



# Building bridges between experts and the public: a comparison of twoway communication formats for flooding and air pollution risk.

Maria Loroño-Leturiondo<sup>1</sup>, Paul O'Hare<sup>1</sup>, Simon J. Cook<sup>2</sup>, Stephen R. Hoon<sup>1</sup>, Sam Illingworth<sup>1</sup>

<sup>1</sup>School of Science and the Environment, Manchester Metropolitan University, Manchester, M1 5GD, UK
 <sup>2</sup>Geography, School of Social Sciences, University of Dundee, Dundee, DD1 4HN, UK

Correspondence to: Maria Loroño-Leturiondo (m.lorono.leturiondo@mmu.ac.uk)

# Abstract

Urban centres worldwide are adversely affected by flooding and air pollution. Better prepared citizens are crucial to limiting the impacts of these hazards, and both lay knowledge and personal experiences are important in complementing and

- 10 challenging expert opinion. For the first time, this study offers a critical comparison of how different two-way communication formats have been used worldwide between experts and the public in relation to flooding and air pollution risk. Through a systematic review, we analyse social media, educational programmes, serious games, citizen science, and forums in terms of their effectiveness in respect of communicating short-term incidents, long-term awareness, and long-term knowledge in the context of flooding and air pollution risk. We find that there is neither a one-size-fits-all, nor superior, format of
- 15 communication. No single format is effective in fulfilling all three communication pruposes. All five formats analysed appear to be successful under different circumstances and are never representative of all segments of the population. Communication between experts and the public is difficult and full of tensions, information alone is not enough. Our study shows different ways of incorporating strategies to build trust between experts and the public and make communication more fun and accessible, breaking down hierarchies and creating safe spaces for co-creation where everyone feels empowered to participate
- 20 and benefits.

#### **1** Introduction

Flooding and air pollution represent serious concerns for many urban populations worldwide aggravated, for example, by climate change, growing populations, and increasing urbanisation (Committee on Climate Change, 2016; World Economic Forum, 2016). The localisation of flooding and air pollution impacts imparts responsibility jointly upon local governments,

25 citizens, and other relevant stakeholders (Butler and Pidgeon, 2011; Johnson and Priest, 2008). In this shift of responsibility to the local scale, citizens should be instrumental in driving local solutions and in tackling air pollution. Not only do citizens possess highly pertinent local and personal on-the-ground experience and knowledge, but also when they are aware and prepared they become key to limiting the damage that a specific hazard brings to people, the economy, and the environment (Bickerstaff, 2004; Burningham et al., 2008; Environment Agency, 2001; O'Hare et al., 2016).







Through a systematic review, we have selected and analysed 50 articles. For the first time, we offer a comparison of how five different two-way communication formats can be used for incidents, raising awareness and promoting knowledge exchange; as well as providing a comparison across flooding and air pollution hazards.

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The awareness and preparedness campaigns for flooding and air pollution have followed the premise that if citizens have information, they will be aware, prepared and ready to take action (Bickerstaff, 2004; Burningham et al., 2008). This aligns with a "deficit model" in which citizens are passive receivers of information and experts fill the knowledge gap through a one-way form of communication to the public (Miller, 2001). However, citizens are not passive receivers of information; they are

- 10 active, critical, have values and beliefs and possess lay knowledge as well as relevant previous experiences (Longnecker, 2016), in this case, of floods and the effects of air pollution. One-way provision of information does not, therefore, realise the full potential of knowledge transfer. The discrepancies of information with people's personal experiences of flooding or air pollution, together with an excessive use of technical language, as well as the challenge to present ambiguity and uncertainty in complex topics, are some of the factors that can debilitate the communication process (Bickerstaff, 2004; Bickerstaff and
- 15 Walker, 1999; Burningham et al., 2008). The existing climate of mistrust between the public and (some) experts is another factor that can limit the effectiveness of communication (Bickerstaff, 2004; Goodwin and Dahlstrom, 2014; Slovic, 1999; Weingart and Guenther, 2016). The government and the media, for instance, are not seen as being very trustworthy, mostly due to a suspicion about their respective political agendas, which may be at odds with doing their best for the public good (e.g. Bickerstaff, 2004; Bickerstaff & Walker, 1999).

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Promoting local perspectives and on-the-ground experiences and facilitating knowledge exchange between experts<sup>1</sup> and nonexperts is key in reducing risks associated with flooding and air pollution, but a challenge nonetheless. Public engagement for knowledge exchange purposes has proven to be governed by an incapacity to create a non-hierarchical and safe space for cocreation in which the public feels empowered to contribute knowledge and the experts are dissociated from the authoritarian

- 25 figure (Whatmore and Landström, 2011). Genuine knowledge exchange requires a "substantial" approach to public engagement, in order to "improve the quality of decision-making, to create more socially robust scientific and technological solutions" (Wilsdon and Willis, 2004, p.39). In this approach, active citizens are subjects rather than objects in the governance of science and technology. Specifically, substantial public engagement would require: (1) a public that is encouraged to deliberate jointly with the experts in contrast to only being allowed to voice an opinion; (2) agreement that the goal is to reach
- 30 consensus, and not just exploring different views; (3) breaking knowledge hierarchies and actively promoting the experiences, opinions, and agendas of experts and non-experts equally; and (4) inclusion of underrepresented groups or groups who usually hold more critical, strong and dissimilar views (Wilsdon and Willis, 2004, p.39). In other words, if participation is not to be

<sup>&</sup>lt;sup>1</sup> By experts we include anybody who investigates, works with, or manages flooding and air pollution.







an empty and vacuous process it must come hand-in-hand with a redistribution of power. Levels of participation have been represented through the metaphor of a ladder. On the first rungs, there are efforts to educate people. These are followed by citizen consultation initiatives, where citizens have a say, but where it is uncertain how, or whether, those views are incorporated. The highest rung represents citizen-control, in detriment to an equal partnership with traditional power holders (Arnstein, 1969). This highest form of participation may be expressed in terms of partnership between citizens and traditional

power holders, when the process becomes a negotiation.

