	19%	4%	0%	6%	15%	0%	0%	0%	8%	0%	0%	16%	40%	0%	4%
Don't Know	n	1	0	1	1	1	0	1	1	0	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0
	%	1%	0%	1%	1%	2%	0%	2%	2%	0%	4%	4%	0%	0%	0%	3%	0%	0%	0%	0%	0%	0%	0%	0%	0%
Sum	n	33	46	13	4	9	23	8	3	5	19	2	0	12	18	2	2	11	10	2	2	7	12	4	2
	%	34%	48%	14%	4%	21%	53%	19%	7%	19%	73%	8%	0%	35%	53%	6%	6%	44%	40%	8%	8%	28%	48%	16%	8%

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1515 **Table 6:** Code frequency and (A) different information sources (for all participants) and (B) employment sector (for conference attendees) for the six most frequent codes (organised from left to right in order of code frequency).

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Information sources range from no information source (-); media (M); reports (R); (A) research (academic) papers, and where employment sector for conference participants: Academia (A); Industry (I); Civil Service (CS), and other (O). The count for each code is normalised to the total count for that code. These values are then colour coded as shown in the key to indicate where codes are used by particular knowledge or employment groups, or to support particular answers.

	Closed response	Example open response (quotes)
Magnitude	Do	"the earthquakes associated with shale gas are very small", will be "microseismic earthquakes that won't be felt", "small magnitude events" or "minor tremors".
	Don't know	"major earthquakes probably unlikely", fracking may cause "seismic activity, but not quakes".
	Do not	"there may be possible tremors - not earthquakes", "events will be "mostly unfelt, very small events", or that there a "very few cases [with] little intensity".
Risks	Do	Shale gas "can trigger earthquakes but very rarely", "has the potential to induce seismic activity, but the risk is not a significant" and "any induced seismicity [has] small consequences".
	Don't know	"It is probably unlikely that fracking triggers major earthquakes", there is "probably an association but the risk is relatively trivial" and earthquakes might be associated "with a tiny minority of shale [operations, they are] not an intrinsic by product".
	Do not	"Seismicity risks are minimal and manageable" "insignificant", "very low", "unimportant", and so "don't consider it [to be] a significant hazard".
Media	Do	Earthquakes are associated with shale gas due to "publicity", "media reports" "media portrayal and local campaign group resources". Responses also include judgement statements such as "thanks to the media I associate fracking with [earthquakes], but I don't agree".
	Don't know	"media and other bias form of reporting on shale gas give this impression however I don't know of any evidence of the link".
	Do not	"'Earthquakes' are associated publicly with shale gas thanks to inaccurate media reporting", "while I don't [associate shale gas with earthquakes], from media alone I would do".
Normal	Do	"We have a lot of evidence of earth tremors associated [with shale gas], but these are...comparable to historic mining activity in the UK"
	Do not	"Earthquakes can be induced from many different types of industrial processes", "numerous unfelt earthquakes occur daily, and [there are] only a select few examples of fracking caused felt earthquakes", "any earthquakes from shale gas will be negligible versus natural seismicity".
Definition	Do	"Fracking causes microseismicity, in rare occasions they cause earthquakes. Where is the transition between microseismic [events] and earthquakes?" Fracking does "create microseismicity... not on the scale you would call an earthquake". "Earth tremors or seismic events is more appropriate than earthquake".
	Don't know	Fracking might cause "tremors but not specifically earthquakes". "I think of earthquakes' as being of natural origin"
	Do not	"I don't think the minor, largely insensible tremors associated with shale gas merit the term 'earthquake'." "Seismicity" "tremors" "microseismicity" "is not an earthquake".

1523 **Table 7:** Example open response to illustrate how the most common codes are used to defend the range of  
1524 participant responses to whether or not they associate shale gas with earthquakes. *Magnitude* is generally used to  
1525 defend do and do not answers, *risks* is used for all responses, whereas *media* most often applies to 'do' answers.  
1526 *Normal* and *definition* codes tend to be applied to *do not* answers.

### 1527 3.2.3 Language and terminology

1528 A theme that is applied in particular to the rationale for 'do not' answers refers to the definitions of  
1529 earthquakes, indicating that different phrases are more appropriate depending on the scale, size or  
1530 magnitude of the seismic event. We examine the language used within participants' open responses to  
1531 examine whether there are any language preferences amongst different answers or different survey  
1532 groups.

