

Many thanks to the Editor for guiding the review and to Reviewer 3 for the time devoted to the review and his final helpful comments. We have addressed all the technical issues raised by the Reviewer and we believe that we have met his requirements.

#### ANSWER TO REVIEWER 3

*Reviewer 3: Thank you to the authors for responding to my suggestions in such a comprehensive way. The paper is now much clearer to me and I am much better able to follow the statements of design and context. I was also very pleased to see clarification of the method, with clear reference to the limitations encountered during the process, which gave me a much better insight into the study.*

Authors: The authors would like to thank the Reviewer for his feedback and we are glad to read that he appreciated the improvements that we have introduced in the last version of the manuscript and that we have met his expectations.

1)

*R3: I appreciate the addition of references to existing research in risk communication on page 5 from line 28 onwards, but I would like more than just a list of names here - even a summary sentence would be suitable, such as: 'The first series of works explore the impact of risk communications and effectiveness (ref ref ref) who found that....' and 'Second set... methodologies (ref ref ref) which included...'.*

A: We agree with the Reviewer that further details, on the research work we refer to, are needed. We have included complementary information at p. 6 (l. 3-13)

2)

*R3: Some additional small technical corrections:  
Pg 1 line 17 and line 21: I think perhaps you mean 'comprehend' not 'apprehend'?*

A: We thank the Reviewer for pointing at this inaccurate use of the verb 'apprehend', we have replaced it with 'comprehend' at p. 1 (l. 12,17,21), p. 4 (l. 8), p. 6 (l. 15).

3)

*R3: Pg 2 line 3: NWE IVB this is the first use of this acronym*

A: Following the comment of the Reviewer, we have added Footnote 1 (at p. 2) that explains this acronym:

INTERREG IVB North West Europe "is a financial instrument of the European Union's Cohesion Policy. It funds projects which support transnational cooperation" (Interreg NWE IVB: <http://4b.nweurope.eu/>, last access: 20 November 2018).

4)

*R3: Pg 3 footnote 1: Is the reference for subsidiarity (thank you for explanation) also Tanguy 2013?*

A: Following the Reviewer's comment, we have added the following reference: Oxford English Dictionary: <https://en.oxforddictionaries.com/>, last access: 20 November 2018. Indeed, our explanation of subsidiarity is based on the definition by the Oxford English Dictionary.

5)

*R3: Pg 4 line 1: check reference style for a website*

A: We thank the Reviewer for pointing at this reference style inaccuracy. We have corrected all the website references at p. 2 (footnote 1), p. 3 (footnote 2), p. 4 (l. 4), p. 5 (l. 3, footnote 3), p. 6 (l. 27), p. 9 (l. 19).

6)

*Pg 6 line 6 and line 13: Reference the year for Neresini and Pellegrini*

A: Following the comment of the Reviewer, we have added the year after both references to Neresini and Pellegrini.

7)

*Pg 8 line 33: I think this should be advice not advise*

A: We thank the Reviewer for pointing at this mistake that has been corrected.

# Assessing the impact of outreach strategies in cities coping with climate risks

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**Abstract.** The resilience of our cities to weather extremes relies both on physical environmental factors and on socio-economic factors. The latter include communication processes among the members of an urban community. This paper presents a study that aims at appraising how public outreach campaigns influence urban resilience. According to this research, seizing the added value of science outreach efforts calls for an assessment method that takes into consideration the interactions between communication processes and other urban resilience drivers. The paper begins by presenting examples of methods to assess urban resilience to weather extremes. We then propose an approach to comprehend the impact of communication activities on resilience in the context of urban flood resilience strategies. We use five guiding criteria to define ‘Resilience Communication Indicators’ and we present two communication assessment experiments based on these criteria. These experiments have been undertaken to assess communication activities addressed to non-specialist audiences and tailored for a flood resilience project in the Paris region. Different research methods have been tested through these experiments, with the goal of comprehending their strengths and weaknesses in the framework of urban resilience strategies.

## 1 Introduction

Cities are complex systems, with multiple functions and interacting components, where climate pressures contribute to their complexity (Ruth and Coelho, 2007). In this paper, we refer to the social – ecological resilience as a theoretical frame, since it allows comprehending the complex interactions among social, economic, physical and environmental components of urban systems. The early engineering interpretation of resilience was concerned about the capacity of a stable system to absorb a stress and to continue to maintain its function. The ‘social – ecological resilience’ approach departed from the mainstream interpretation of resilience by pointing at renewal, re-organisation, innovation, development and adaptation as important capacities of a resilient system (Gunderson and Holling, 2002; Carpenter, 2003; Walker and Meyers, 2004; Bellwood et al., 2004; Berkes et al., 2003; Adger, 2006; Folke, 2006; Folke et al., 2010). This approach presupposes the use of resilience metrics as an empirical basis to translate the concept of social – ecological resilience into practice (e.g. Carpenter et al., 2001). Once different urban components and functions are identified as ‘resilience drivers’, specific variables are chosen to measure the impact of each of them on urban resilience.

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In this study, we propose to explore how urban resilience assessment can take into account the interactions between science outreach and other resilience drivers. With this general scope, we examine:

- (i) The variables that are available in the context of a flood resilience project (RainGain) and that can be adopted as indicators of the impact of communication on urban resilience to climate risks;
- (ii) The strengths and weaknesses of different methods that can be employed to monitor these indicators.

After outlining the concept of social – ecological resilience and discussing the worth of resilience metrics in Sect. 2, we give an overview of different assessment frameworks that consider communication impact in Sect. 3. We propose to define indicators that can measure the impact of communication on urban resilience and are tailored to the operational context of climate resilient strategies involving science outreach efforts. Some examples of flood resilience strategies implemented in the Paris region are recalled in Sect. 4 and used as a basis to outline guiding criteria for selection of relevant communication indicators that we define as ‘Resilience Communication Indicators’ (RCI). These indicators are based on quantitative variables since numerical data allow exploring the correlations between communication and other resilience drivers. In Sect. 5 we present three experiments undertaken in the framework of the European project Interreg NWE IVB<sup>1</sup> RainGain to test different assessment methods: the media monitoring, a questionnaire, and interviews.