Central to this line of work is the need to move away from one-way provision of information, and explore how two-way communication can be established. There is a need to examine what two-way communication formats are available, and to

- 10 ascertain which of these are more suitable for raising awareness and which would allow substantial engagement and expertpublic knowledge partnerships. It is also important to investigate who are the actors of two-way communication processes, and who initiates or controls these communication processes. Several recent studies have focused on the one communication format in particular (e.g. Bosschaart et al., 2016; Breuer et al., 2017; Leon et al., 2015; Lo et al., 2013; Sîrbu et al., 2015), and in flooding or air pollution at a time – with some exceptions combining multiple hazards (Rodriguez Bermúdez et al., 2015),
- 15 our systematic reviews offers an overview of possibilities. This study offers a comparison across five different formats embracing two very different hazards: flooding and air pollution. Flooding is discrete, visual, and has direct consequences on people and infrastructure, which makes it tangible; whereas air pollution is a continuous threat, almost invisible, and its impacts are distant in time, which makes it difficult to grasp. Different studies mention how participants who link flooding to climate change are more likely to relate to this issue, due to the invisibility of climate change and yet the visibility of flooding (e.g.
- 20 Whitmarsh, 2008). These studies also show how sensory cues (visual or olfactory) are necessary for people to relate to, the otherwise intangible, air pollution (e.g. Bickerstaff and Walker, 2001).

# 3 Two-way communication in flooding and air pollution: a systematic review

# 3.1 Search strategy

Systematic reviews have become an increasingly popular research method in relation to climate change. In such a review a study pool is created based on a well-defined search protocol and a set of inclusion and exclusion criteria (Boland et al., 2013; Ford et al., 2011; Groulx et al., 2017; Lumbroso et al., 2017; Petticrew and Roberts, 2005). The metadata searches for this systematic review were conducted in two large and multidisciplinary academic databases: Scopus and Web of Science. This review emulates the technique used by Groulx et al., (2017), as their analysis of the different learning outcomes of citizen science projects is similar in approach to our aim of investigating communication outcomes of different two-way

30 communication formats. The process followed in the systematic review is outlined in Figure 1.







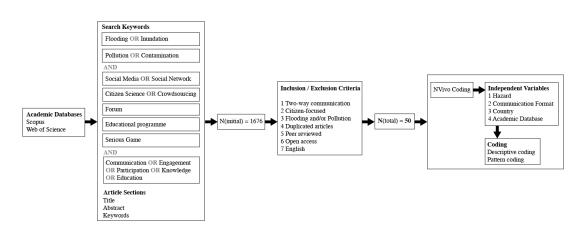


Figure 1: Overview of the systematic review methodology, stating (1) in which academic databases the search was conducted, (2) in what parts of the articles the search keywords were looked for, (3) the different selected search keywords, (4) the initial number of retrieved articles, (5) the applied inclusion and exclusion criteria, and (6) the final number of articles to be analysed.

Our systematic review was limited to formats that allow two-way communication, based on the transactional model of communication by (Wood, 2011). This moves away from the "sender" and "receiver" terminology employed in the Shannon-Weaver linear model of communication (Shannon and Weaver, 1998), to talk about two "communicators" who issue and

- 10 receive information (Bowater and Yeoman, 2012). These formats include: social media, educational programmes, discussion forums (collaborative encounters where experts work closely with affected communities; Whatmore and Landström, 2011), serious games (those which exceed mere entertainment purposes and also intend to educate; Abt, 2002), and citizen science projects, which can be described as "collaborative research that involves members of the general public (or citizens), and which actively involves them collecting, generating, and analysing data" (Illingworth & Allen, 2016, pp. 5–12). This list of formats
- 15 was informed by an initial literature review of the field (Amri et al., 2016; Aubert et al., 2015; Fohringer et al., 2015; Gravina et al., 2016; Mani et al., 2016; McCormick, 2012; Pennington et al., 2015; Salvati et al., 2016; Whatmore and Landström, 2011), and in discussion with stakeholders in urban risk management, namely Association of Greater Manchester Authorities (AGMA). The initial literature review also revealed that the search strategy had to account for terminological synonyms and alternative denominations. For example, "flood" is often used interchangeably with "inundation". Finally, the literature review
- 20 elucidated that other terms had to be considered together with "communication", such as: engagement, participation, knowledge, and education. Search keywords were linked using the Boolean operators AND/OR, and search was programmed to retrieve articles containing these search terms in the title, abstract, and keyword sections.