1533 Participants used a range of terms to describe or refer to earthquakes. Similar words are used to describe  
1534 earthquakes in responses for both 'do' and 'do not' closed answers, though there is some indication that  
1535 words like *seismic* and *tremor* are used more for 'do not' responses. The only distinction in terminology is  
1536 that more knowledgeable participants (experts - those that obtain information from reports and peer-  
1537 review publications) are four times more likely to use phrases such as '*seismicity*' and '*minor*' than less  
1538 knowledgeable respondents (non-experts). Academics use the phrase earthquake far more than those  
1539 employed in other sectors, and civil service employees prefer '*tremor*' rather than '*micro*' or '*induced*'  
1540 seismicity, and more often refer to '*energy*' of the event.

1541 Finally, an undercurrent theme to the open responses was to critique the question that they were asked,  
1542 which was about perceived association between shale gas and earthquakes. As noted in the previous  
1543 section, many participants raised questions about the phrase '*earthquake*', claiming it was '*too strong*'  
1544 and that any seismicity that might arise from shale gas development would not be '*earthquakes*' but  
1545 '*tremors*' or '*micro-earthquakes*'. Others preferred to mention earthquake consequences in terms of felt  
1546 or not-felt, or damage-inducing or not. Several participants critique the use of the phrase '*shale gas*',  
1547 mentioning that they did not associate *shale gas* with seismicity, but they do associate *the hydraulic*  
1548 *fracturing technique* (by which shale gas is extracted) with seismicity. Others note that the question is  
1549 leading. Finally, most of the respondents that raised themes relating to the code *low risk* were essentially  
1550 communicating that whether they '*do*' or '*do not*' associate shale gas and earthquakes, it does not concern  
1551 or worry them (see Table 7). These statements suggest that the assumption that associating shale gas  
1552 with earthquakes is the same thing as expressing concern about the risk of earthquakes is erroneous.

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1556 4. Discussion

1557 The results from our survey reflect a snapshot of participant views from 2014 about hydraulic fracturing  
1558 induced seismicity. Further, our results show perspectives from the UK only, a country with  
1559 background seismic activity; and for English language use. The results were not intended to in  
1560 whether or not earthquakes are associated with shale gas, but, rather, to explore the underlying ratio  
1561 for the apparent differences in perspectives on the topic, particularly between experts and non-experts.  
1562 It is important to acknowledge that perspectives of both experts and publics are likely to have  
1563 evolved in the time since the surveys were run. Preston New Road is the only shale gas hydraulic fracturing  
1564 activity in Europe that has been undertaken since our surveys were conducted in 2014; many countries  
1565 including Scotland had moratoria in place during this period, and, once the moratorium in England was  
1566 lifted in 2012, it took several years to obtain planning permissions to enable activities to commence at  
1567 Preston New Road site, followed by repeated suspension of hydraulic fracturing activities. We cannot  
1568 postulate whether the rationale for the answers provided by participants might have changed in light of  
1569 these developments in the UK or internationally, including other incidences of felt seismicity induced  
1570 hydraulic fracturing around the world (Verdon & Bommer 2020), and subsequent advances in  
1571 understanding of induced seismicity and remaining knowledge gaps (Schultz et al, 2020). Nonetheless,  
1572 our study presents, for the first time, how language ambiguity around seismicity complicates  
1573 understanding of perceived risks, and sheds light on the apparent differences in views on the matter  
1574 2014. Further, advances in knowledge and understanding on topics of public interest is common  
1575 presents additional communication challenges, in particular around the communication of uncertainty  
1576 (NMAS, 2018). Our findings suggest that language ambiguity around hydraulic fracturing induced  
1577 seismicity posed additional difficulties for understanding and communicating stakeholder risk perceptions  
1578 and may have confounded risk communication.

1579 Expertise is an ambiguous quality with multiple dimensions that can be difficult to assess (c.f. Light  
1580 and Roberts, 2019). Many of our survey respondents were attending professional fora about shale gas  
1581 and therefore might be considered to have expertise on the topic. Those who attended public lectures  
1582 hydraulic fracturing could be said to be informed (and engaged) publics. Accordingly, we find that  
1583 survey participants are, on the whole, much more decided on the topic than the UK general public (based  
1584 on the University of Nottingham surveys as reported in O'Hara et al., 2016). Of the relatively few  
1585 participants in our survey who answered 'don't know', their response did not necessarily reflect lack of  
1586 knowledge; several explained that the evidence was inconclusive or questioned the definiteness of  
1587 earthquake. Survey respondents who attended public events and who answered 'don't know' were more  
1588 likely to express that they lack knowledge on the topic, and so we could conjecture that this is the  
1589 rationale when UK publics' answer 'don't know'. A fourth closed answer category 'undecided'  
1590 depends' would capture these differences.