2 Social – ecological resilience, from theory to implementation

According to the social – ecological resilience perspective, ‘resilience’ can be defined as the ‘the capacity of a system to absorb disturbance and reorganise while undergoing change so as to still retain essentially the same function, structure, identity, and feedbacks’ (Walker et al., 2004, p. 2). ‘Transformability’ and ‘adaptability’ (Folke et al., 2010) are considered as essential characteristics of a resilient system. This approach puts the accent on uncertainty, non–linear dynamics, interplay between gradual change and rapid change (Walker and Meyers, 2004). The trajectory followed by a system after a perturbation can’t be described as reaching ‘stable states’ or ‘equilibria’, but rather with the concepts of ‘regimes’ or ‘attractors’ (Carpenter, 2003).

These dynamics involve interactions across different time and space scales (Schertzer and Lovejoy, 2004; Tchiguirinskaia et al., 2014), as well as throughout different socio–economic and physical environmental dimensions of a system (Kirch, 2005; Millennium Ecosystem Assessment (MA), 2005). This multi–dimensional perspective is particularly suited to studying the complexity of urban systems and the influence of communication processes on resilience. Cities have multiple components and functions, including the communication factors that can be defined as part of the social dimension and that obviously have interdependencies with the economic, physical and environmental dimensions.

By the 2000s, increasing attention among academics, as well as practitioners, has been devoted to the implementation of resilience. Putting the concept of social – ecological resilience into practice involves relevant changes in policy and decision–making. Indeed, the social – ecological resilience approach emphasises the need to apply the principle

<sup>1</sup> INTERREG IVB North West Europe is a financial instrument of the European Union’s Cohesion Policy. It funds projects which support transnational cooperation (Interreg NWE IVB: <http://4b.nweurope.eu/>, last access: 20 November 2018).

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of subsidiarity<sup>2</sup>, i.e. to decentralise risk management, to encourage citizen participation and share responsibilities with them (Tanguy, 2015). In Sect. 4, we discuss some cases of recent resilience strategies implemented in the Paris region that entail public engagement activities. These examples illustrate how public outreach and citizens' perceptions are gaining importance, as a consequence of the implementation of the subsidiarity principle.

Going beyond theory and implementing resilience requires resilience metrics: relevant indexes allow decision makers to compare the costs of resilience enhancement actions with the economic, environmental, social, and sanitary costs of non-action. Resilience metrics also help to set up clear objectives at the beginning of a project, to evaluate and improve management capacities, to increase transparency and stakeholders' involvement during and after a project. According to Carpenter et al. (2001), resilience metrics allow testing hypotheses on the dynamics of systems and enable cross-system comparisons.

A first necessary step to design resilience metrics is to identify the disturbance and the system we are interested in. Even though the interplay with other scales and other shocks or stresses shouldn't be ignored, answering the question 'resilience of what, to what?' (Carpenter et al., 2001) is an essential basis to establish resilience indicators. The same relevant variables can be then compared in different systems (e.g. different cities) or in the same system at different moments. In this paper, the focus is on cities facing climate risks: in the next sections we present examples of resilience assessment frameworks that are adequate to urban areas coping with extreme weather (Sect. 3); we then discuss the role of communication in flood resilience strategies implemented in the Paris region and we outline guidelines to define what we call RCI (Resilience Communication Indicators) for cities facing climate risks (Sect. 4); we finally compare different communication assessment techniques that have been tested in the framework of RainGain, a European research project on urban flood resilience (Sect. 5).

The resilience assessment approaches presented in the next section are quite heterogeneous in terms of the concept of resilience they refer to, the system and disturbances they consider, the selection of indicators and variables, the degree of the on-site implementation. All these techniques propose a number of indicators to monitor social factors that are related to communication.

### 3 Communication indicators in the literature on resilience assessment techniques

According to Charrière et al. (2017), impact assessment of risk communication is quite a new practice. The emergent recognition of the importance of communication can also be observed in the literature on resilience indicators. This section presents three examples of resilience assessment frameworks that consider communication processes and infrastructure, a

<sup>2</sup> Subsidiarity is the principle that decisions should be taken and tasks should be performed as close as possible to the citizen or to where they will have their effect. Hence, central authority should have a subsidiary function and cover those decisions and tasks that cannot be managed at local level (Oxford English Dictionary: <https://en.oxforddictionaries.com/>, last access: 20 November 2018).

feature that has recently gained importance among the available indicators for cities coping with weather extremes (for a review, see Vicari, 2018).

### 3.1 Resilience Alliance

‘Resilience Alliance’ (RA) (Resilience Alliance: [www.resalliance.org](http://www.resalliance.org), last access: 14 October, 2018; Resilience Alliance, 2010; Haider et al., 2012) is an international, multidisciplinary research organisation that has developed guidelines to assess resilience of social–ecological systems and to implement sustainable development strategies. RA outlines an assessment framework that is consistent with the social–ecological approach. According to this method, multiple spatial and temporal interacting scales must be considered to comprehend, for instance, how resilience at the level of a neighbourhood can affect the resilience of a city. Furthermore, for each quantitative variable, a threshold should be defined. If the threshold is crossed, the effects on other social and ecological variables must be identified. Communication is evaluated in terms of ‘information sharing’, a factor that characterises social relations among stakeholders. According to the RA method, social relations and information flows need to be monitored since they affect the governance system. This specific factor is analysed by comparing different social network structures: e.g. a highly centralised network or a network composed of two isolated subgroups. The RA approach focuses on institutional relations and doesn’t offer any tool to investigate the intensity or quality of information exchange.

### 3.2 The Disaster Resilience Of Place model and the Baseline Indicators for Communities

The ‘DROP’ (Disaster Resilience Of Place) model and the related ‘BRIC’ (Baseline Resilience Indicators for Communities) (Cutter et al. 2008; 2010; 2014) focus on resilience to natural hazards at community level and on the relationship between resilience and vulnerability. The model is a conceptual basis to identify resilience indicators that can be used at different spatial scales. It outlines a composite resilience index, with sub–indexes corresponding to different dimensions of the urban system. These indexes are exclusively quantitative variables, and a method is proposed to normalise different ranges of values to a unique scale. Social factors that are related to communication and are examined by Cutter et al. (2014) concern public engagement, education, training and communication infrastructure. Examples of indicators are: ‘English language competency (% Population proficient English speakers)’, ‘Local disaster training (% Population in communities with Citizen Corps programme)’, ‘Communication capacity (% Households with telephone service available)’, ‘Social capital-disaster volunteerism (Red cross training workshop participants per 10,000 people)’. Other important communication infrastructure—such as mobile phones, the Internet, TV and radio—as well as public outreach are not considered.