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# 3.2 Article inclusion criteria

Not all the articles retrieved in these searches were relevant and thus a set of seven inclusion criteria were designed (Figure 1, box 5):

- Criterion 1 was designed to include only articles addressing two-way communication. For example, articles dealing
  with flyers and leaflets in mailboxes as educational propaganda were retrieved under the term "educational
  programmes", but these formats do not permit interaction between experts and non-experts.
- Criterion 2 ensured that articles were only included where they explained how the communication process impacted the citizen. The articles could highlight, for example, how the participant became more aware of a risk, or how their input was incorporated into policy. Articles dealing with data mining, in which the user does not realize that their data was being taking into consideration were discarded.
- Criterion 3 was included so that only articles dealing with flooding and air pollution were selected. Sometimes, other types of pollution, such as water pollution, came up. Additionally, HIV prevention campaigns were retrieved under the category "contamination" (terminological synonym of pollution) although they were not relevant for our study.
- Criterion 4 removed duplicate articles. Some of the articles came up under two different communication formats when these were used simultaneously, and had to be removed from the secondary category.
- Criterion 5 assessed whether the article was published in academic and peer-reviewed literature.
- Criterion 6 was related to accessibility, and how the articles had to be either open access, available through the Manchester Metropolitan University library, or fully and freely accessible through Researchgate by via author ellective uploads.
- Criterion 7 ensured that only articles written in English were considered.

# 3.3 Coding the articles

The articles were coded for qualitative data analysis using the NVivo software. All the articles were assigned four independent variables: country, hazard (flooding or air pollution), format of communication (social media, serious games, educational programmes, citizen science, or forums), and academic database (Scopus or Web of Science). The coding exercise was

- 25 developed in two cycles. Firstly, the data were analysed through a method called "descriptive coding", which allows the attribution of a label that describes the basic theme of a paragraph. Secondly, the data were later re-coded using a method called "pattern coding" (Saldana, 2009), finding relationships between codes and grouping data into more meaningful units. The coding exercise took place first with the articles retrieved from Scopus, and the articles in Web of Science were used to prove data saturation (Bryman, 2012).
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All the articles analysed in the systematic review can be found in Table 1.

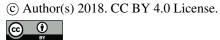
Table 1: A list of the \$0 articles analysed in the systematic review. Each article is accompanied by the following information: authors, title, country in which the communication was im as well as the main communication format if focues on.

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Discussion started: 18 May 2018

Authors	Title	Country
Aisha et al., 2015	Exploring the Use of Social Media During the 2014 Flood in Malaysia	Malaysia
Al-Saggaf and Simmons, 2014	Social media in Saudi Arabia: Exploring its use during two natural disasters	Saudi Arabia
Bormann et al., 2012	Adaptation of water management to regional climate change in a coastal region - Hydrological change vs. communi	Germany
Bosschaart et al., 2016a	Designing a flood-risk education program in the Netherlands	The Netherlands
Bosschaart et al., 2016b	Evaluating a flood-risk education program in the Netherlands	The Netherlands
Breuer et al., 2017	Exploring the application of a flood risk management Serious Game platform	Germany
Bunce et al., 2012	Exploring information experience using social media during the 2011 Queensland floods: A pilot study	Australia
DeForest Hauser et al., 2015	Passive samplers and community science in regional air quality measurement, education and communication	USA
Demir, 2014	Interactive web-based hydrological simulation system as an education platform	USA
Elnokaly et al., 2008	Engaging architects and architectural students in global warming awareness	Egypt
Fedorenko and Sun, 2016	Microblogging-Based Civic Participation on Environment in China: A Case Study of the PM 2.5 Campaign	China
Felicio et al., 2014	Stop disasters game experiment with elementary school students in Rio de Janeiro: Building safety culture	Brazil
Fritze and Kray, 2015	Community and governmental responses to an urban flash flood	Germany
Jiao et al., 2015	Application of citizen science risk communication tools in a vulnerable urban community	USA
Kaewkitipong et al., 2012	Lessons learned from the use of social media in combating a crisis: A case study of 2011 Thailand flooding disaster	Thailand
Kaewkitipong et al., 2016	A community-based approach to sharing knowledge before, during, and after crisis events: A case study from Thail	Thailand
Kay et al., 2015	Can Social Media Clear the Air? A Case Study of the Air Pollution Problem in Chinese Cities,	China
Kongthon et al., 2012	The role of Twitter during a natural disaster: Case study of 2011 Thai Flood	Thailand
Kongthon et al., 2014	The role of social media during a natural disaster: A case study of the 2011 Thai flood	Thailand
Le Coz et al., 2016	Crowdsourced data for flood hydrology: Feedback from recent citizen science projects in Argentina, France and Nentina, France, new Zea	ttina, France, new Zu
Leon et al., 2015	Supporting Local and Traditional Knowledge with Science for Adaptation to Climate Change: Lessons Learned fro	Solomon Islands
Leong et al., 2015	ICT-enabled community empowerment in crisis response: Social media in Thailand flooding 2011	Thailand
Lo et al., 2013	Reciprocity as deliberative capacity: Lessons from a citizen's deliberation on carbon pricing mechanisms in Austral	Australia
Mackay et al., 2015	Digital catchment observatories: A platform for engagement and knowledge exchange between catchment scientists	UK
Macatal 2014		