1591 On one hand, fewer 'don't know' responses might be expected of those working in shale gas topics  
1592 attending public lectures on shale gas (given that they are knowledgeable about the topic, and report  
1593 the time conclude that risk of earthquakes from hydraulic fracturing is low, see Section 2.1). On the other  
1594 hand, fewer 'don't know' responses might be somewhat surprising given that experts are expected to  
1595 have strong grasp of uncertainty within their field (e.g. Landström et al., 2015), and a range of  
1596 dependencies are provided in the qualitative responses. The proportions of those who 'do' associate  
1597 earthquakes with shale gas vary according to different factors including the fora being attended  
1598 (professional or public), the sources of information used to obtain information about shale gas (outside  
1599 of the event they were attending, expert reports vs academic papers vs media) and job sector (academic  
1600 industry, civil service); in every case the closed survey results are bimodal. While this might be interpreted  
1601 to show polarisation of views both amongst experts and publics, by examining the underlying rationale  
1602 for the answers provided by our participants, we find this not to be the case. Participant answers were  
1603 muddled by ambiguity of language which leads to differences in understanding of what definiteness  
1604 constitutes an earthquake, and what is meant by 'associating' earthquakes with shale gas.

1605 Regardless of whether our respondents 'do' or 'do not' associate earthquakes with shale gas, qualitative  
1606 answers most commonly express uncertainty around what magnitude of seismic event is understood

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- Deleted: on the matter (and difficulties in assessing expertise), and the limitations of using close surveys to elicit views on risk.
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- Deleted: In any case, it is interesting that there remains no consensus no apparent agreement amongst our survey respondents about whether or not earthquakes are associated with shale gas. While we find that t
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- Deleted: As a result, many participants attempt to communicate risk within their responses, too. Alongside the ambiguous definition of the term earthquake (particularly regarding the size of an event), the term 'associate' was felt by many respondents to be too loose. Some argued that it is possible to associate an event with a cause in media reporting of an event without any there being a scientific explanation for a causal process. As a result, many participants attempt to communicate their understanding of

1659 be an earthquake. In particular, those who 'do not' associate earthquakes and shale gas question the  
1660 definition of an earthquake. The term *earthquake* (the phrase used in the survey question) is clearly  
1661 to be ambiguous by our survey respondents. This aligns to similar language expressed by experts  
1662 interviewed by Lampkin (2018), in which one expert expressed "I would call them tremors not  
1663 earthquakes, they are very very small" and another asserts that "people who talk of earthquakes are sort  
1664 of over-egging [over doing] it a bit" (Lampkin, 2018).

1665 So, what constitutes an earthquake? Is it wrong or, indeed 'over-egging it' to describe a  $M_L < 2$  event as  
1666 an earthquake? Technically, not (Kendall et al., 2019). In which case, how should earthquakes be  
1667 described? There are multiple scales with which to describe the size or properties of earthquakes,  
1668 including different scales of magnitude and energy release. However, there is no common descriptive  
1669 scale to define whether an event is a tremor, a micro-earthquake, small or large, or felt. Tremor has  
1670 used to refer to low-frequency earthquake signals (Shelly et al., 2007), and terms such as micro- or n  
1671 seismicity often refer to the frequencies of the seismic energy. The degree to which an earthquake is felt  
1672 is captured by the European Macroseismic Scale, which includes classifications such as *not felt, scarcely  
1673 felt, weak, largely observed*. Bohnhoff (2009) summarise terminology based on magnitude, including  
1674 *micro, small, moderate, large*. The Oil and Gas Authority's traffic light system infographic (Figure 1, made  
1675 by the Oil and Gas Authority) describes seismicity as *not felt, usually not felt, minor, light, moderate,  
1676 strong, major, great*. Eaton et al. (2016) recognise the need for a terminology framework for industry  
1677 seismicity in particular to unify regulations in different jurisdictions, and proposes that "earthquakes  
1678 "seismic events" are distinguished by being felt or not, and therefore should refer to events  $> M_L 2$   
1679  $M_L < 2$ , respectively. The Oil and Gas Authority's traffic light system infographic (Figure 1, made by the  
1680 and Gas Authority) describes seismicity as *not felt, usually not felt, minor, light, moderate, strong, major,  
1681 great*.