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### 3.3 Disaster Resilience Scorecard for Cities

In 2010, the United Nations secretariat of the International Strategy for Disaster Reduction has launched the campaign ‘Making Cities Resilient’ ([Making Cities Resilient: https://www.unisdr.org/campaign/resilientcities/](https://www.unisdr.org/campaign/resilientcities/), last access: 28 September 2018). Following the adoption of the ‘Sendai Framework for Disaster Reduction’ (UN/ISDR, 2015)<sup>3</sup>, the goals and priorities of the campaign have been updated, as well as the resilience assessment tool dedicated to cities: the ‘Disaster Resilience Scorecard’ for Cities (DRSC) (UN/ISDR, 2017). This methodology is aimed at monitoring the implementation of the Sendai Framework at local government level through ‘Ten Essentials for Making Cities Resilient’. Two kinds of evaluations are foreseen to monitor the progress towards these Essentials: a preliminary evaluation that includes 47 qualitative and quantitative indicators with a graduated 3-point scale; a detailed evaluation, involving the participation of stakeholders, that includes 117 indicators with a 0–5 score.

Among the three selected assessment frameworks, the DRSC is the method that proposes the widest number and variety of indicators that can be related to communication processes. Examples of indicators are: ‘Use of mobile and e-mail “systems of engagement” to enable citizens to receive and give updates before and after a disaster’, ‘Extent to which data on the city’s resilience position is shared with the community organisations and public’, ‘Consultation in plan making’, ‘Accessibility of education and training to all linguistic groups in the city’ and ‘Communication service days at risk of loss’. These indicators are monitored in terms of presence/absence of efforts, frequency of activities, audience diversity and size (e.g. how many of the listed groups of stakeholders are involved in plan consultation?), exposure (e.g. how many media channels are used for public relations and education campaigns?).

### 3.4 General remarks on communication evaluation and next steps

The proposed approach draws on the three assessment methods we have presented in this section. Indeed, as it is proposed by the RA method (Sect. 3.1), we aim to detect possible correlations between social processes (e.g. communication) and environmental processes. In accordance with the first two approaches (Sect. 3.1 and 3.2), we consider as well that quantitative variables are adequate to establish RCI. In fact, quantitative variables facilitate the observation of space-time variability, as well as the investigation of correlations between communication processes and other resilience drivers. We also consider the frequency of communication activities, the exposure, the audience size and composition as relevant variables, similarly to what is outlined in the DRSC approach (Sect 3.3).

At the same time, the aim of our study goes beyond a description of the state of communication processes and infrastructure in an urban area exposed to risks. We propose a dynamic perspective, with the RCI outlined in the next section

<sup>3</sup> The Sendai Framework is a 15-year agreement adopted in March 2015 by UN member states to ensure “the substantial reduction of disaster risk and losses in lives, livelihoods and health and in the economic, physical, social, cultural and environmental assets of persons, businesses, communities and countries” ([Sendai Framework: https://www.unisdr.org/we/coordinate/sendai-framework](https://www.unisdr.org/we/coordinate/sendai-framework), last access: 22 October 2018). The Sendai Framework succeeds to the Hyogo Framework for Action (HFA) 2005-2015: Building the Resilience of Nations and Communities to Disasters.

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and tested in Sect. 5. The RCI are tailored to appraise how specific communication activities contribute to achieving local resilience goals. These indicators require a rich and detailed selection of communication variables that are activity driven, i.e. they allow detecting resilience changes that occur even in a brief time, and the causes related to a past or ongoing communication activity.

The audience knowledge and its behavioural change, due to communication activities, have been investigated by researchers in the fields of risk communication and of public understanding of science. The first series of works explore the impact of risk communication on the audience perceptions and behaviour, Rohrmann (1992), as well as Lundgren and Mc Makin (2004) discuss the importance of evaluating the success of risk communication strategies. Other authors (e.g. Terpstra et al., 2009; Maidl and Buchecker, 2017) evaluate the impact of specific risk communication activities such as interactive workshops, focus groups and one-way communication.

The second set of studies describe different approaches and techniques to evaluate science outreach. Godin and Gingras, (2000), Bauer (2008), Neresini and Pellegrini (2008) discuss the methodological principles of science outreach assessments. Examples of evaluation experiments are described by Scheufele et al. (2005), who designed a national telephone survey on nanotechnology, and by Wagoner and Jensen (2015), who assessed adolescents' learning at the zoo by combining questionnaire data with responses with detailed verbally administered questions.

Our research specifically investigates resilience communication and tests what we define as RCI (Resilience Communication Indicators): quantitative indicators to comprehend how a communication activity influences urban resilience to climate risks. Nevertheless, the above-mentioned literature provides helpful hints for this study. As highlighted by Neresini and Pellegrini (2008), the evaluation of a communication activity presupposes that the communication goal is taken into account. For instance, assessing a communication campaign aimed at disseminating knowledge on flood resilience is different from assessing a campaign that promotes public engagement in flood resilience strategies. In the specific case of the communication activities examined in Sect. 5, the goal was to raise awareness on flood resilience issues and solutions in the Paris region, as well as to gain the support of the local population for the project implementation. Since this research focus on communication indicators, we assume that communication activities – planned and implemented in the framework of a resilience strategy or project – enhance resilience if they contribute to achieving the strategy or project goals.

As stated by Neresini and Pellegrini (2008), we consider that the methodological principles developed in the sphere of social research can be applied to communication evaluation. In this paper we refer to the research process and techniques adopted in the field of 'Social Impact Assessment' (SIA) (Inter – organisational Committee on Guidelines and Principles for Social Assessment, 1994; Social Analysis at the World Bank: <http://www.worldbank.org/socialanalysis>, last access: 25 September 2018), an approach aimed to monitor and better take into account the effects of social variables in territorial development. In particular, the experiments presented in this paper (Sect. 5) are based on three investigation techniques that are used in SIA: research based on secondary sources, a questionnaire and interviews. The first two techniques are aimed to monitor a selection of RCI, the last one is employed for an exploratory research.

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As we mention above, it is necessary to keep in mind the objective of a communication activity when evaluating it. In the next section, we recall that a communication objective also depend on the territorial context where an activity is implemented. The study that is summarised in the next section, as well as the experiments that are presented in Sect. 5, have been all carried out in the context of Paris region and its flood resilience challenges.