McCallum et al., 2016	Technologies to Support Community Flood Disaster Risk Reduction	China
McCormick, 2012	After the cap: Risk assessment, citizen science and disaster recovery	NSA
Moreno Ramírez et al., 2015	Pollution Prevention through Peer Education: A Community Health Worker and Small and Home-Based Business I	NSA
Naik, 2016	A crowdsourced sensing system for disaster response: A case study	China
Ngo et al., 2017	Why participation matters for air quality studies: risk perceptions, understandings of air pollution and mobilization	Kenya
Rebolledo-Mendez et al., 2009	Societal impact of a serious game on raising public awareness: The case of FloodSim	UK
Rijcken et al., 2012	"SimDelta"-Inquiry into an Internet-Based Interactive Model for Water Infrastructure Development in The Nether	The Netherlands
Rizza and Pereira, 2014	Building a resilient community through social network: Ethical considerations about the 2011 Genoa floods	Italy
Rodriguez Bermúdez et al., 2015	ECity: Virtual city environment for engineering problem based learning	Europe
Roshandel Arbatani et al., 2016	Effects of Social Media on the Environmental Protection Behaviour of the Public (Case Study: Protecting Zayandel	Iran
Rothkrantz, 2016	Flood control of the smart city Prague	Check Republic
Salvati et al., 2016	Communication strategies to address geo-hydrological risks: the POLARIS web initiative in Italy	Italy
Santos et al., 2012	Santos, B., Romão, T., Dias, A. E., Centieiro, P. and Teixeira, B.: Changing environmental behaviors through smart	Portugal
Savic et al., 2016	Serious gaming for water systems planning and management	The Netherlands
Senaratna et al., 2013	Natural hazards and climate change in Kenya: Minimizing the impacts on vulnerable communities through early we	Kenya
Sîrbu et al., 2015	Participatory patterns in an international air quality monitoring initiative	gium, Germany, UK, It
St. Denis et al., 2014	Mastering social media: An analysis of Jefferson County's communications during the 2013 Colorado floods	NSA
Starkey et al., 2017	Demonstrating the value of community-based ('citizen science') observations for catchment modelling and characte	UK
Wan Hussin et al., 2016	Knowledge sharing via online social media during flood disaster events: A review	Australia
Ward et al., 2016	Air Toxics Under the Big Sky: examining the effectiveness of authentic scientific research on high school students'	USA
Whatmore and Landström, 2011	Flood apprentices: an exercise in making things public	UK
Wister et al., 2016	Emergency population warning about floods by social media	Unspecified
Xu, 2014	Communicating the right to know: Social media in the do-it-yourself air quality testing campaign in Chinese cities	China
Yadav and Rahman, 2016	The social role of social media: the case of Chennai rains-2015	China
Zhang et al., 2014	Usage of social media and smartphone application in assessment of physical and psychological well-being of indivi	Southeast Asia





# 4 Results of the systematic review

#### 4.1 Social media: Incident-related knowledge exchange, response coordination, and awareness raising.

Social media can be used for short-term communication, in the case of an imminent or ongoing incident, such as flooding (e.g. Bunce et al., 2012) or air pollution crisis (e.g. Zhang et al., 2014). Most examples which involve emergency communication come from flooding and only relatively few from air pollution. Most often air pollution is an ongoing problem and thus social

5 come from flooding and only relatively few from air pollution. Most often air pollution is an ongoing problem and thus socia media is used with a long-term focus (e.g. Fedorenko and Sun, 2016).

The articles show that when social media is used for short-term communication, it can be done so in relation to three different aims: (1) It can be used to issue warnings and information in relation to an incident. For example, during the 2011 Queensland

- 10 (Australia) floods, the Queensland Police Service used their Facebook page as the main channel for emergency communication, allowing citizens to "access, post and share information about road closures, flood peaks", etc. (Bunce et al., 2012, p.37). Similarly, during the flooding in Thailand in 2011, social media was used for sharing information and advice on how to behave and what actions to take: "victims shared the photos of their homes and the flood, and the knowledgeable ones uploaded their advice and analysis about the situation" (Leong et al., 2015). If, in the first instance, information is produced and posted
- 15 primarily by official sources (i.e. the police service), and this is consumed, shared, and reframed by citizens as they see fit; in the second instance, the communication process is initiated by citizens by posting questions or images, on which they get feedback either from other more experienced citizens or from official sources. (2) Social media can also be employed by experts (e.g. government officials or response teams) to collect on-the-ground information (e.g. from affected citizens and neighbours), regarding the situation during and after a flooding incident, which can help provide a more accurate response to
- 20 the situation (Rizza and Pereira, 2014; Yadav and Rahman, 2016). In other words, "people's collective intelligence can be utilized by government and private emergency organizations to better comprehend the real picture of the crisis, which eventually assists in effective decision making to deploy resources for rescue and relief manoeuvres" (Yadav and Rahman, 2016, p.100). Social media is also used by affected populations to share emotions, and consequently by government officials to observe citizens' physical and psychological wellbeing during a crisis. During the 2013 Southeast Asian Haze, for example,
- 25 social media allowed the acquisition of information from the general population in this regard, which is key in determining how citizens are coping (Zhang et al., 2014). (3) Social media has also proven to be useful for coordinating response to a crisis. For example, it can be used to ask for donations, provide help, or to gather supplies such as temporary accommodation or food (Wan Hussin et al., 2016).
- 30 Social media can also be used with a long-term focus, in order to raise awareness about key issues (Roshandel Arbatani et al., 2016). The air pollution campaign in China (2011-2012), for instance, used social media for "illustrating the size and impacts of particulate matter in accessible ways" (Fedorenko and Sun, 2016). Social media is also a valuable medium to encourage







attitudinal and behavioural change, for example, through sharing adaptation strategies and measures that citizens can adopt to tackle air pollution (Kay et al., 2015). Alternatively, social media can function as an effective platform to encourage debate between experts and community members. In the aftermath of the 2011 Thai flood crisis, social media was used to "share compassionate stories, obtain emotional and physical support from their peers" which helped in the recovery process

5 (Kaewkitipong et al., 2016). Finally, social media can also be employed to campaign in relation to an environmental injustice or problem, fostering collective action, group identity, and a sense of belonging to a community (Xu, 2014). This last usage of social media is especially relevant for countries, such as China, where public gatherings of any political nature are prohibited.