1682 In our study, we have not encountered any consistent use of such language when describing and reporting  
1683 hydraulic fracturing seismicity, i.e. there is no common descriptive scale, and certainly none that  
1684 translates into common language and understanding, even among experts. We find that while expert  
1685 reports commonly refer to 'earthquakes', 'seismicity' and 'events', many use additional qualifications  
1686 to communicate the scale of the event by using terms such as 'small' or 'tiny', distinguishing between  
1687 or 'perceived' events, or by referring to the consequences of the seismicity using terms such 'tremors'  
1688 'vibrations' (Table 7). Importantly, none of the reports that we reviewed lay out what is meant by these  
1689 different phrases, though some specifically refer to felt seismicity, and stipulate that felt seismicity is  
1690 generally considered to be above  $M_L 2$ . We recommend that public-facing reports define technical or  
1691 descriptive terminology.

1692 Similarly, our survey respondents include indicators of size, risk, and impacts in their qualitative answers.  
1693 They might select that they 'do' associate shale gas with earthquakes, but explain that 'any induced  
1694 seismicity would be small or rare', or they may select that they 'do not' associate shale gas with  
1695 earthquakes, because 'any induced seismicity would be small or rare' (see Table 7). Thus whether or not  
1696 a respondent associates shale gas with earthquakes does not reflect the perceived risk of seismicity. We  
1697 posit that had a definition of what was meant by the term earthquake been presented in the survey  
1698 the release of seismic energy, or seismic events with magnitude greater than 2  $M_L$ , the answers to the  
1699 closed question would have been in much greater agreement.

1700 These findings raise crucial questions around what constitutes an earthquake and to whom; and the  
1701 language is used to describe and communicate geological phenomena. A second important aspect that  
1702 our work highlights is the need to apply caution when using ambiguous terminology such as 'earthquake'  
1703 in reports or surveys without defining the meaning of the phrase. But here, there are interesting tensions  
1704 or trade-offs. Terms such as 'earthquake' or 'tremors' might be used to avoid jargon, as they are  
1705 considered widely understood. However, as we show, what exactly constitutes an earthquake or tremor  
1706 is not well defined and so the use of these terms could lead to equivocal results. And these ambiguities  
1707 might vary geographically, too; the UK is a country of low natural background seismicity, and so while a  
1708  $M_L 2$  event might be considered an earthquake by the UK public, in regions with higher background seismicity  
1709 other terms might be preferred.

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1728 But if our study finds that associating shale gas with earthquakes does not necessarily indicate concern  
1729 about the risk of earthquakes, what might this mean for understanding publics' views on induced  
1730 seismicity? Might closed surveys with few questions or options conflate the level of concern about  
1731 induced seismicity? Or might the use of the term 'earthquake' cause uncertainty in the responses? Might  
1732 participants be answering the same question differently depending on what they interpret 'earthquake  
1733 to mean? These issues highlight the limitations of closed questions in surveys; such questions are, by  
1734 nature, constrained, which can bring limitations – including susceptibility to framing effects (Schuman  
1735 Scott, 1987; Gaskell et al., 2017) which are recognised by Howell (2018). This is not to undermine closed  
1736 survey research nor the results of studies we examined; there are strengths and weaknesses of  
1737 research methods, including open survey questions (Schuman & Scott, 1987), which researchers  
1738 carefully consider during the research design, execution and analysis. But altogether this raises important  
1739 questions around the methods used to capture, understand, and communicate stakeholder perspectives.  
1740 Might it be that, for comprehensive understanding of complex topics we must look to multiple or non-  
1741 method approaches? (e.g. Walker & Baxter, 2019).  
1742 Unlike the UK's Traffic Light System, public risk tolerances of induced seismicity will not simply relate  
1743 to event magnitude; as we have outlined there are other important complicating and competing factors  
1744 that play (Evensen, 2018; Trutnevte & Ejderyan, 2018; Szolucha, 2019). Understanding risk perception  
1745 tolerances, influencing factors and values is important for public participation in socio-scientific decision-  
1746 making (Dietz, 2013; Stern & Fineberg, 1996). As such, our findings about language ambiguity, around induced  
1747 seismicity has implications for science communication and understanding of stakeholder preferences  
1748 and perceptions of risk. These implications are relevant across a range of different geological and engineering  
1749 technologies, many of which play a critical role in delivering a sustainable future (Stephens  
1750 et al., 2019). We propose that a shared language to describe earthquakes should be developed and  
1751 adopted to enhance communication around induced seismicity amongst all stakeholders. Such an approach  
1752 is common in risk communication and management practice (Fischhoff, 2013), and has recently been  
1753 called for by a community of UK shale gas researchers and practitioners (Brown et al., 2020). It supports  
1754 communication, and, as put by Trutnevte & Ejderyan (2018), without such framework experts are likely to  
1755 develop their communication approaches based on intuition and learning by doing [authors' notes: these  
1756 experiences are often described by practitioners as being 'at the coal face' or 'on the front line', indicating  
1757 the challenging pressured environment for learning]. As noted previously, language frameworks for  
1758 seismicity exist (such as the European Macroseismic Scale; Johnston, 1990; Bohnhoff, 2009, and several  
1759 but we find these are not in common use. While a language framework might facilitate communication,  
1760 it would not resolve communication and risk tolerance challenges around induced  
1761 seismicity. Any risk communication strategy must be individual to project, place and context, as well as  
1762 sensitive to issues of environmental and social equity and justice and heritage in which geoscientists are  
1763 involved (Trutnevte & Ejderyan, 2018). The perceived risk may be greater for some technologies and  
1764 others (Knoblauch et al., 2018), and may evolve with time. However, the framework should establish a  
1765 common understanding through language, which is critical for dialogue on topics of public and political  
1766 interest. It is increasingly understood that sustainable development requires shared decision-making  
1767 pathways, for which communication approaches to support stakeholders to speak – and hear – the same  
1768 language are valuable.