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#### 4 Assessing the impact of communication on urban resilience to extreme weather

In the last five years in the Paris region, public authorities have shown increasing efforts to facilitate access to information on climate risk management, encourage citizens' participation and share responsibilities with them. Unlike the strategies released by public authorities before 2014, recent strategic documents (EPBT SGL, 2014; MEDDE, 2014; OECD, 2014; DRIEE, 2015; DRIEE/DBSN, 2015; Mairie de Paris and Prefecture de Police, 2015; Mairie de Paris, Direction des Espaces Verts et de l'Environnement, Agence d'Écologie Urbaine, 2015; Mairie de Paris and 100 Resilient Cities, 2017) define in a detailed manner the communication objectives, the profile of the target audiences and propose innovative outreach and public engagement activities. Furthermore, they refer to risk culture development as a priority: instead of focusing on emergency warning, as in the past, recent documents treat of outreach and public engagement as opportunities to raise awareness and educate urban communities with long – term effects.

This trend results from a political will to apply the principle of subsidiarity and decentralise risk management (Tanguy, 2015) that have led public authorities to pay attention to the citizens' perceptions. The communication between public authorities and citizens, especially a two-way dialogue, is becoming a keystone of resilience strategies since it facilitates mutual understanding, identification of shared goals and cooperation.

With communication that has become a key part of recent resilience plans, since 2014 the French government calls attention to the need for communication impact assessment in flood risk management strategies (MEDDE, 2014; DRIEE, 2015). A hypothesis of relevant communication variables and indicators has been outlined by Vicari et al. (2016). In this former scoping study, the variables draw on an analysis of 12 strategies, developed by local national and international institutions, from 2003 to 2017, to cope with flood risk in Paris. On the basis of these variables, we have outlined 'Resilience

Communication Indicators' (RCI). The study has consisted in the following steps:

- Identifying communication activities proposed in the public authorities' strategic documents, and specifying the communication context, objectives and target audiences;
- Detecting communication variables that are adequate to the context, objectives, audiences, activities of each communication strategy (outlined through the previous step);
- Identifying the recurrent communication variables that can be exploited as relevant indicators to assess the impact of communication on resilience.

The result of this analysis is five recurring categories of variables that are listed below. According to the study, the following categories can serve as guiding criteria to include relevant RCI in a wider urban resilience assessment:

- i. **Intensity:** number of tweets, retweets, followers, comments, attendees, website unique visitors, visit duration, number of press news items, readerships, etc. Each rate can be broken down by different sub-groups of audiences.
- 5 ii. **Quality** (Did it reach the adequate target audience? Was the message correctly received? Does the response match with what was expected?): number of distributed messages that are consistent with the campaign key messages, percentage of the target audience that had a good understanding of the messages, percentage of the audience who gained knowledge through the campaign, percentage of the target audience that took action to contribute to the campaign goal achievement. Each rate can be broken down by different sub-groups of audiences.
- 10 iii. **Participatory communication:** percentage of the target audience who contributed to disseminating information, percentage of citizen information that contributed to changing risk management. Data on public engagement can be easily collected in the case of projects involving the use of social media (Grandi and Neri, 2014; Topping and Illingworth, 2016) or mobile app for 'citizen science' (Keating et al., 2017; Koole et al., 2015).
- 15 iv. **Comparison:** allows to observe how communication impacts change over time, in different locations and from one segment of the population to the other.
- v. **The interplay with other resilience drivers:** examples of correlations between communication and other urban system components are a) policy makers that have been influenced by the media coverage of a flood (e.g. a debate on insurance issues or alarm dysfunction); b) an information campaign that has contributed to decreasing damages and injuries; c) a new transport connection that has increased the attendance rate and variety of participants to a conference. This criterion allows to establish the connection between RCI and other resilience indicators and to integrate communication assessment in a wider resilience assessment framework.
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The next section presents three different kinds of experiments that have been carried out in the framework of the RainGain project. Each experiment takes into account some of the five guiding criteria listed above. More specifically, the first experiment 'Media coverage monitoring' explores the intensity of communication (criterion i), it compares different time periods (criterion iv) and highlights the correlations between communication and another resilience driver, i.e. a meteorological event (criterion v). The second experiment 'Questionnaire administered to the visitors of an exhibition' explores the quality of communication (criterion ii) and compares different sub-groups of audiences (criterion iv). The third experiment 'Interviews' is aimed at providing data for preliminary research and support monitoring of RCI.

#### 5 The RainGain project: experiences in communication assessment for an urban resilience project

30 The HM&Co (Hydrology Meteorology and Complexity) laboratory of École des Ponts ParisTech has coordinated several research projects aimed at enhancing urban resilience to extreme weather. HM&Co research projects also involve developing and strengthening a network of stakeholders through dissemination and public engagement activities. HM&Co has striven in this direction by first being involved in the participatory workshops addressed to the stakeholders of the ERANET Crue

SUCAs and FP7 SMARTeST projects. After these first experiences, HM&Co has coordinated a four – year long communication strategy in the framework of the RainGain project. The main communication objective was ‘to disseminate and make available the tools and methodologies developed in the project, so that its target groups are informed, educated, involved and mobilised so that vulnerability to urban pluvial flooding is reduced and resilience is enhanced’ (Interreg NWE IVB RainGain, 2011).

The frequency of communication activities and their impact, in terms of audience size, have been monitored since the beginning of the communication plan. This has enabled the RainGain project team to adjust the communication activities during the project implementation when problems were revealed. Indeed, precise target values have been established as part of the communication strategy, in agreement with the European Commission evaluators in charge of the Interreg NWE IVB funding programme. During the execution of the plan, the project partners and the funding programme staff periodically compared the target values to the attained values, in order to appraise if sufficient efforts and resources were devoted to specific activities. The design of the experiments, the data collection and the analysis of the results were carried out through a participatory process, involving the international project team (the communication officer, the scientists and the practitioners), as well as external staff (from the EC Interreg Programme, École des Ponts and Terre et Avenir association) who provided a third party advice.

### 5.1 Media coverage monitoring

Among the communication values that have been monitored during the RainGain project, the media coverage has reached remarkable results that have far surpassed the target values. The data presented in this section have been collected from different sources:

- Feedback from the Communication Department of École des Ponts that constantly monitors, through [Argus de la Presse](http://www.argus-presse.fr/) ([Argus de la Presse](http://www.argus-presse.fr/): <http://www.argus-presse.fr/>, last access: 20 November 2018), if the media mention ‘École des Ponts’.
- A periodic search on Google News of press news items that include the key – word ‘RainGain’.
- Feedback from the researchers that were interviewed by the press on the RainGain project.
- Data on the audience size of printed press have been collected on each newspaper website.