Social media facilitates an important three-way process. In social media, downstream approaches from experts to the public,

- 10 coexists with "horizontal interactions" between citizens (Fedorenko and Sun, 2016), alongside an upstream approach were citizens take the lead. In social media, control over the communication and the media is distributed across experts and non-experts alike, that is, "the power previously contained in the hands of government agencies shifts to the people" (Leong et al., 2015, p.193). In the face of this democratisation in the communication process, different studies highlight the importance of having a person or a team dedicated to managing social media communications, before, during, and after a crisis. Constant
- 15 interaction as well as tirelessly responding to questions and comments is key to maintaining control and to counteracting misinformation and rumours with real and valid information (Wan Hussin et al., 2016; Xu, 2014). The fact that anyone can post information on social media, and that there is no quality control over what gets posted, can be a limitation inherent to this medium. People receiving incorrect information on how to behave during a flood, or people taking advantage of flood incidents by failing to pass on donations to victims are two examples of such a limitation (Wan Hussin et al., 2016). On a more practical
- 20 basis, different studies highlight the importance of using hashtags to help channel the discussion and the communication efforts to relevant people or to interested parties (St. Denis et al., 2014). Additionally, social media appears to be a medium for concise and brief information. Twitter in particular has a character limit on tweets. Therefore, it is commonplace to find messages containing links to external resources and more detailed information (St. Denis et al., 2014).

# 4.2 Education programmes: awareness raising.

- 25 If the systematic review found that social media is suitable for short- and long-term communication, then educational programmes are used solely with a long-term focus, namely, to raise awareness or promote a certain behaviour. An educational programme implemented in Arizona, for example, was designed to help home-based and hard-to-reach businesses (e.g. a beauty salon or a printers) in becoming green. The aim was set in helping them transition towards less-toxic substances, as well as in encouraging these professionals to reuse and recycle materials (Moreno Ramírez et al., 2015). In the Netherlands,
- 30 an educational programme had the aim of improving the understanding of hydrological concepts, and enhancing preparedness intentions of 15-year-old students (Bosschaart et al., 2016; Demir, 2014).







Educational programmes appear to follow a downstream approach, meaning that although communication flows from expert to public and vice versa, it is the experts who initiate the communication process, that is, the ones to design, organize, and set up the educational programme. Different studies agree on the benefits of implementing these programmes with the help of opinion leaders. That is, figures who are respected, perceived as being knowledgeable, and who hold higher levels of trust

- 5 than, for instance, government officials. Opinion leaders are usually close, or have access, to the community or segment of the population at which the programme is aimed. In the programme implemented in Arizona, female Hispanic community health workers (called "promotoras"), were invited to run the programme. They possess leadership skills that allow them to effectively influence their community (Moreno Ramírez et al., 2015). They are trusted because they have been trainned in public health issues, and because they are "indigenous to the community" (Moreno Ramírez et al., 2015). Sometimes, the figure of the
- 10 opinion leader is interchanged with that of a mediator. In cases when the programme is designed to be implemented in schools, for example, school teachers become mediators, whose job it is to guide the learning (e.g. Bosschaart et al., 2016), and the experts behind the programme design (e.g. scientists or local governments) remain as an available resource throughout the programme. It is commonplace that these intermediaries receive specific training on how to run the programme. In the case of the "promotoras", for instance, they received expert training and necessary materials to provide real-world application for
- 15 specific business needs that can translate into positive change (Moreno Ramírez et al., 2015).

Educational programmes are characterized by being highly interactive. This interactivity is sometimes achieved by incorporating virtual reality technology, allowing visualizations, simulations, and animations that allow people to observe, say, different flooding scenarios and their consequences in real-time (Demir, 2014), which would be impossible in real life but are

- 20 important for learning (e.g. Demir, 2014). In other examples, educational programmes include inquiry-based education where students are provided with equipment and training for air sampling, followed by modules addressing air pollution and health outcomes (Ward et al., 2016). Interactivity was also at the heart of another educational programme based in a school, in which pupils used old newspapers, toys, bottles, etc. from their homes to create an artwork and work with the concepts of reusing and recycling (Elnokaly et al., 2008). Another key feature of educational programmes is that often they employ real
- 25 information, such as, real-time flood conditions or inundation maps (Demir, 2014). Additionally, the systematic review shows that educational programmes need an element of fun, have to be easy to develop, and offer the appropriate training when more technical knowledge is involved.

# 4.3 Serious games: awareness raising.

Just as with educational programmes, serious games are reserved for long-term communication, to increase awareness and 30 understanding, especially around flooding. For example, the aim of the game "Stop Disasters" is to work with concepts of resilience and resistance (Felicio et al., 2014). In "FloodSim", players implement a selection of strategies for addressing the risk of flooding based on a pre-defined budget. The game is designed to encourage players to think about: what type of barriers





to build, which regions to concentrate on, how much funding to allocate to maintenance, what warning systems to establish, etc. (Breuer et al., 2017).