## 1770 5. Conclusions

1771 This work has explored expert and non-expert perspectives on the risk of induced seismicity from  
1772 gas exploration in the UK. We find that range of terminologies have been inconsistently used to describe  
1773 seismic events to communicate risk of induced seismicity from hydraulic fracturing for shale gas.  
1774 language ambiguity has muddled our ability to understand the perceived risk of induced seismicity  
1775 hydraulic fracturing amongst stakeholders, raising questions around what constitutes an earthquake  
1776 to whom? Our insights present important implications for research, communication, and decision-making  
1777 on any uncertain, complex or sensitive topic. The immediate and long-lasting repercussions of

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Previous studies have inferred that associating shale gas with earthquakes reflects the perceived risk of seismicity. However

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These outcomes ...ssues simply ...highlight the limitations of closed questions in surveys; . ...S...ch questions are, by their nature, constrained, which can bring in scope, and so findings from closed questions are susceptible to bias and simplification ... [48]

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**Deleted:** , ...ustainable development requires shared decision-making pathways, for which communication approaches to support stakeholders to speak – and hear – the same language are valuable.and could have mitigated... [52]

**Deleted:** around ...n the risk of induced seismicity from shale gas exploration in the UK. We find that range of terminologies have been inconsistently used to describe seismic events to communicate risk of induced seismicity [53]

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2014 “fracking bad language” is likely amplified by the political and environmental sensitivities around the shale  
2015 gas sector, as well as lack of familiarity of seismicity (natural and induced) to UK stakeholders. At its  
2016 simplest, this research presents a reminder of the importance of clearly defining technical and descriptive  
2017 terms, whether in expert reports, policy documents, or surveys. We suggest that a shared language to  
2018 describe earthquakes should be developed and adopted to improve understanding of perceived risks, and  
2019 to facilitate risk communication within and between expert and non-expert stakeholders. Our finding  
2020 relevant to numerous geoscience applications, since many subsurface technologies deemed critical  
2021 low carbon future present risk of induced seismicity – such as geothermal resource development.

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Deleted: Finally, our work illustrates the value of examining social scientific issues through a multi method lens to inform risk management and communication.¶

## 2022 6. Data Availability

2023 Survey data are available at <insert DOI when generated>.

2024

## 2025 7. Funding statement

2026 We thank ClimateXChange and the University of Strathclyde who funded Roberts’ position while this  
2027 research was undertaken.

## 2028 8. Ethics statement

2029 This research complied with the Ethics Policy and Procedure of the University of Strathclyde. Ethics  
2030 approval was granted for the survey research.

## 2031 9. Competing interests

2032 We declare no competing interests.

## 2033 10. Author contributions

2034 JR lead the research design, data collection, analysis, and writing of this research, with CB in particular  
2035 and ZS contributing to all aspects.

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## 2042 12. Copyright

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