From July 2011 to December 2015, we have counted a total number of 65 news items on the RainGain project, published by the French, Dutch and Belgian press. These news items include 29 articles on printed press, six TV reports, five radio reports, 25 Web articles and online video reports.

Figure 1 shows that during specific months the number of news items has rapidly increased. Two kinds of events have occurred when the increase rate was high:

- The RainGain communication activities (a press release in March 2013 and two conferences in October 2013 and May 2015). These are social and endogenous causes of news rate increase, since they are the outcome of the work of the project team;

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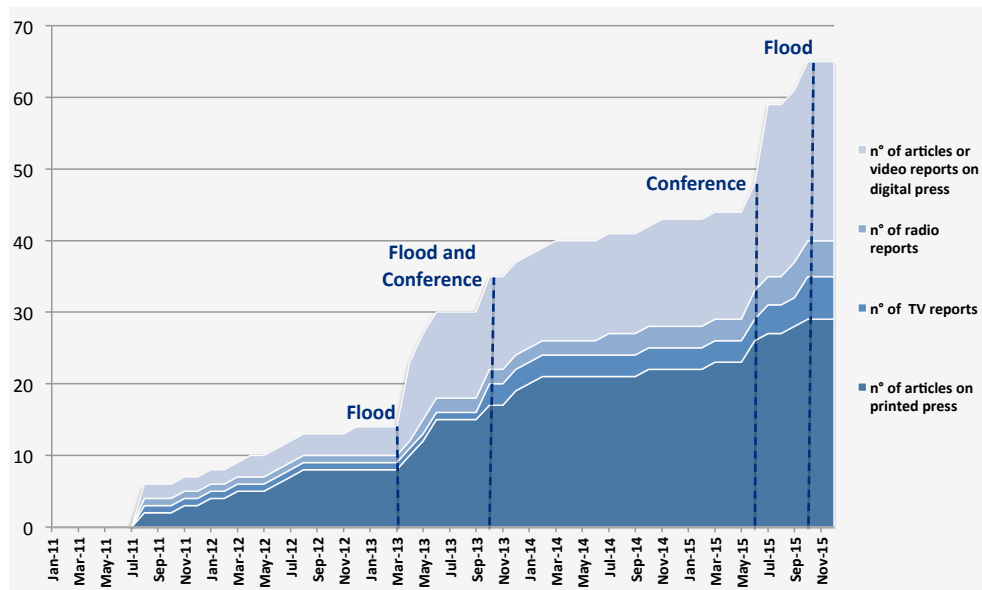
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- Flood events in The Netherlands (October 2013) and in France (October 2015) that are environmental and exogenous causes. The impact of a flood event on media coverage is an example of correlation between an environmental factor and a social factor.



5 **Figure 1: Cumulative number of news items (printed press, digital press, TV and radio) concerning the RainGain project and published from July 2011 to November 2015. The number of news items has rapidly raised during specific events: 1) dissemination of a press release on the project (March 2013); 2) a flood event in The Netherlands, followed by a project conference in France (October 2013); organisation of an international scientific conference related to the project (May – June 2015); 3) a flood event in South–Eastern France (October 2015).**

10 Data on the number of press articles have been compared to the data on the newspaper audience size. We refer to audience size as the readership that has been estimated by the newspaper editor or by companies specialised in media measurement. These estimates are not precise as measurements of immediate audience (i.e. a public who is face–to–face with the speaker), but provide an approximate metric of the potential dissemination of a news item. Figure 2 displays a comparison between the temporal evolution of the cumulative number of articles and the cumulative audience size of printed

15 press. The difference between the two cumulative curves is variable. Indeed, different newspapers have different impacts in terms of audience size. Hence, the impact of an article is variable according to the newspaper that publishes it. This is

particularly true when we compare the impact of a local newspaper to the impact of a national newspaper. The audience size is also variable in the case of TV, radio and digital press.

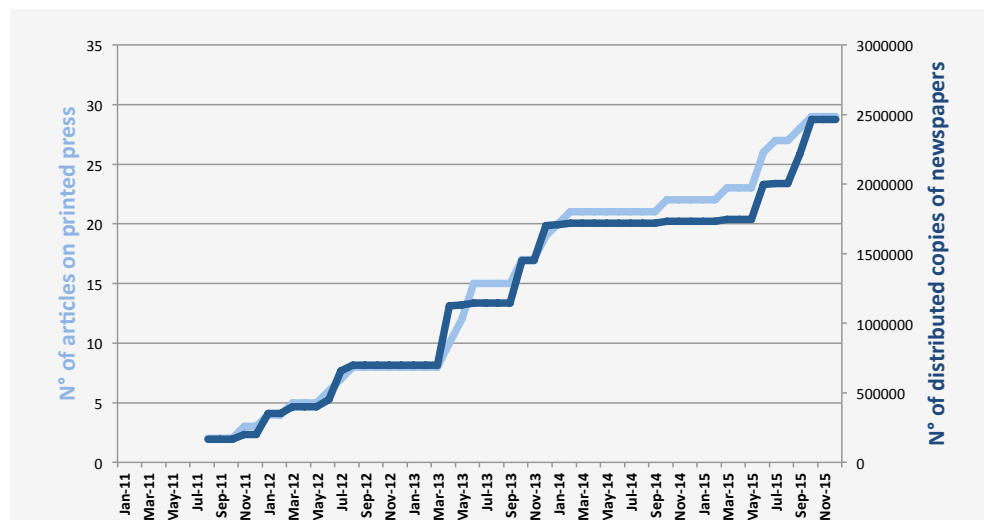


Figure 2: A comparison between the temporal evolution of the cumulative number of articles and the cumulative audience size of printed press. The differences between the two cumulative curves are due to the fact that different newspapers have different impacts in terms of audience size, hence the impact of an article is variable according to the newspaper that publishes it. In order to highlight this variability we have overlapped the first and the last values of the two curves.