- Although serious games can be a vehicle for learning and communication in themselves (Felicio et al., 2014), they are sometimes accompanied by discussion and debate. It is, thus, common that serious games are played in classroom settings, where the teacher guides debate, answers questions, and explains concepts (Rodriguez Bermúdez et al., 2015). But the usage of serious games goes beyond schools, and in to face-to-face workshops or events, where the debate is guided by experts (e.g. scientists). Sometimes, debate can also take place in a mediated environment, such as a blog or a wiki (Rodriguez Bermúdez et al., 2015). These games tend to follow a downstream approach, although they allow two-way communication between
- 10 experts and the public, the content of it is designed by the experts in order to fulfill an educational purpose. The United Nations, flood risk management professionals, or scientists in different universities, are some of the people involved in designing serious games who may or may not be involved later in playing the game (e.g. Felicio et al., 2014; Savic et al., 2016). Some of the studies discuss the need for closer collaborations between game developers and knowledge partners so that games more closely fulfill the objective of raising awareness (Rebolledo-Mendez et al., 2009).
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Different studies agree on the importance of having a binomial fun-knowledge combination. That is, the player has to be engaged, but acquiring new knowledge needs to be a requirement for success in the game: "the game can neither be a simple funny game without any learning, nor only involve difficult concepts without any incentive" (Rodriguez Bermúdez et al., 2015, p.162). Including random features so that the flow of events can not be predicted, or offering a diversity of scenarios are some

- 20 of the strategies employed to keep the player engaged (Rodriguez Bermúdez et al., 2015). In order to make sure that the player is learning and taking informed decisions, supporting information can be integrated into the game. For example, in e-City, players can get a short explanation of the advantages and disadvantages of possible moves (Rebolledo-Mendez et al., 2009). It seems as if educational programmes are designed to educate but need to have an element of fun, and serious games are fun but need to educate. Additionally, serious games appear to be an effective tool for improving understanding, as they offer
- 25 continuous feedback to the player, avoiding the formation of misconceptions (Savic et al., 2016). They also encourage active and experiential learning, allowing players to handle datasets, modify values, and experience simulations which would be impossible or very expensive in real life (Breuer et al., 2017).

# 4.4 Citizen science: awareness raising, and long-term knowledge exchange.

Citizen science too is used for long-term communication, but appears to have a broader usage than that of educational programmes and serious games. Citizen science is helpful in raising awareness, but is also employed to facilitate knowledge exchange between experts and the public. "AirProbe" is a project that aims to raise awareness about air pollution by involving citizens in measuring air pollution in their daily life. The "AirProbe" project shows that, involving sittings in taking







measurements can be very effective in producing a positive change (Sîrbu et al., 2015). When citizen science projects aim at raising awareness, they tend to follow a downstream approach, initiated by the experts.

Citizen science projects, however, are also employed to facilitate knowledge exchange between experts and the public, or to complement or challenge expert knowledge. For example, they can be useful in gathering knowledge that would be impossible to collect by experts alone in terms of amount and accesibility. In the case of the Deepwater Horizon oil spill, a citizen science project was developed to allow citizens contribute with information of what they saw and smell, such as "smells, smoke, and other potential risk factors", which can in turn be used in decision-making and in complementing expert science (McCormick, 2012, p.2). Furthermore, as experts and the public often work together in these projects, exchange knowledge, and discuss

10 scientific data – as opposed to just reciving the outcomes generated by experts alone, these projects have become a means of improving communication and the levels of trust in each other (Ngo et al., 2017; Thiel et al., 2014).

Across studies we find that affected or concerned citizens, who suffer the consequences of flooding or air pollution first-hand, are more inclined to participate in these projects. For example, a community that is affected by a flood has more at stake, and therefore, is more inclined to produce citizen scientists and share flood observations (Le Coz et al., 2016). Similarly, residents who live nearer facilities that emanate or contain environmental contaminants are more likely to perceive the benefits of participating in a citizen science project aimed at improving their situation (Jiao et al., 2015). Learning about the environment is also another motivation to join citizen science projects, which broadens the spectrum of participants from affected

communities to society at large. Schools are sometimes also involved in these projects, integrating them in their science curriculum (e.g. Sîrbu et al., 2015). All segments of the population, however, are never equally empowered to participate. For example, projects involving technology, such as social media or Dropbox (Le Coz et al., 2016), will inevitably add to the digital divide.

There are two factors that appear to be essential in citizen science projects. First, most of the studies concur that the first stage of any of these projects should involve training, and finding common ground between all the participants. Citizen science projects have a greater chance of fulfilling the established aims, if participants understand the ultimate aim of the project, how they can contribute and gain the most, how to use the necessary tools appropriately (e.g. air pollution measuring devices), and who to address if they encounter setbacks or need clarifications. Citizen science seem to work better when there is a clear and common goal for every participant involved, when benefitial outcomes for all are set (Jiao et al., 2015). Learning about data

30 collection, creating bonds with other community members, or simply having an enjoyable experience, are some of the examples that exceed the most obvious strategic goals usually coupled to these projects (e.g. improving flooding management). Second, most of the studies highlight the importance of feeding back the results to the group after the completion of the project. Participants must understand the overall impact of the project, and how their contributions fits within it; as well as how the project, the data, and the results are going to be employed (Le Coz et al., 2016).







#### 4.5 Forums: long-term knowledge exchange.

Discussion forums are used for long-term communication. They are the only medium solely used for knowledge exchange practices, and to bring together local and scientific knowledge. Slightly more examples of forums are found in relation to flooding than to air pollution.. In Kenya, for example, a forum was organized by climate experts in order to seek traditional
knowledge, and build flood early warning systems: "local farmers are witnesses of their own environment and the first to notice changes and potential risks" and thus, "open dialog is necessary to build climate products that reflect farmers' needs in terms of warnings" (Senaratna et al., 2013, p.11). Another air pollution forum, also in Kenya, explored how academics and local residents together could design action points to tackle the air quality issue (Ngo et al., 2017).

- 10 Forums can follow a downstream or upstream approach: they are sometimes initiated by experts who believe in the benefits of exploring the ground reality and local knowledge, or by the public who feel threatened and ignored, and consequently take action. Discussion forums are not always representative of the whole population, as participants usually belong to segments of the population that feel more empowered to participate (e.g. people with higher levels of education). Therefore, community members who participate in these forums are then in charge of passing on the information to the rest of the community. For
- 15 example, if a forum deals with how to tackle flooding at the community level, the group is then in charge of preparing their community to face a possibile flooding event, by organizing meetings and developing training to raise awareness and prepare the community for evacuation and rescue plans (Senaratna et al., 2013).

Discussion forums are, however, a challenge, and on many occasions they begin with "palpable tension apparent" (Lo et al.,

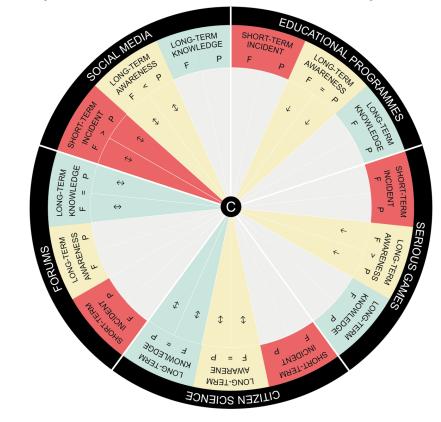
- 20 2013, p.9). This tension can be attributed to a lack of trust in the experts, an incapacity to break down hierarchies of power and to encourage non-experts to contribute, or to the all too often technical language employed by the experts, which distances them from the public. Therefore, different studies deal with how to ease tensions. We have, consequently, identified four strategies for doing so. The first strategy involves experts and non-experts making something together, the benefits of which are two-fold: making something together works as a *process*, as a facilitatory medium taking the focus away from anything
- 25 that generates tensions; but it also works as a *product* in order to engage the wider community when this is presented, for example, in an exhibition at the community centre, or is showcased in the community hall (Leon et al., 2015). The second strategy is found in one of the forums in the UK which encourages participants to bring objects (e.g. maps, photos, satellite images, and even a piece of mouldy carpet) that show their connection to a flooding event, which works in highlighting each member's connection to it (Whatmore and Landström, 2011). The third strategy is inspired by citizen science projects, through
- 30 which the non-experts collect data that later guide the discussion and debate process. Asking participants to take personal exposures to Particulate Matter (PM), can be a starting point for the latter discussion and can aid the non-expert in building and supporting their arguments (Ngo et al., 2017). The last strategy, consists of initiating the forums with an informative session. In one of the forums, for instance, specialists were invited to set the grounds for the debate sharing information about







the scientific, economic and political implications of climate change and carbon tax. This was followed by an opportunity to respond to specific queries, and lastly participants were able to design a preferred carbon pricing policy (Lo et al., 2013).



A visual comparison of the results for all five communication formats can be found in Figure 2.

F = Flooding P = Air Pollution C = Citizens  $\downarrow$  Top-down communication  $\updownarrow$  Top-down and Bottom-up communication

Figure 2: A comparison of how social media, educational programmes, serious games, citizen science, and forums can be used for flooding (F) and air pollution (P) risk. It shows whether these formats allow short-term communication, in relation to an ongoing or
imminent *incident*; or whether it is long-term, and for raising *awareness* or for *knowledge* exchange purposes. It also shows when examples of air pollution or flooding are more prominent than the other (<,>), and if these communication formats suit both hazards to the same extent (=). The grey zones represent incompatibility of the medium and usage. The arrows represent direction of communication, that is, whether communication is top-down (1) and initiated by the experts, or if it can also be bottom-up (1) and initiated by the public and experts alike.

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Finally, these five formats are sometimes combined in order to facilitate their implementation and offer a more positive engagement. Sometimes educational programmes and serious games employ social media as a platform to enable discussion (e.g. Rodriguez Bermúdez et al., 2015). Citizen science initiatives also use social media, not only to facilitate discussion during the project, but also to recruit participants, answer questions, and feedback the results to the group (e.g. Le Coz et al., 2016).

5 In some instances, citizen science style activities can be developed as part of educational programmes to improve learning (e.g. hands-on activities, place-based learning) (e.g. Ward et al., 2016). Similarly, citizen science can also be employed as a strategy to empower citizens in their discussion and collaboration with the experts (e.g. Ngo et al., 2017). The way these can be combined also points out that social media and citizen science projects are the two most versatile formats. A visual representation of the combination of formats can be found in Figure 3.

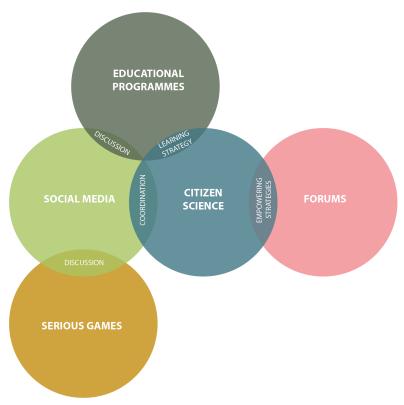


Figure 3: A visualization of how social media, serious games, educational programmes, citizen science, and forums can be combined, and for what purposes.