The frequency of press news items and the audience size are two RCI that allow identifying the population that has received a specific message. This is a necessary step to evaluate the communication effects on citizens' perceptions and urban community resilience. The RCI employed in this experiment also allow to observe how the resonance of a message evolves over time (Fig. 1 and 2) and to identify possible correlations with other resilience drivers (e.g. a meteorological event, as it is shown in Fig. 1). With respect to the resilience goals of the project, the experiment has shown that press relations allowed gaining attention of the media on urban flood-prone areas and on emerging scientific and technological solutions. We finally should observe that an aggregated analysis of press news items doesn't give any insight on the quality of the communication contents.

## 5.2 Questionnaire administered to the visitors of an exhibition

The experiment presented in this section illustrates how RCI based on a questionnaire can capture the quality of communication and how the audience has interpreted and perceived a message. Indeed, even if a communication activity reaches a wide public, the communication impact on urban resilience will vary according to the way the message is perceived. Questionnaire questions, such as those presented in this experiment, provide variables (e.g. frequency of correct questions, frequency of high risk perception) that can be used as RCI to assess the respondent's interpretation and perception.

An exhibition dedicated to the RainGain project was held at École des Ponts in April – May 2014. It included five panels (84 cm x 120 cm) with texts and images on the project and the weather radar that was going to be installed beside the school, at the end of 2014. The subject of the exhibition was very technical: only professionals in the field of weather forecasts and flood risk management are knowledgeable about it and have access to other sources of information on the topic. The questionnaire has been distributed to the workers and students of the school one month after the end of the exhibition. The questionnaire aimed at exploring how the exhibition contents were interpreted, memorised after several weeks, and if it changed the visitors' perception of RainGain (Persoz, 2014). 37 respondents have been recruited on a voluntary basis among the 513 workers and 827 students of École des Ponts (all domiciled in the Paris region). They have been invited through internal mailing to complete an online questionnaire (see Supplement 1, for a detailed list of the questions). The sample has been expected to be small, since no monetary incentive was provided for questionnaire participation and there was no examiner who could individually reach each potential respondent to solicit his answers. We were also aware that questionnaires give limited insights on the cognitive processes that shape individual and social perceptions. However, rather than obtaining results that can be generalised to a wider population, our main objective was to test if quantitative research can be employed to evaluate the quality of communication. Indeed, this method and the research technique presented in the previous experiment have a common characteristic: they provide numerical data that are adequate to integrate communication assessment in a wider urban resilience assessment.

The questionnaire included questions on the professional background of the respondents. These questions allowed to exclude six experts from the sample, in order to obtain a relative homogeneity in terms of background knowledge. As a result, the final sample consisted in 31 respondents (see Supplement 1 for demographic data). Other questions were aimed at identifying through which source of information the respondents learnt about the project. On the basis of these questions the sample has been divided in four subsets: 1) 13 visitors to the exhibition; 2) five visitors who also read the brochure distributed at the exhibition; 3) six respondents who received only informal information (from word of mouth<sup>4</sup>); 4) 12 participants who never heard about the project. In order to perform a comparative experiment, the first subset has been considered as the experimental group with 13 respondents, while the third and fourth subsets have been considered as the control group with 18 respondents. We have used the Fisher's Exact test to compare the answers by the experimental group with those of the control group.

<sup>4</sup> We use the term 'from word of mouth' to refer to information that was passed from person to person – working or studying at the school – by oral and informal communication.

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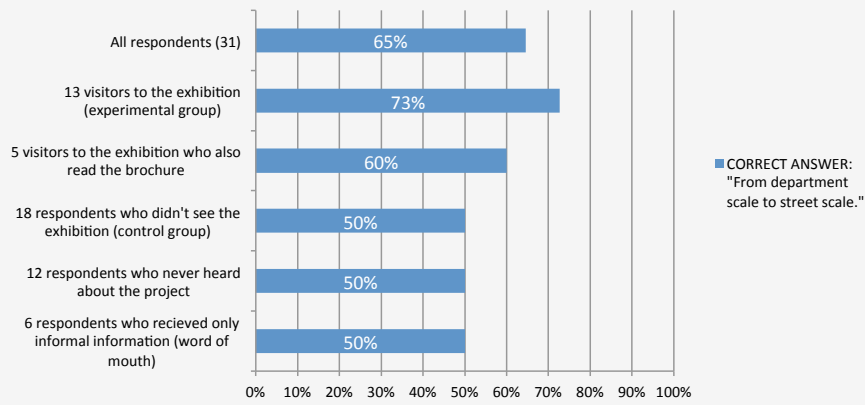
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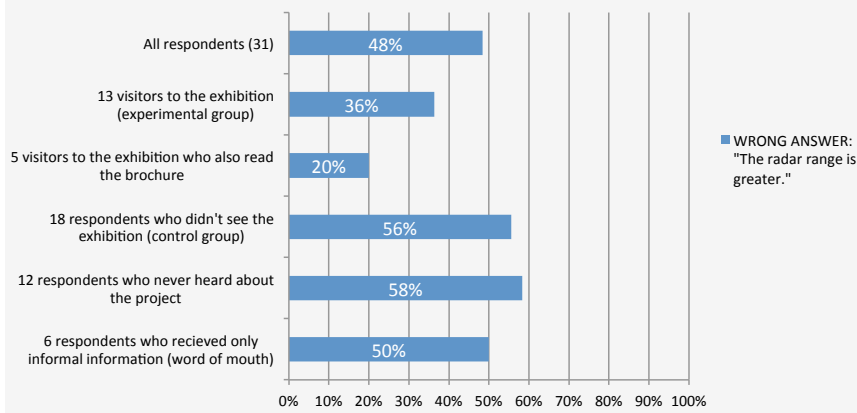
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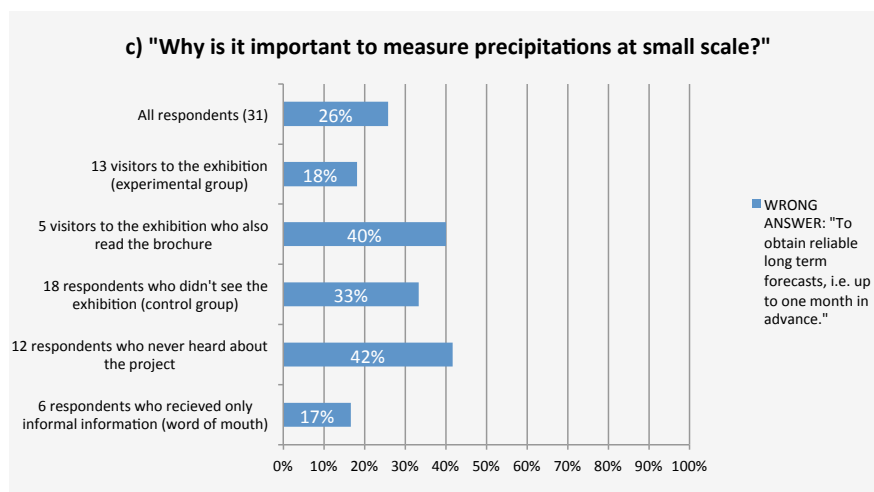
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**a) "What is the spatial scale of the weather data provided by the radar?"**



**b) "What are the advantages of X band weather radars compared to C band and S band radars?"**





**Figure 3: The answers to three of the questionnaire questions on the RainGain exhibition held in April – May 2014. 100% corresponds to the total number of respondents included in each subset: 31 respondents in the first row, 13 respondents in the second row, 5 respondents in the third row, and so on.**

Figure 3 (a) shows that the number of respondents who visited the exhibition and have ticked the correct option for the question ‘What is the spatial scale of the weather data provided by the radar?’ is 23% higher than in the control group. As it appears in Fig. 3 (b), the wrong responses to the question ‘What are the advantages of X band weather radars compared to C band and S band radars?’ are 20% less frequent among the exhibition visitors. According to the results presented in Fig. 3 (c), the number of respondents who visited the exhibition and have provided a wrong response to the question ‘Why is it important to measure precipitations at small scale?’ is 15% lower than in the control group. The discrepancy between the visitors’ results and the control group results is between 15% and 23% and it provides an approximate indication of the impact of the exhibition in terms of knowledge dissemination.

An unexpected result concerns the responses of the respondents who read the brochure at the exhibition in Fig. 3 (a) and 3 (c). In Fig. 3 (a) the rate of correct responses of the respondents who read the brochure is lower (60%) than in the experimental group (73%). Figure 3 (c) shows that the rate of wrong answers by the respondents who read the brochures is surprisingly high (40%): it is close to the rate of wrong answers by the respondents who never heard about the project (42%). We could assume that the respondents, who picked the brochure, have spent little time reading the exhibition panels and that part of the brochure information was not enough didactic and suitable for the general public.



Figure 3 (c) highlights another interesting result: the lowest rate of wrong answers corresponds to the group of respondents who didn't attend the exhibition but heard about the project from word of mouth. We can suppose that face-to-face communication can strongly reinforce transmission of highly technical information.

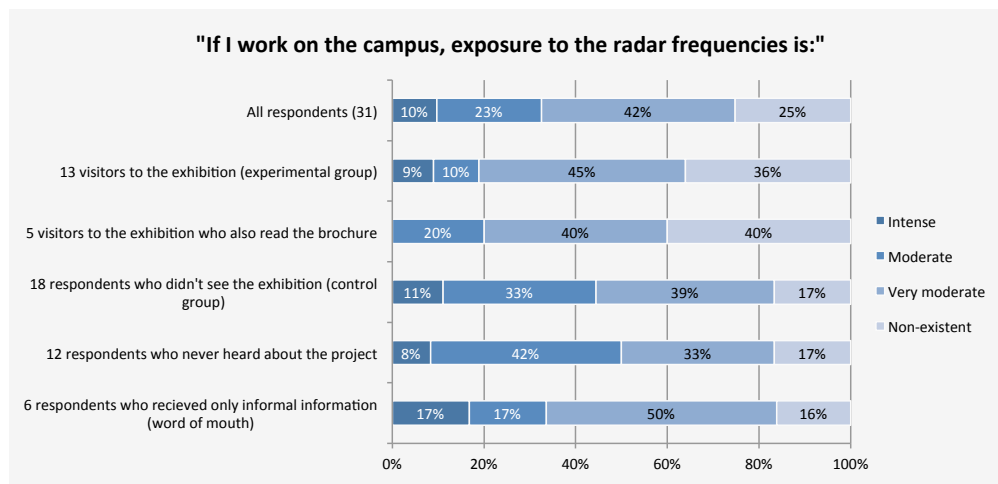
To sum up, the answer rates, displayed in Fig. 3, show that the exhibition had a modest positive effect on the respondents' awareness about a flood resilience project, the background environmental issues and the solutions being developed. We suppose that this effect was reinforced by word of mouth communication, but has also been weakened by the brochure.

Figure 4 presents the answers to a questionnaire question aimed at evaluating the risk perception and project acceptance of the respondents who visited the exhibition. The results show that the exhibition and the brochure, i.e. formal and official information, helped to reassure the respondents on security issues and encouraged them to support the implementation of the flood resilience project. Word of mouth communication didn't have such a positive effect as formal information, but neither did it compromise the achievement of the project goals.

The Fisher Exact test<sup>5</sup> has been applied to the results of the four questions: *p* – values aren't significant, as these are always greater than 0.05 (the conventionally accepted significance level). Hence, the test confirms that, because of the small size of the sample, the differences between the answers by the experimental group and of the control group aren't statistically significant.

<sup>5</sup> We have computed a 2x2 contingency table, for each questionnaire question, with the frequencies of: a) the correct answers by the experimental group; b) the correct answers by the control group; c) the wrong answers by the experimental group; d) the wrong answers by the control group. We have then applied the Fisher's Exact test because in all the 2x2 contingency tables at least one value is  $N \leq 5$ . The test uses the following formula where the 'a,' 'b,' 'c' and 'd' are the individual frequencies of the 2X2 contingency table, and 'N' is the total frequency:

$$p = ((a + b)! (c + d)! (a + c)! (b + d)! ) / a! b! c! d! N!$$



**Figure 4: Answers to a questionnaire question evaluating the risk perception of the visitors after the RainGain exhibition. 100% corresponds to the total number of respondents included in each subset: 31 respondents in the first row, 13 respondents in the second row, 5 respondents in the third row, and so on.**

### 5.3 Interviews

While questionnaires with close – ended questions allow quantifying the results, interviews can reveal more insights on the reactions and reasoning of the respondents. These research techniques don't provide quantitative variables that can be used as RCI. Nevertheless, this is a helpful evaluation method to be adopted for exploratory studies. Two assessments based on open-ended questions have been conducted during the RainGain project in relation to outreach activities.