# 5. Conclusions

This systematic review shows that there is no one-size-fits-all format of communication, and that the suitability of each medium is tied to the communication purpose and the people involved. Emergency communication needs the immediacy and remoteness of social media, whether it is to exchange knowledge about the crisis or to coordinate response. Expert-public partnership for knowledge exchange purposes, on the other hand, need face-to-face encounters through forums that allow discussion and negotiation. In these two instances, the public is emotionally invested and can demand upstream approaches to communication where they can initiate and control the process. Raising awareness and preparedness of the population can be done through multiple media: Social media, educational programmes, serious games, and citizen science. These communication efforts are always led by experts, following a downstream approach. It is understandable that citizens would

- 10 rarely demand that they are "educated", and thus the lack of upstream approaches coupled to awareness-raising communication efforts. Returning to the metaphor of the ladder (Arnstein, 1969), it would seem as if only the highest forms of engagement – represented in the higher rungs – allowed upstream approaches.
- These formats are never representative of all segments of the population. If social media is the most democratic, it still adds to the digital divide impeding, for example, the participation of older generations. Educational programmes, for instance, target conglomerates such as schools or sector professionals, and hence these require different designs and modes of implementation. Forums, for instance, invite members of affected communities, specifically those who feel confident in meeting face-to-face with experts, and they in turn become the new experts in their communities and in charge of reaching the harder-to-reach groups in that community. Following (Davies, 2014) and (Harvey, 2008), perhaps the fact that these formats can never target
- 20 society at large and that some groups tend to be excluded from these communication encounters can be understood as a call to open up the door for non-discursive aspects (e.g. objects). Aspects that will move the focus away from reasoned argument and strategic outcomes alone, and invite more diverse publics into play, where success can also be measured in terms of the experiences these encounters elicit. Opening up to forms of engagement that are more inclusive and representative is also a requirement of the "substantial" approach to public engagement previously discussed (Wilsdon & Willis, 2004).

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The role of the opinion leaders or mediators is another interesting aspect. This phenomenon seems to be in line with the twostep flow of communication first proposed by (Katz and Lazarsfeld, 1966). Although this model of communication explains how ideas flow from mass media to opinion leaders, and from them to the sectors of the population that are less active, we also think it can be used to describe the flow of ideas from the experts to opinion leaders (e.g. community members involved in

30 forums), to those least active segments (e.g. community members who don't feel empowered to participate). Although this seems to fulfil the purpose of trust issues, we anticipate that this might lead to governments, local authorities, or operating authorities passing on responsibility with the danger of destabilising an appropriate balance of responsibility distributed across multiple stakeholders, including the government and affected citizens. According to Johnson & Priest (2008), a shift in







responsibility is already occurring: "citizens are being increasingly required to take responsibility for the management of their own flood risk at both a local community, business and individual household level" (Johnson and Priest, 2008, p.515). They explain that those at-risk need to assume the responsibility of being more prepared (e.g. household-level adaptation measures), and need to make themselves part of the decision-making in their community (e.g. flood risk management at the community

5 level). We add that passing on responsibility in communication and awareness raising too, might contribute further to this phenomena.

Our analysis supports the idea that information alone is not sufficient, that communication practices appear to be difficult, and that there is a need for strategies that will break down hierarchies and distract participants from factors that can hinder the

- 10 encounter, such as, previous negative experiences, or the lack of trust in each other; and how this is especially accentuated when the people involved in the communication process are emotionally invested (e.g. affected communicies). Our systematic review shows that a key feature of effective communication, or effective usage of the selected communication formats, is to make flooding and air pollution more accessible and engagement more fun.
- 15 In relation to the independent variables, there appear to be few differences on how communication formats are employed across countries, maybe because there are not enough articles per country for differences to emerge. The only distinction is linked to countries, such as China, where public meetings of any political nature, or aimed at questioning the established order are prohibited, and social media, thus, becomes a campaigning mechanism. Differences in implementation across hazards are more prominent. Social media appears to be a more popular format of communication in relation to flooding than to air pollution.
- 20 Taking a closer look at social media usage, it appears that flooding requires short-term communication to deal with an imminent or ongoing event, whereas air pollution is best suited with a long-term focus and in relation to improving understanding of the issue, as well as modifying behaviours towards a cleaner air. Although educational programmes and serious game appear to be similar in purpose, and share some aspects of their implementation (e.g. binomial fun/knowledge component), the second one seem to be more popular around flooding. We anticipate that this might be because the advantages of serious games to
- 25 being able to have rich visualizations (flooding scenarios), which ties to the intangibility of air pollution on the one hand, and the visibility of flooding on the other. Citizen science and foums are equally suitable for the cases of flooding and air pollution.

This systematic review offers a comparison of different two-way communication possibilities in relation to flooding and air pollution, two of the major environmental problems threatening conurbations worldwide. Its findings identify clear 30 mechanisms to guide citizens and experts in formulating and identifying their communication needs. These finding and method decompositions worldwide are the start of the start of

methodology apply equally well whether they are in relation to flooding or air pollution, to short or long-term communication, and where the aim is to engage affected communities or school children.





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