One of the achievements of RainGain has been the inauguration of a new high – resolution weather radar at École des Ponts during the international conference 'Researchers & water managers preparing cities for a changing climate' (8 and 9 June 2015). The promotion of this event has involved a wide range of outreach activities and means. One of the promotional contents, which have been produced on this occasion, is a short video (Mulard et al., 2015). It shows the installation of the radar, highlights the importance of this device in terms of research and innovation and invites the audience to attend the conference. The video is mainly addressed to the students and workers of École des Ponts, since the school is located in front of the radar site. Jeanine, the manager of the school café and a charismatic and well-known figure on the campus, has been involved as the speaker of the video. While the video has been broadcast on YouTube and on the school screens, two women and two men have been interviewed (they were all university students, aged between 20 and 23 years and domiciled in the Paris region). The questions, displayed in Tab. 1, aimed to appraise what kind of information the audience expected, how they interpreted the video contents, and how they reacted to the interview questions. The

respondents have been selected from the list of students invited to the conference and they have participated in the interview on a voluntary basis.

a. What was unclear in the video and why?
b. Which aspects of the project would you like to learn more about?
c. What are the strengths and weaknesses of this video?

Table 1: Questions to the audience of the video ‘Jeanine presents the radar’ (Mulard, 2015).

The video has been appreciated by the respondents who found it ‘catchy’ thanks to its dynamic pacing and the charisma of the speaker. They have also found interesting the images of the radar installation. However, the respondents, who were all engineering school students, expected more information about the radar functioning and its concrete applications. They were curious about the extent of the implementation of the project (‘Is the radar already operational?’, ‘How many new radars will be installed in Europe?’, ‘You should include a map with the pilot sites in the video.’) and the radar functioning (‘Does the radar allow predicting the rainfall volume?’, ‘It would have been nice to see some radar images.’). They also wondered about the researchers and engineers that operate the radar, the services that can be developed with these new weather data (‘Is it used only for weather forecasts?’, ‘Is it possible to use it for Roland-Garros?’). These results will be used to design new questionnaires addressed to students from an engineering school. In particular, it appears relevant to include questions that make the link with their professional interests and that are accurately tailored to their background knowledge.

A similar assessment, based on three open-ended questions (Table 2; see Supplement 2 for the respondents’ answers), has been undertaken in November 2015 to evaluate the impact of a workshop on RainGain (held during the Provin Climate Forum). The respondents were all the participants of the workshop: 18 pupils, ten girls and eight boys, all aged between eight and nine years and resident in the Paris region, who had been invited by the forum organisers. We chose the snapshot interviews (Fogg Rogers et al., 2015) as an investigation method since it is an alternative technique that is appropriate for a young audience and the context of a forum. The assessment has highlighted that the audience enjoyed and well remembered a manual activity on rainfall observation where they were active participants. It also revealed that the third question was misunderstood. Its purpose was to assess the clarity and exhaustiveness of the communication contents, but the respondents have interpreted it as a question testing their learning capacities. This result suggests that the questions addressed to a young audience should be formulated in such a way that the respondents don’t feel like they are being examined.

a. What did you like in this workshop?

b. What did you learn that you didn't know before?

c. Is there anything you didn't understand or you would like to learn more about?

Table 2: Questions to the participants of the RainGain workshop, conceived and held by Auguste Gires in the framework of the Provin Forum (November 2015).

## 6 Conclusions and perspectives

5 The increasing awareness of the role that citizens can play as active actors of urban resilience make it necessary to develop relevant indicators of the communication impact. This study highlights that quantitative metrics are a promising tool for communication assessment in the framework of resilience strategies and shows, at the same time, that aggregated analysis has its limits.

10 The experiments carried out during the RainGain project have brought out valuable RCI. A preliminary study of Paris flood resilience strategies and the related communication plans has allowed us to identify five recurring categories of communication variables. Each category constitutes a helpful guidance to define RCI. At this stage of the research, we are cautious in generalising the validity of the RCI guiding criteria because they refer to the resilience communication strategies adopted in a unique region to cope with a specific climate risk. Nevertheless, this work paves the way to future developments. The same applies to the following conclusions that are the result of a limited number of small-scale  
15 experiments.

The media monitoring experiment highlights that news item frequency and audience size are two RCI that allow estimating the population that has been reached. Furthermore, it shows that a correlation between a physical environmental process (a flood event) and a social process (press communication) can be quantified. Nevertheless, aggregated analysis doesn't allow investigating the quality of the contents that have spread through the press: for instance, if the representation of  
20 scientific innovation by the press is positive or negative and if links between science and urban resilience are highlighted by the media. Computer – assisted text mining tools are a possible methodological path to be followed.

Such big data exploration techniques would also allow overcoming time and cost constraints that have been encountered with the questionnaire experiment, the results of which should be validated with a larger sample. However, thanks to this experiment it has been possible to: 1) design RCI aimed at evaluating how a message has been interpreted and  
25 perceived by a non-specialist audience; 2) test their implementation in the operational context of a resilience communication campaign; 3) compare the experimental group response with the control group response in order to normalise the response ratings to different questions.

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The questionnaire didn't provide in-depth understanding of the respondents' reasoning and reactions. On the contrary, qualitative assessment methods, such as interviews, allow to move beyond an aggregated analysis and to zoom into an individual perspective. Nevertheless, for the purposes of this research, qualitative research methods are adequate for preliminary studies. The interview outcomes show that these results can be used to develop the content of the questionnaire and ensure that questions are formulated in an appropriate fashion.

The methods tested through these three experiments appear to be complementary and endorse the following conclusions: assessment aimed to investigate the impact of communication on resilience cannot rely on a unique technique and quantitative analysis is paramount in this context. Indeed, data in numerical form facilitate the study of interactions between the communication processes and other resilience drivers, such as meteorological events. Investigating these interactions is a necessary basis to integrate communication indicators in a wider urban resilience assessment.

As a follow-up to this study, we envisage comparing the present results with those obtained by investigating the quality of digital media contents and the socio-semantic dynamics that occur through the Web. In a resilience assessment perspective, the advantage of digital communication datasets is that they allow extracting numerical data on social relations. Moreover, thanks to computer aided exploration techniques it is possible to both consider the global trend and the individual behaviour. The RCI employed in the media monitoring and questionnaire experiments could be tested on larger scales thanks to big data exploration techniques. At the same time, the methods discussed in this paper can be used to detect possible biases induced by the Web. This emphasises again the need to use complementary techniques to assess communication impact on urban resilience.

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